



## Workshop on

# INTRODUCTION TO MENU-DRIVEN FISH STOCK ASSESSMENT AND MANAGEMENT DECISION MAKING (KOBE I+II) SOFTWARE



Conducted by  
**Dr. Tom Nishida**  
Stock Assessment  
Software Developing Team,  
Japan

VENUE - NARA AUDITORIUM

10.00 AM - 12.00 Noon  
June 12<sup>th</sup> Monday 2023

Jointly organised by National Aquatic Resources Research and Development Agency (NARA) & Sri Lanka Association for Fisheries and Aquatic Resources (SLAFAR)



More info  
<https://slafar.lk/>






# 3 species working groups (WG) established and WG members

(1) Small tuna




(2) Sardine

(3) Kawakawa

## (1) Small tuna WG



NARA scientists			Species	Stock structure (assumption)	Data		Note
Name	Pictures	Specialty			Catch	CPUE	
<b>Kasun</b> Randika (leader)		Small tuna (Matara U) MS	Will work on one most important species (2024)	Sri Lankan water	SLNS IOTC	Sampling data, database & others	<ul style="list-style-type: none"> <li>● Kasun is responsible for 3 small tuna species (Kawakawa, Bullet and frigate tuna) in NARA.</li> <li>● TropfishR</li> </ul>
<b>Sujeewa</b> Hemanthi		Demersal Shrimp Survey					<ul style="list-style-type: none"> <li>● No data available for demersal species.</li> </ul>
<b>Thejani</b> <u>Balawardhana</u>		Shark Biology (Matara U)					<ul style="list-style-type: none"> <li>● Thejani is responsible for Blue and Silky shark in NARA</li> </ul>

## (2) Sardine WG

NARA scientists			Species	Stock structure (assumption)	Data		Note
Name	Pictures	Specialty			Catch	CPUE	
<b>Kishara</b> Bandaranayake (leader)		Small Pelagic (Reproductive Biology) (Univ. of Sri Jayewardenepura)	Will work on one most important species (2024) (Need to decide species in this time)	Sri Lankan water	SLNS	Sampling data, database & others	<ul style="list-style-type: none"> <li>● Kishara is responsible for 4 Sardine species and spotted sardine in NARA.</li> <li>● Data are available for Spotted sardine and 2 other species.</li> </ul>
<b>L.D. Gayathry</b>		Small pelagic (Badulla U) Nuwara Eliya					<ul style="list-style-type: none"> <li>● LBSPA method (Length-Based Spawning Potential Ratio)</li> </ul>
<b>Thanushan</b> (Kalpitiya) Thanusanth Santhalingam		Shrimp Trawl survey Demersal/Lagoon (Eastern U) (Kelaniya U)					<ul style="list-style-type: none"> <li>● STOX (Norway)</li> </ul>



### (3) Indian mackerel WG

NARA scientists			Species	Stock structure (assumption)	Data		Note
Name	Pictures	Specialty			Catch	CPUE	
Udari <b>Ayeshya</b> (leader)		Yellowfin tuna	Indian mackerel	Sri Lankan water	SLNS	Sampling data, database & others	<ul style="list-style-type: none"> <li>● Ayeshya is responsible for Indian mackerel in NARA.</li> </ul>
<b>Acini</b> Fernando		Plankton Anchovy (Kelaniya U)					<ul style="list-style-type: none"> <li>● Achini has Anchovy data (only 2 years), thus cannot conduct SA.</li> </ul>

Menu driven software  
“CPUE standardization”, “Stock & Risk assessments” and  
“Management decision making (Kobe I+II)”

## *Stock assessments for ALL*

Tom Nishida (PhD)  
Representative



**STOCK ASSESSMENT  
SOFTWARE DEVELOPING TEAM**

# Welcome aboard

June 12 (Mon) NARA, Sri Lanka

10-12 AM

Part 1 Presentation & discussion (All)

Part 2 Future collaborative works

*(small group for those interested in)*

➔ *may be extended if more time needed*



**Captain FV  
Tom Nishida**



**STOCK ASSESSMENT  
SOFTWARE DEVELOPING TEAM**

# Important Abbreviation

SA : Stock Assessment

RFMO : Regional Fisheries Management Organizations  
(example → IOTC)

F : Fishing mortality

SSB (SB) : Spawning Stock Biomass

TB : Total Biomass

PM : Production Model

# Self-Introduction

Stock assessments (practical)  
Fish GIS (<http://www.esl.co.jp/Sympo/>)



Hokkaido University (BS)  
University of Washington (BS+MS)  
Tokyo University (PhD)

## Work (38 years)

FAO (BOBP+IPTP)(Sri Lanka) (1985-1990)  
**(NARA → Back to home now !!)**

+

National Research Institute of Far Seas  
Fisheries (Japan) (IOTC + 4 RFMO)

(Extra work)

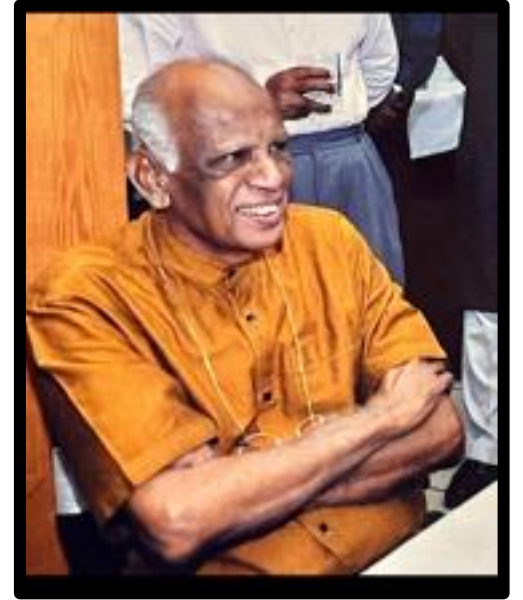
Stock assessment software developing team  
(Japan)  
(assist 104 users in 24 countries)



Before starting presentation.....

We would like to have a minute of silent prayer to Dr Sivasubramanian.

Dr Siva passed away last October.  
Dr Siva & I worked BOBP together (NARA).  
He was a great scientist and leader  
for NARA, Sri Lanka and World !



# Contents

## (1) Outline

## (2) Menu-driven software

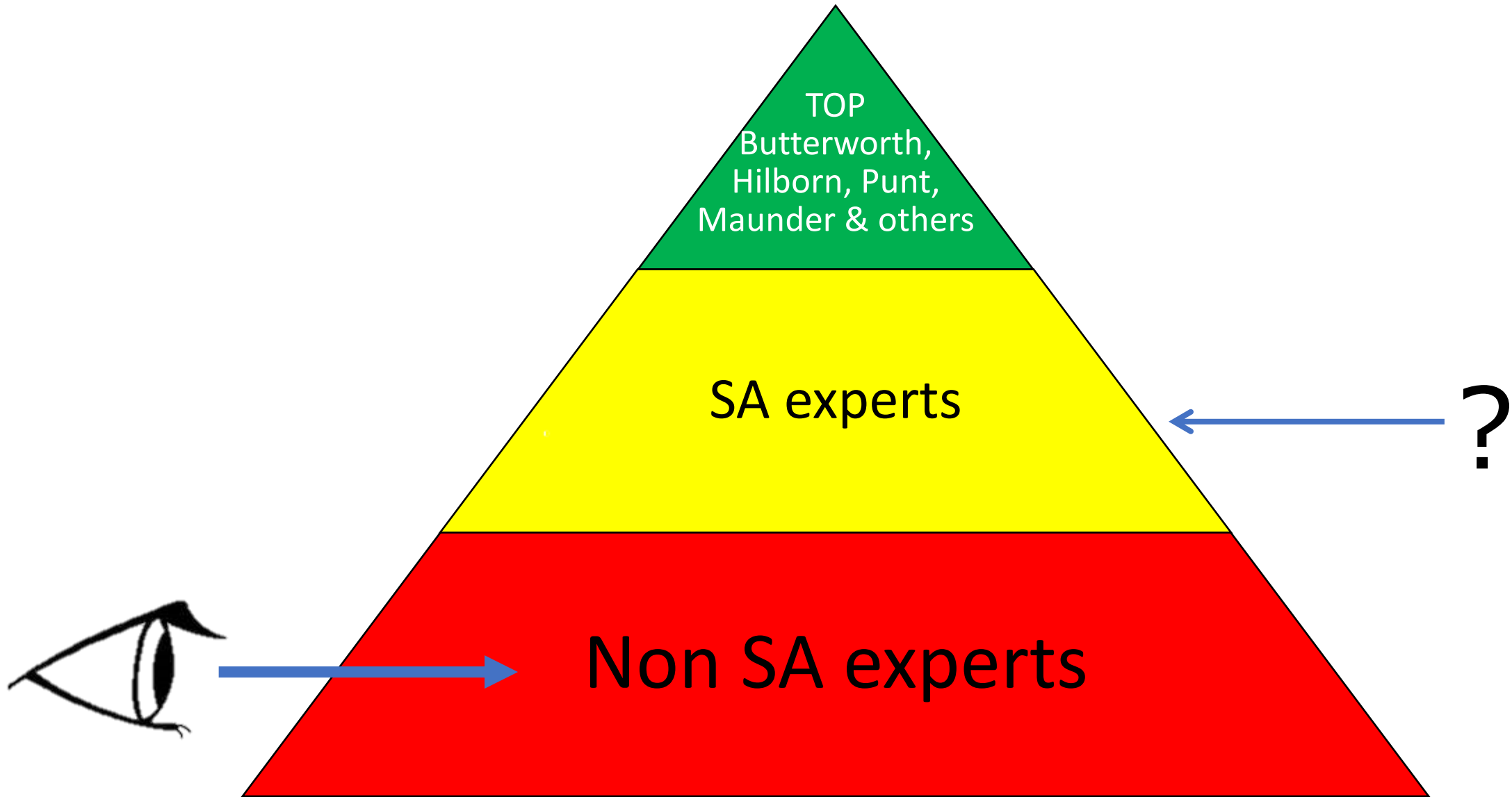
- CPUE standardization
- Stock and Risk assessment
  - Overview
  - Production model (ASPIC and JABBA)
  - Age structured production model (ASPM)
- Management decision making tool (Kobe I+II)

## (3) Summary

# Informal seminar

Please ask any questions,  
make comments & discussion anytime...





# Outline (Menu-driven software) OBJECTIVES

- To develop menu-driven software for ALL  
especially for non-SA professionals & for those not good at programming.
- Total 8 = 「CPUE standardization (1)」+ 「SA models (5)」  
+ 「management decision making tools (Kobe I+II) (2)」
- Easy: Anyone can do (short time) by menu (NO programming)



# POLICY I

- We don't recommend to use our menu-driven software?? Why???



- The most appropriate way → develop own programs (R, C++).
  - Users can learn how application works, what Input/Output means
- Past Capacity Buildings (15 years)
  - most users using our software find it difficult to do so.
  - OK to use

# POLICY II

- Users **need** understand mechanism & Input/Output.
- In the **past** Capacity Building, we fully explained these points to users.

→ we will continue to do SO...

- **IMPORTANT POINT**

We protect users against Auto-operating syndrome.

→ we don't want users  
to be easy-going & lazy persons.



## POLICY III : SIMPLE METHODS

**SIMPLE → QUICK & DIRTY**

Professor Steve Mathews  
(University of Washington)



Even simple/approximate method is OK

≈ Theoretically best methods



This philosophy is applied for our menu-driven software

## Comparisons: "Theoretical Best" vs "Quick & Dirty(simple)" approaches

	[A]	[B]
Approach	Theoretically good	Quick & Dirty (simpler)
Users	Limited (highly skillful) experts	Non expert (more people)
Method	Theoretically best but highly complicated	Simpler
Data requirements	Many	Minimum
Time	Highly time consuming	less
Results	Probably best, but not necessarily so, due to too many data by complicated methods	Approximate thus not best, but close to [A] or <u>better than [A]</u> sometimes

some example

	[A]	[B]
Approach	Theoretically good	Quick & Dirty (simpler)
Results	Best	Approximate thus not best, but close to [A] sometimes
Example (some parameter estimation in SA)	$P_y = \begin{cases} \varphi e^{\eta y} & \text{for } y = 1 \\ \left( P_{y-1} + \frac{r}{(m-1)} P_{y-1} (1 - P_{y-1}^{m-1}) - \frac{\sum C_{f,y-1}}{K} \right) e^{\eta y} & \text{for } P_{y-1} \geq P_{\text{lim}} \text{ \& } y = 2, 3, \dots, n \\ \left( P_{y-1} + \frac{r}{(m-1)} P_{y-1} (1 - P_{y-1}^{m-1}) \frac{P_{y-1}}{P_{\text{lim}}} - \frac{\sum C_{f,y-1}}{K} \right) e^{\eta y} & \text{for } P_{y-1} < P_{\text{lim}} \text{ \& } y = 2, 3, \dots, n \end{cases}$	$P_y \approx \Phi 2$
results	both produce almost identical estimates.	





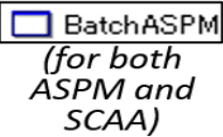
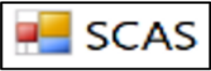


Based on this philosophy

8 menu driven software

6 developed and 2 under development

3 types  
(8 software)

Updated

Types		Level	Name	Icon to start	Features
CPUE standardization		<i>Basic to Intermediate</i>	(1) GLM based CPUE Standardization		Basic CPUE Standardization
Stock assessment (SA)	Production model (PM)		(2) ASPIC (A Stock-Production model Incorporating Covariates)		Standard PM incorporating observation (OBS) errors
	Age structured (integrated) model	<i>Advanced</i>	(3) JABBA (Just Another Bayesian Biomass Assessment)		Theoretically best PM incorporating both OBS and process errors
			(4) ASPM (Age Structured Production Model)		In-between PM & age-structured model (selectivity: fixed)
	(5) SCAA (Statistical- Catch-At-Age)			Catch-At-Age based age-structured model	
	(6) SCAS (Statistical- Catch-At-Size)			Catch-At-Size based age-structured model	
Management decision tools		<i>Basic to Intermediate</i>	(7) <u>Kobe I</u> : Kobe plot and <u>Kobe II</u> : Strategy matrix (risk assessment)		<u>Kobe I</u> : Standard stock status trajectory plot <u>Kobe II</u> : Evaluation of the optimum catch level (TAC)
		<i>Intermediate</i>	(8) <u>Kobe II</u> for (2) ASPIC		<u>Kobe II</u> : Special version suitable for (2) ASPIC

# INPUT DATA

Types		Name	Input information				
			Catch	CPUE	Biology	SA results (B/Bmsy and F/Fmsy) Projection	Prior (Bayesian Approach)
CPUE standardization		(1) GLM based CPUE Standardization					
Stock assessment (SA)	Production model (PM)	(2) ASPIC (A Stock-Production model Incorporating Covariates)					
		(3) JABBA (Just Another Bayesian Biomass Assessment)					
		(4) ASPM (Age Structured Production Model)					
	Age structured (integrated) model	(5) SCAA (Statistical- Catch-At-Age)					
		(6) SCAS (Statistical- Catch-At-Size)					
Management decision tools		(7) Kobe I and II					
		(8) Kobe II for (2) ASPIC					

## Warning

Complicated methods  
are not necessarily good methods  
nor provide good results

same for the simple methods

So we don't have any good methods..  
what is the best solution? (important)

## 5 tuna RFMO (Regional Fisheries Management Organizations) meeting (2009) recommended

Need to compare results among a few SA models<sup>(\*)</sup>  
to evaluate as each model has pros & cons

(\*) 'Simple', 'intermediate' & 'advanced' model  
(different structures & data sets)

If results are similar → certain & confident

So we (menu-soft) can contribute 'Simple', and 'Intermediate',  
while SA expert for 'Advanced (SS3)' SA

**Win-win situation**





# UTILIZATION

- All software : FREE of charge for anyone to utilize.
- If you want to use the software, please contact us.
- We will provide the on-site free training.  
(funded by ODA and others)
- We will release software and manual after we make sure that users understand the software (theory & input/output) and can handle software properly.

→ Our responsibility

# **USERS: 104 USERS (24 COUNTRIES)**

*Sri Lanka (not yet), but will start...*

Algeria, Argentina, Brunei Darussalam\*, Cambodia\*, China, Indonesia\*, India, Iran, Japan\*, Rep. Korea, Kenya, Malaysia\*, Mexico, Myanmar\*, Oman, Peru, Philippines\*, Spain, Thailand\*, Trinidad and Tobago, USA, Viet Nam\*, Taiwan and Turkey.

*(\*) Southeast Asian Fisheries Development Center (SEAFDEC) member countries*

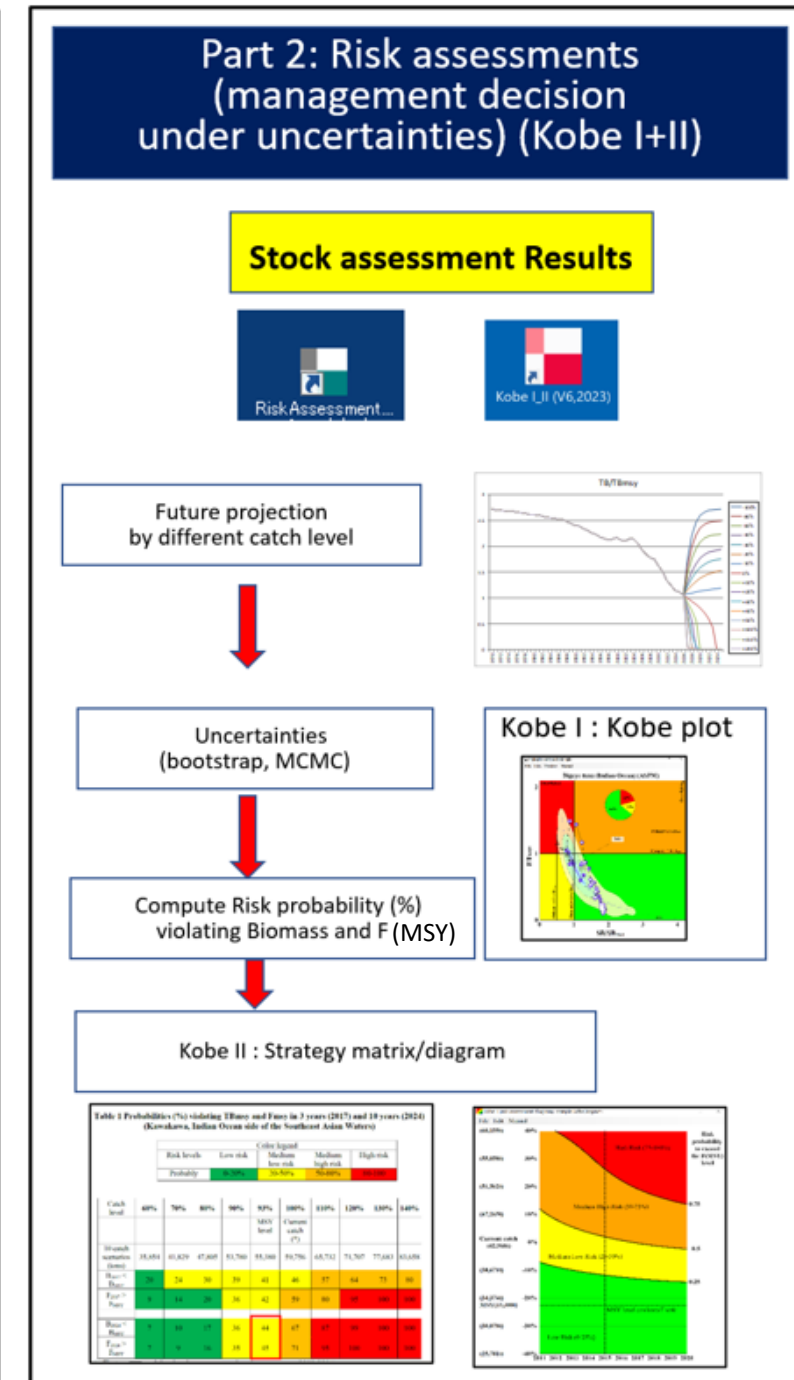
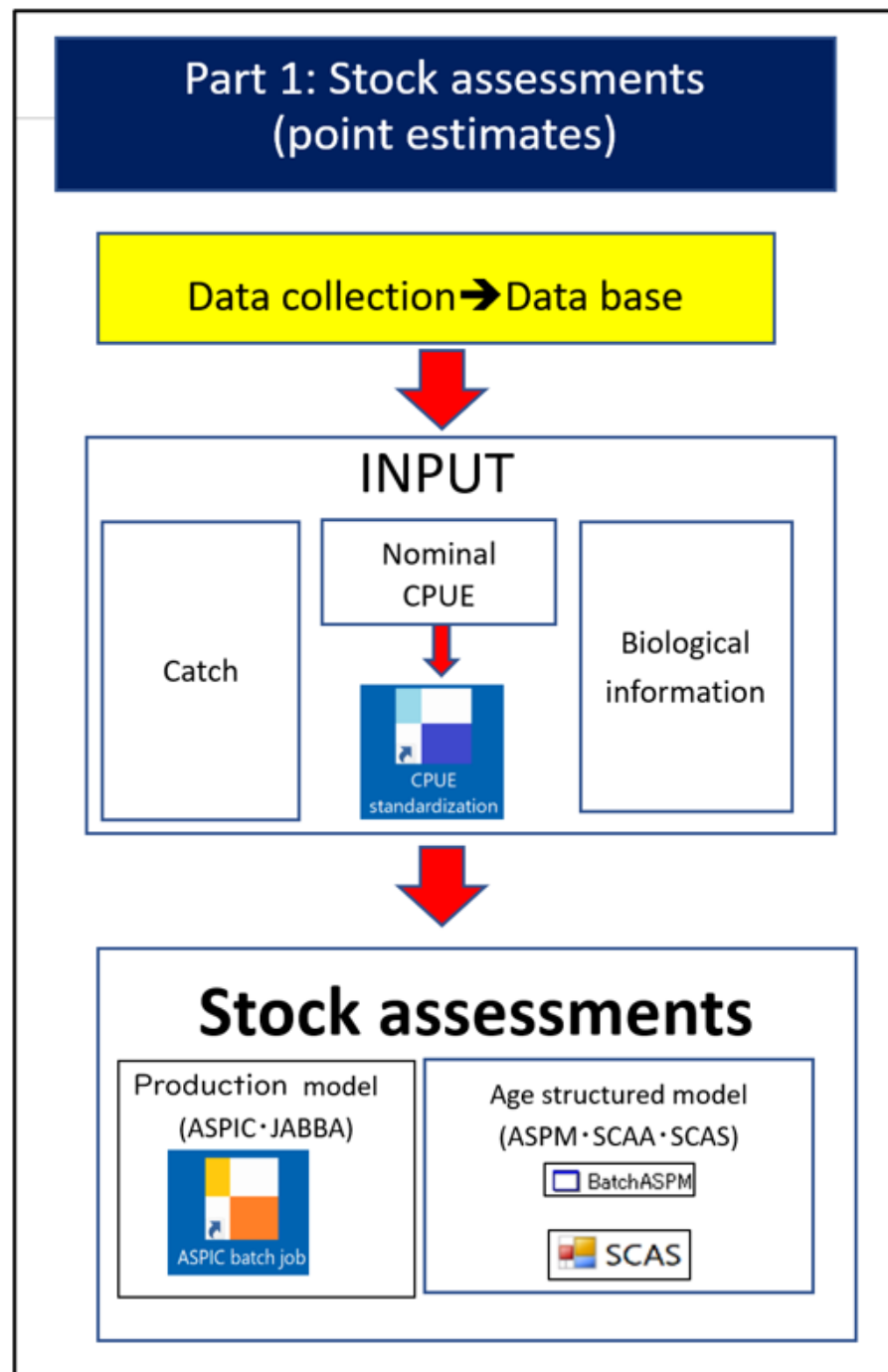
# Mainly Southeast Asia



SEAFDEC

# Structure (Outline)

Menu driven software will be fully explained



Our ultimate goal

Stock assessments (SA) for ALL 😊

**No more**

- struggling with SA
- only for SA experts (5~10%)



for a happy & better life for ALL

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(3) Summary

# CPUE standardization **by year**

To be used as one of most important inputs  
for stock assessment as abundance index  
(**year** based)

# Menu-driven CPUE standardization software



Policy → for non technical users → Simple (quick & dirty)

GLM → standard. No complicated ones (VAST, regression tree, NN etc.)

Covariates (factors affecting nominal CPUE)

→ Minimum (year, season, area) (3)

→ If users want to use more complicated methods  
and more covariates, use R, SAS etc..



# Why we need CPUE standardization?

- Nominal (raw) CPUE
  - Bias → not real abundance index → not good for SA
- Major bias by → Y(Year), S(Season) & A(Area)
  - Other bias by → target, ENV, gear, vessel, skipper etc.
  - Could be explained by YSA because biases are reflected by time & area
- Thus, 3 Covariates (=factors) (Year, Season & Area) → OK
  - if you want to use more covariates → use R, SAS etc.

Model: GLM (Generalized Linear Model)  
*standard approach*

GLM is a flexible generalization linear regression

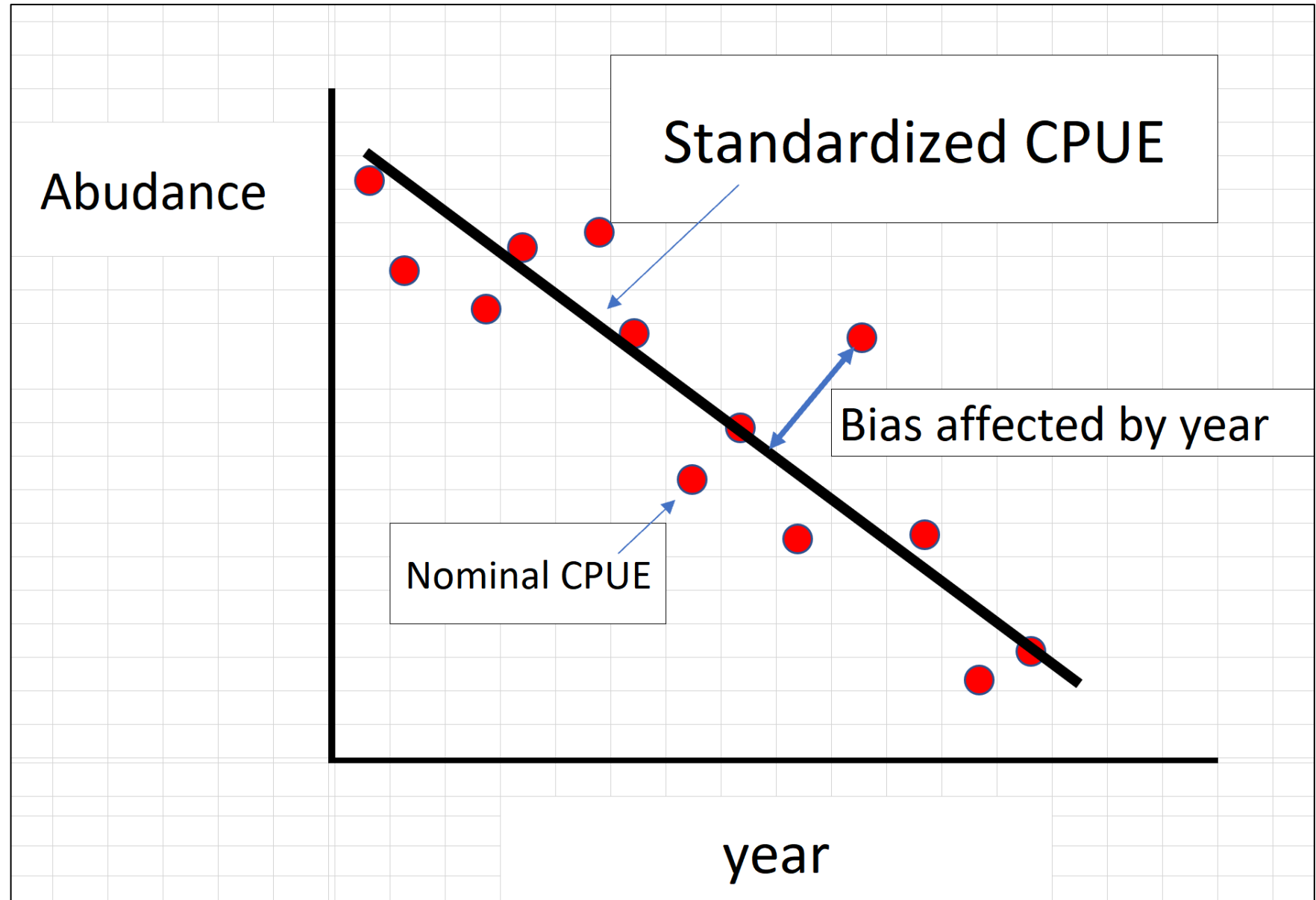
Error distribution → Normal (Bell shape) distribution

Simple linear regression (high school math textbook)  
is the simplest GLM

Simple way to explain CPUE standardization by GLM (1 covariate → year)

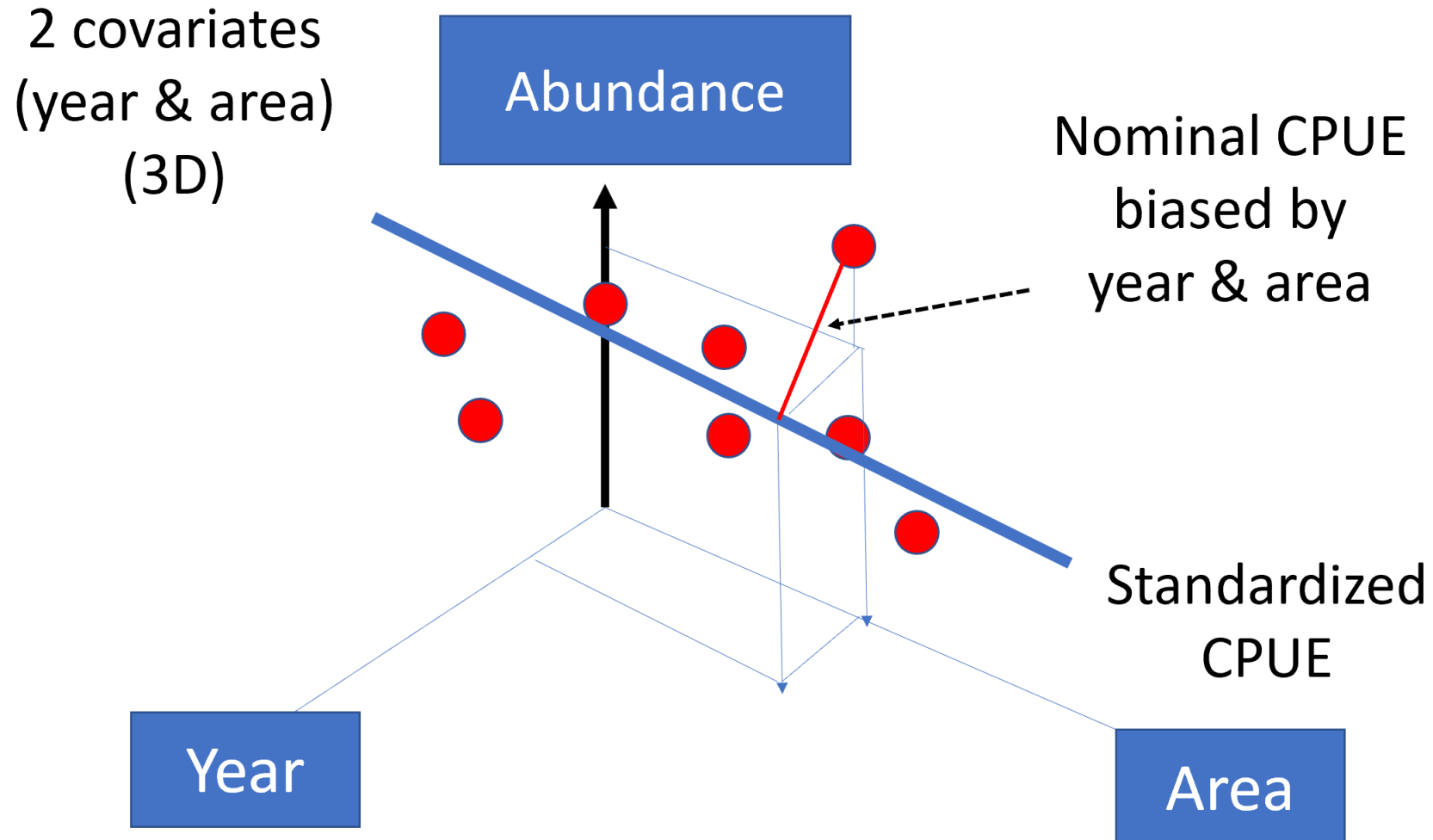
Simple linear regression

You can calculate standardized CPUE



# Simple way to explain GLM (2 covariates: year & area )

→ Still you can calculate, but bit complicated



# No simple way to explain GLM (3 or more covariates)

Can not draw images ...

More complicated to compute standardized CPUE

So need **R, SAS** etc.,

But we use **menu-driven GLM** software  
(actually we use R behind)

## (Simple) Input data : Example

Year (1986-2006)(21), Season(4), Area(7) and Nominal CPUE

YR	Q	area	KAW CPUE (KG/HAUL)
2006	1	6	26.88
2006	1	6	0.00
2006	1	6	0.00
2006	2	6	163.35
2006	2	6	314.64
2006	2	6	37.69
2006	3	6	237.87
2006	3	6	429.18
2006	3	6	18.69
2006	4	6	29.62
2007	1	6	0.00
2007	1	6	0.00

3 steps to  
complete

---


CPUE standardization (ver.2) (2019)

# CPUE standardization

R Path  
C:\Users\00122443\Documents\R\R-3.6.1\bin\x86\_64

**Import the data** **Run** **Create OUTPUT**

**Step 1** **Step 2** **Step 3**

R software i  **CLICK!!**

1<sup>st</sup> window →

Check

- ① Sample size
- ② 0 catch rate

Selection

- ③ Model
- ④ Covariates

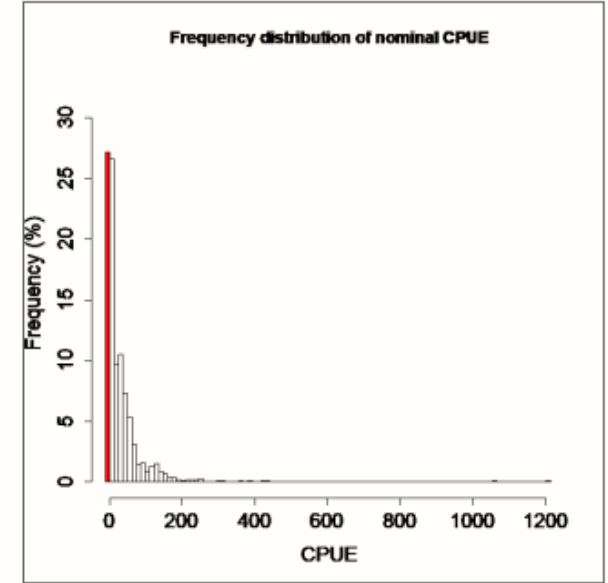
① Sample size (n=)

	area 1	area 2	area 3	area 4	area 5
1995	4	12	12	11	12
1996	1	12	10	12	3
1997	1	12	10	12	3
1998	12	12	12	12	.
1999	5	12	12	12	10
2000	3	12	12	11	10
2001	3	12	12	11	4
2002	8	12	12	12	4
2003	12	12	12	12	4
2004	12	12	12	12	4
2005	12	12	12	12	9
2006	3	12	12	12	12
2007	12	12	12	12	12
2008	12	12	12	12	12
2009	12	12	12	12	12
2010	12	12	12	12	12
2011	12	12	12	12	12
2012	12	12	12	12	12
2013	12	12	10	12	12
2014	12	12	10	12	12
2015	12	12	10	12	12

	Q 1	Q 2	Q 3	Q 4
1995	18	19	19	19
1996	16	15	16	15
1997	16	15	16	15
1998	18	18	18	18

② 0 (zero) CPUE (catch) rate (red bar) = 27%

% frequency distribution of nominal CPUE



③ Select model

- Log normal GLM:  
0 (zero) CPUE (catch) rate < around 30%
- Delta type 2 steps log-normal model:  
0 (zero) CPUE (catch) rate > around 30%

④ Select covariates (factors)

- Y ( Year )
- S ( Season: Month, Quarter etc. )
- A ( sub-Area )
- Y \* A
- Y \* S
- S \* A
- Y \* S \* A

OK Cancel



1<sup>st</sup> window →

check

① Sample size

why ? If sample size too small, results will be no reliable.

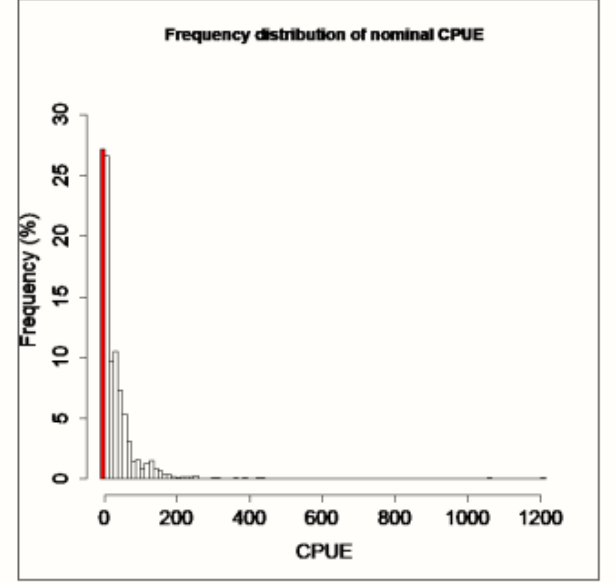
① Sample size (n=)

	area 1	area 2	area 3	area 4	area 5
1995	4	12	12	11	12
1996	1	12	10	12	3
1997	1	12	10	12	3
1998	12	12	12	12	.
1999	5	12	12	12	10
2000	3	12	12	11	10
2001	3	12	12	11	4
2002	8	12	12	12	4
2003	12	12	12	12	4
2004	12	12	12	12	4
2005	12	12	12	12	9
2006	3	12	12	12	12
2007	12	12	12	12	12
2008	12	12	12	12	12
2009	12	12	12	12	12
2010	12	12	12	12	12
2011	12	12	12	12	12
2012	12	12	12	12	12
2013	12	12	10	12	12
2014	12	12	10	12	12
2015	12	12	10	12	12

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0 (zero) CPUE (catch) rate < around 30%
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④ Select covariates (factors)

- Y ( Year )
- S ( Season: Month, Quarter etc. )
- A ( sub-Area )
- Y \* A
- Y \* S
- S \* A
- Y \* S \* A

OK Cancel

1<sup>st</sup> window →

check

② 0 catch rate



Why ?

If there are too many 0 (zero) catch



results (bias)



Need to use theoretically appropriate GLM model

Information on 0(Zero) Catch

① Sample size (n=)

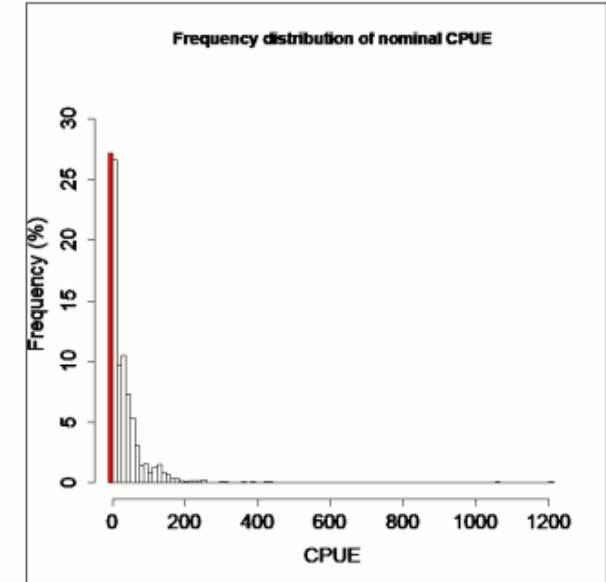
	area 1	area 2	area 3	area 4	area 5
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1998	12	12	12	12	.
1999	5	12	12	12	10
2000	3	12	12	11	10
2001	3	12	12	11	4
2002	8	12	12	12	4
2003	12	12	12	12	4
2004	12	12	12	12	4
2005	12	12	12	12	9
2006	3	12	12	12	12
2007	12	12	12	12	12
2008	12	12	12	12	12
2009	12	12	12	12	12
2010	12	12	12	12	12
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2012	12	12	12	12	12
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②

0 (zero) CPUE (catch) rate (red bar) = 27%

% frequency distribution of nominal CPUE



③

Select model

- Log normal GLM:
  - 0 (zero) CPUE (catch) rate < around 30%
- Delta type 2 steps log-normal model:
  - 0 (zero) CPUE (catch) rate > around 30%

④

Select covariates (factors)

- Y ( Year )
- S ( Season: Month, Quarter etc. )
- A ( sub-Area )
- Y \* A
- Y \* S
- S \* A
- Y \* S \* A

OK Cancel

# What is the theoretically appropriate GLM model

If 0 catch rate < 30% → GLM (OK)

But if 0 catch rate > 30 %



0 (zero) inflated Delta type 2 step log-normal GLM (2 step GLM)

(1) Estimate 0 catch rate (logistic regression)

(2) Standardized CPUE for non-0 catch

→ Standardized CPUE=(1)\*(2)

(Prof. Shono)



3

Select model

- Log normal GLM:  
0 (zero) CPUE (catch) rate < around 30%
- Delta type 2 steps log-normal model:  
0 (zero) CPUE (catch) rate > around 30%

4

Select covariates (factors)

- Y ( Year ) ← Year always selected thus it is masked
- S ( Season: Month, Quarter etc. )
- A ( sub-Area )
- Y \* A
- Y \* S
- S \* A
- Y \* S \* A

OK

Cancel

3 steps to  
complete

---

CPUE standardization (ver.2) (2019)

# CPUE standardization

R Path

C:\Users\00122443\Documents\R\R-3.6.1\bin\x86\_64

**Import the data**

**Run**

**Create OUTPUT**

**Step 1**

**Step 2**

**Step 3**

R software is found.

**CLICK!!**

**CLICK!!**

# 2 Outputs will be provided

(1) Numerical results (excel file) → Users can do further analyses

	Observed (nominal) CPUE	Estimated (standardized) CPUE	Lower boundary of 95% CI (2.5%)	Upper boundary of 95% CI (97.5%)
1996	96.64	73.82	46.41	116.05
1997	87.21	67.21	42.13	105.86
1998	46.38	22.69	10.34	45.49
1999	49.75	38.05	24.28	58.45
2000	65.33	72.53	47.55	109.56
2001	60.73	68.77	45.01	103.98
2002	66.14	77.39	50.83	116.76
2003	77.64	90.49	59.67	136.17
2004	62.06	55.99	36.39	85.04
2005	20.66	18.84	11.32	29.98
2006	18.74	22.88	14.05	35.97
2007	10.60	14.02	8.07	22.84
2008	16.48	19.44	11.72	30.87
2009	13.54	17.75	10.59	28.37
2010	34.11	24.09	14.86	37.76
2011	37.47	36.87	23.49	56.71
2012	28.94	34.38	21.80	53.01
2013	29.49	24.67	15.25	38.63
2014	30.92	26.29	16.35	41.03
2015	22.04	21.07	12.83	33.30

# 2 Outputs will be provided

(2) Summary of results (word file) → Your report is ready !

- ANOVA → to check if model and COV are significant.
- Graphs → Estimated standardized CPUE by year overlaid with 95% CI + Nominal CPUE
- Diagnosis (residual analyses) (model evaluation)
  - Histogram (Residuals) to check errors are normal distribution
  - QQ plots to check the model is OK

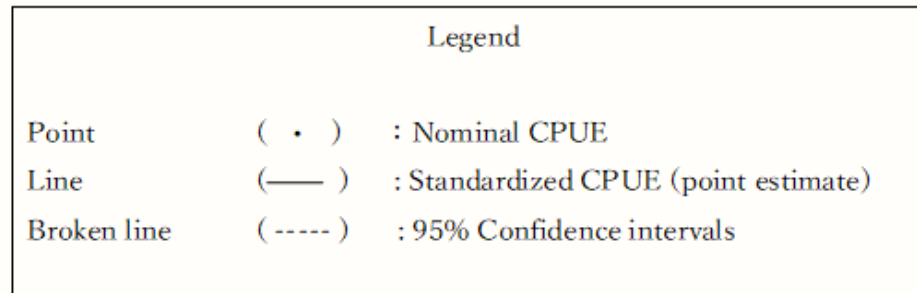
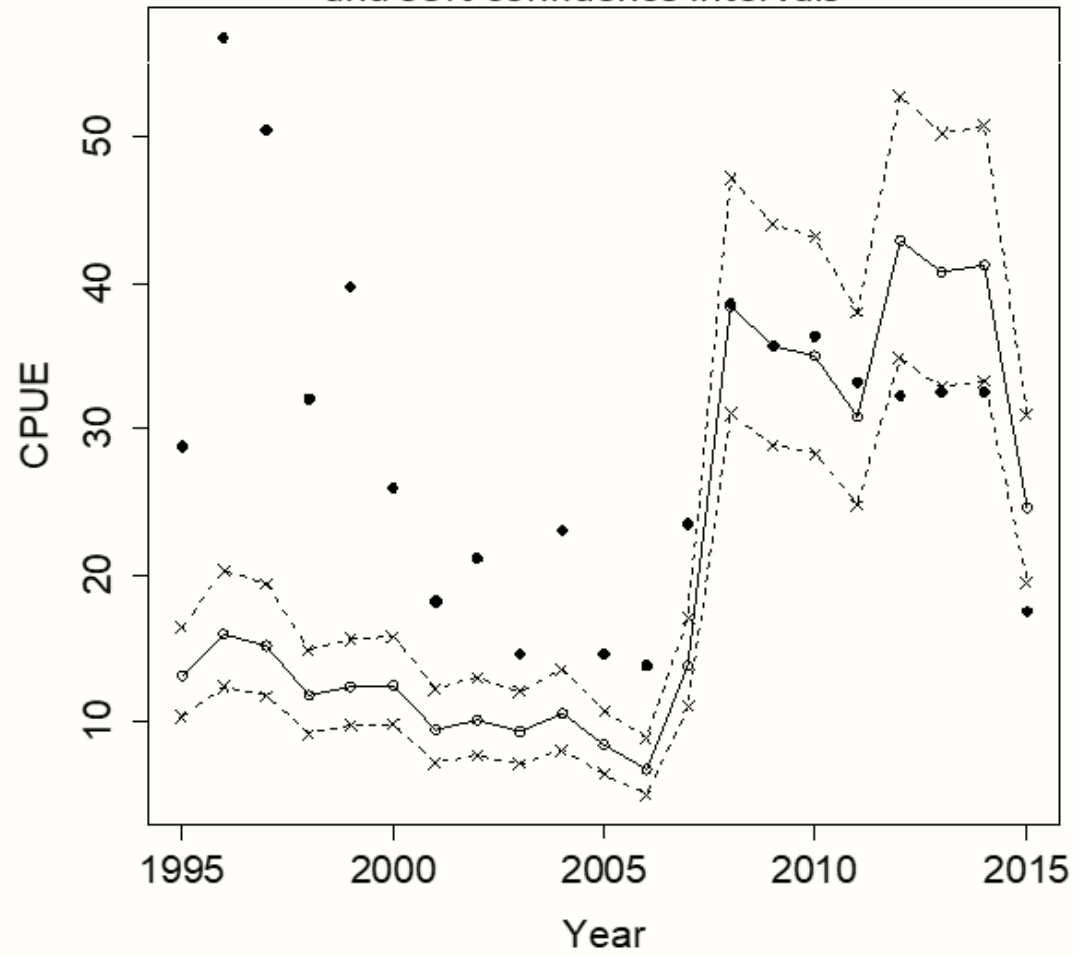
ANOVA to check if model (GLM) is OK & Covariates affect nominal CPUE (5%)

ANOVA (Analysis <u>Of</u> Variance) Table for log normal GLM to test statistical significances				
Adjusted R2 = 0.60				
Factors	DF (Degrees of Freedom)	Type III SS (Sum of Squares)	F value	Pr(>F)
Model	107	636.67	8.53	0.00
YR	20	89.01	6.38	0
Q	3	3.42	1.64	0.18
area	6	429.09	102.49	0
YR*Q	60	64.59	1.54	0.01
YR*area				
Q*area	18	50.55	4.02	0

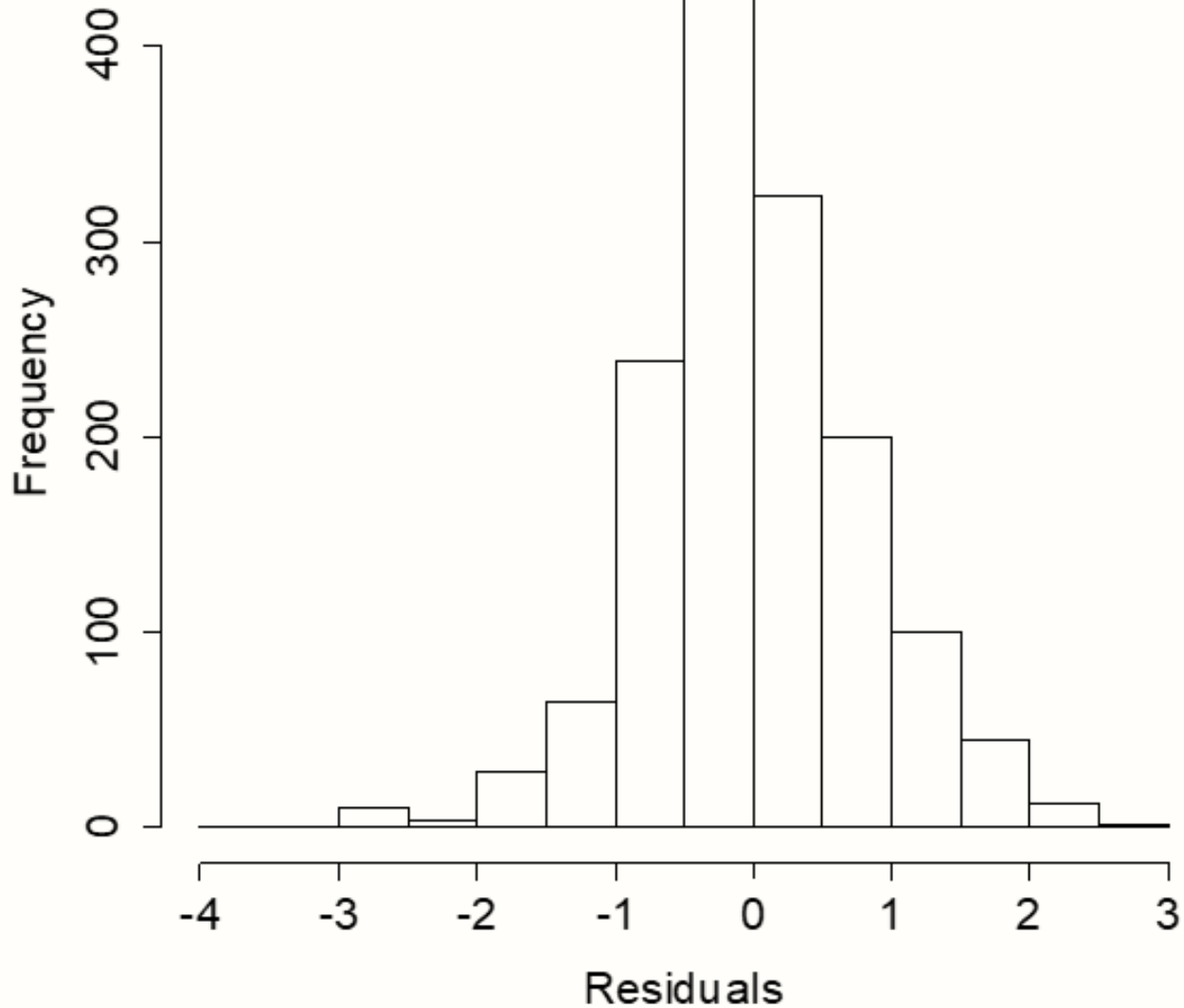
- Model is OK (significant to Covariates → effective model) < 5%
- Covariates are OK (significant to nominal CPUE → affected) < 5%  
except Q (> 5%) → non significant to nominal CPUE → not affected



Trends of nominal CPUE, standardized CPUE and 95% confidence intervals



Histogram of residuals (log normal GLM)



Model suitability

Error distributions  
(Bell shape)

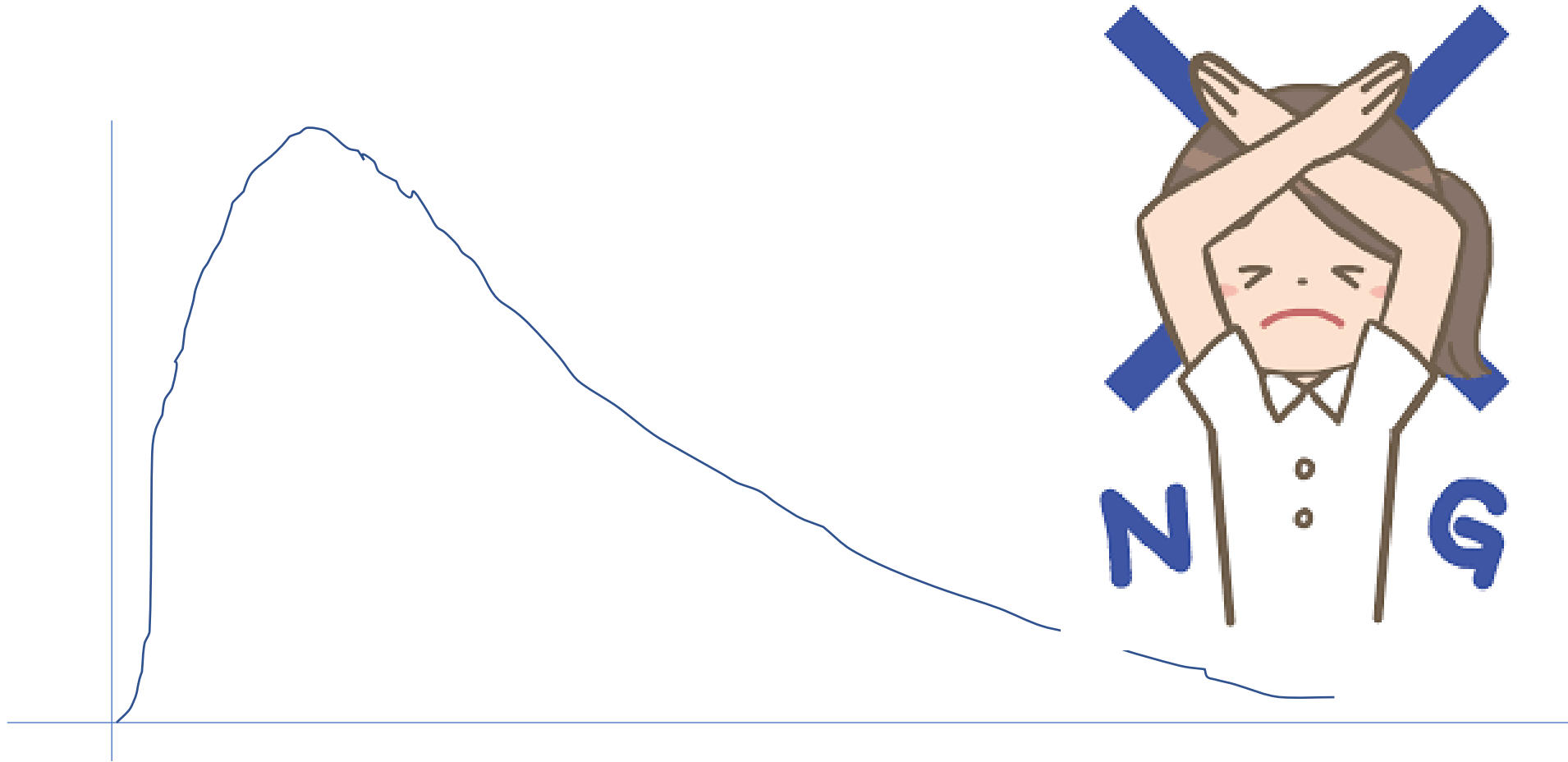


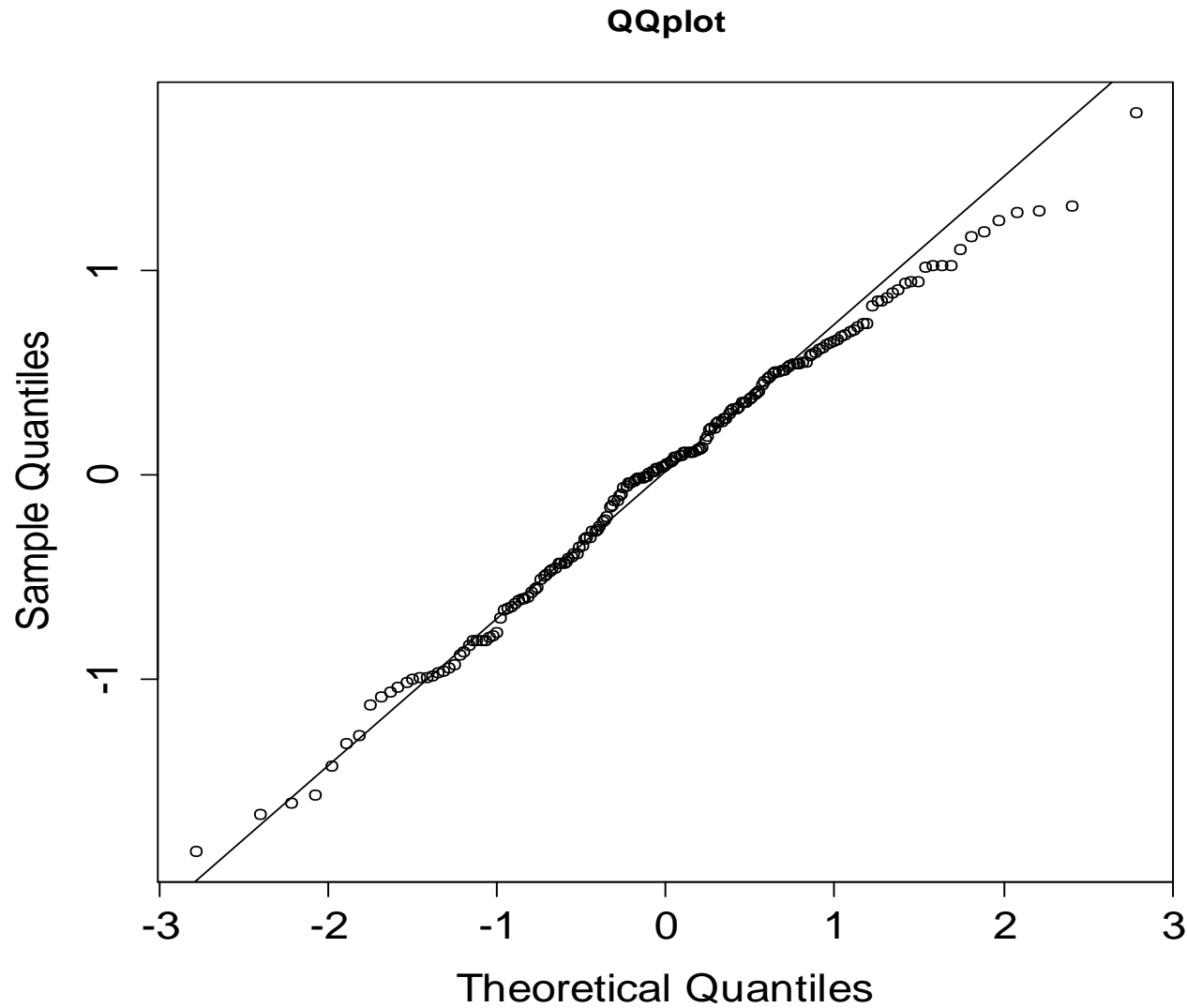
Log normal GLM



Model OK

If NG,  
you need to try 2 steps GLM or other models





QQ (quantile-quantile) plot

Another method to evaluate  
Model if  
errors (observation)  
follow normal distribution

Straight line  
(perfect normality)

This case (GLM) is OK

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# Overview: Stock & Risk assessments

Let's start from stock assessment overview


- Quiz

How many stock assessment models ?

# How many SA models ? More than 50 (IOTC, 2015) (a list and not classified)

Method	Data Requirements		Reference Points	Management Advice	Pros	Cons
	Biology	Fishery				
PSA	Qualitative	Qualitative	No	Qualitative	Easy to use if LH parameters available	Difficult to relate to current abundances and fishing mortality.
Demographic Models/Elasticity Analysis	Age & growth, Fecundity, Natural Mortality	Several fishery characteristics	No	Mostly qualitative (change of gear) and F	Easy to use if LH Parameters available. Can provide guidance on gear usage/ selectivity	Must assume that LH parameters are correct, but uncertainties can be introduced. Difficult to relate to current abundances and fishing mortality.
Catch free LH Based	M, growth curve parameters, and Age at full Maturity or Max Age	Selectivity	Yes ( $F_{MSY}$ )	$F_{MSY}$	Easy to get LH parameters if available. Zhou et. al. (2011) provides equations that are relevant to species. Could run a meta-analysis and run as well using a Bayesian Hierarchical Model Approach. Provides a Target F.	Guidelines provided for Fishing Mortality, but no specifics on current status. No idea what current Biomass and F are. However some guidelines could be provided based on theoretical carrying capacity, current depletion levels, and whether current take are meeting or exceeding targets.
Catch free CPUE Based	M, growth curve parameters, and Age at full Maturity or Max Age & recruitment	Selectivity and CPUE Series	Yes ( $F_{MSY}$ & $B_{MSY}$ )	$F_{MSY}$ & $B_{MSY}$	Easy to parameterize with LH data. Estimate recruitment, F and selectivity to tune to the CPUE series. Provides target F, Yield levels and where we are with regards to these rates. Provides target B as well and where we are with regards to that.	LH based assumptions could be misleading. CPUE series may not be representative of abundance series if from a limited fleet and area. Catch at size should be estimated from the viewpoint of the operational patterns
Catch Based SRA	r & K	Catch series	Yes ( $F_{MSY}$ & $B_{MSY}$ )	$F_{MSY}$ & $B_{MSY}$	Set of data that currently exist (but may not be too good). Tried and tested approach in ICES, Walters, etc. Easy to run, provides Yield targets and FMSY & BMSY	Uncertainty in catch series can give misleading results. Based on assumptions of depletion range in current years that may give misleading results. May not be very accurate in terms of FMSY and BMSY
Surplus Production (Bayesian or Otherwise)	r & K	Catch series & CPUE series	Yes ( $F_{MSY}$ & $B_{MSY}$ )	$F_{MSY}$ & $B_{MSY}$	Traditional approaches. Used extensively in literature. Provides yield targets and FMSY and BMSY	Length of time-series and uncertainty in catch series and CPUE series can bias results. Models may have problems converging to a solution if there is no contrasting information.
Integrated assessments	Recruitment, M by age, growth paramters, maturation schedule, fecundity, recruitment	Catch series, Length based samples, CPUE data (and or have tagging data), fishery selectivity	Yes ( $F_{MSY}$ & $B_{MSY}$ )	$F_{MSY}$ & $B_{MSY}$	Most robust approach. Incorporates all information in a dynamic model. Provides most representative yield targets and FMSY and BMSY	Highly data dependent. Models can have problems converging. Learning curve steep. 55

# 50 approaches → 3 major categories(Nishida, 2015)

Type No.	Type	Information	Data period	Reference point (MSY, Fmsy, SSBmsy etc.)	Models (examples)
1	Demography (Qualitative)	Parameters			<ul style="list-style-type: none"> <li>● ERS</li> <li>● PSA Productivity-Susceptibility Analysis</li> </ul>
2	Standard stock assessments (Quantitative)	Real data	Short term (Snap shot)	Partially available, but only for the short term (temporary)	<ul style="list-style-type: none"> <li>● ELEFAN</li> <li>● FiSTAT</li> <li>● Y/R</li> </ul> 
3			Long term historical fisheries data (preferably 10 years or longer)	Available ( <u>more objective</u> due to a longer- term data)	<ul style="list-style-type: none"> <li>(1) SRA(CMSY) (Catch only method)</li> <li>(2) Production model (ASPIC etc.)</li> <li>(3) Age (size) structured models (VPA, SCAA, ASPM etc.)</li> <li>(4) Integrated models (SS3, MULTIFAN-CL etc.)</li> </ul>



# Type 3 (important) : Summary

Models	Example	Data and parameters required							
		Stock structure	Global catch	Abundance indices (CPUE or fisheries in depend indices such as acoustic/areal survey data)	size/age	M (natural mortality)	LW relation + growth eq	Maturity + fecundity	Space and movement
Data limit approach	SRA(CMSY) (Catch only)								
Production model	ASPIC								
	JABBA								
Age/size structure model	(without abundance indices)	VPA							
	(with abundance indices)	ADAPT—VPA							
	Simpler integrated model (I)	ASPM SCAA							
	Integrated model (II)	CASAL and SS3							(option)

+

Priors  
r, K

# Based on the summary

We considered which models should be used  
for menu-driven software

Basic philosophy

**Quick & dirty**=Simpler (easier) model

but we still need effective stock assessments  
(for non-SA professionals)

# So we selected

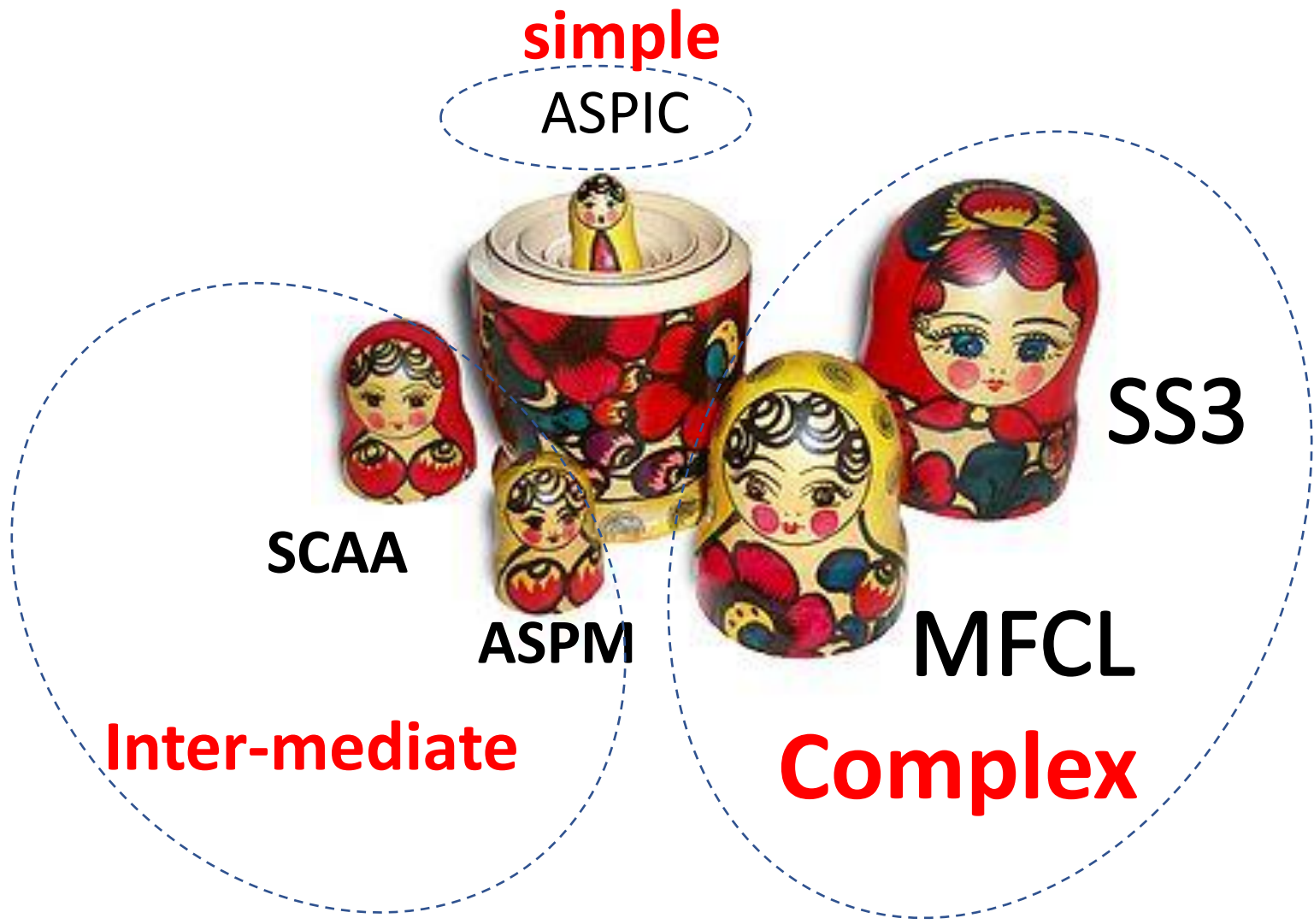
Simple : Production model → ASPIC

Intermediate: Age structured model → ASPM

Please note that ASPM and SCAA are similar  
ASPM **fixes** selectivity and SCAA **estimates** selectivity

We suggest to use ASPM

as estimation of selectivity (SCAA) is sometimes difficult  
(especially when sample size of length are small)



We further review  
Production models in details ...

# Evolution of Production Model

Evolution	Type	Authors	Features				Comments
			Equilibrium Condition (EC) (death=increase) (never happen)	Error type		Bayesian (better) Approach	
				Observation (data) error	Process (model) error		
old	Original PM	Shaeffer(1954), PT(1969) & Fox (1970)	YES				Classical <u>(Not recommended to use due to EC)</u>
	ASPIC (Ver5)	Prager (2004)					Basic, standard & common (RFMOs & fishing countries )
	ASPIC (ver7.5)	Prager (2017)	NO				
new	JABBA (Just Another Bayesian Biomass Assessment)	Winker (2018)					Best but high standard (slowly expanding) <b>Recommended</b>



# So we decided to develop the additional menu driven software : JABBA

Fisheries Research 204 (2018) 275–288



Contents lists available at [ScienceDirect](#)

## Fisheries Research

journal homepage: [www.elsevier.com/locate/fishres](http://www.elsevier.com/locate/fishres)



## JABBA: Just Another Bayesian Biomass Assessment

Henning Winker<sup>a,b,\*</sup>, Felipe Carvalho<sup>c</sup>, Maia Kapur<sup>c,d</sup>

<sup>a</sup> Department of Agriculture, Forestry and Fisheries, Private Bag X2, Vlaeberg, 8018, South Africa

<sup>b</sup> Centre for Statistics in Ecology, Environment and Conservation (SEEC), Department of Statistical Sciences, University of Cape Town, South Africa

<sup>c</sup> NOAA Pacific Islands Fisheries Science Center, 1845 Wasp Boulevard, Building 176, Honolulu, HI, 96818, United States

<sup>d</sup> Joint Institute for Marine and Atmospheric Research, University of Hawaii, 1845 Wasp Boulevard, Building 176, Honolulu, HI, 96818, United States



# JABBA (Complicated)

State space(many sub-models incorporated)

Process & OBS error, Bayesian, MCMC and Diagnostics

But basic idea is the production model (catch and CPUE)(simple)

JABBA has 2 opposite aspects

→ Complicated but simple (quick & dirty)  
(new type!! for us → 2 opposite philosophy)



*We recently started development. It will take 1 year...*

*Hope we can show you next year !*



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# Overview: Risk assessment

# Why we need to do Risk assessment ? Stock assessments are not enough ?

Stock assessments are enough to the some extent

We know the stock status

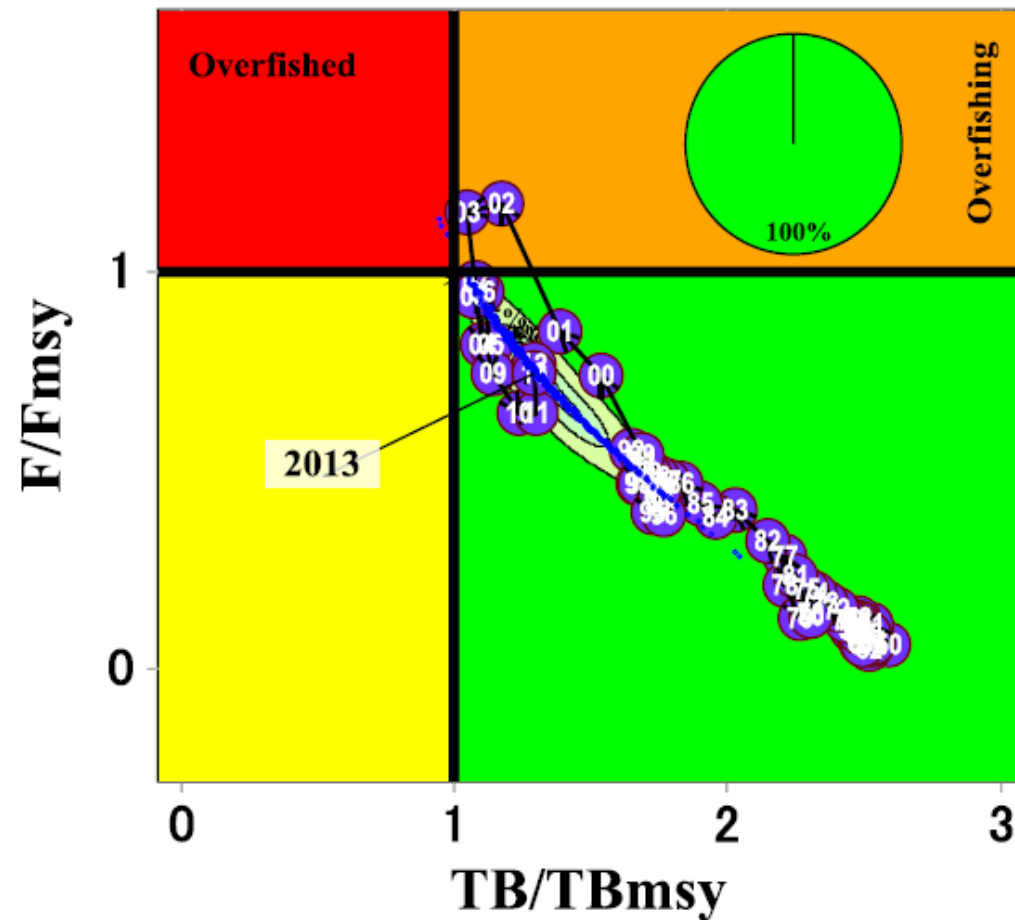
We can set up TAC (e.g. MSY)

Maybe that is enough and OK??

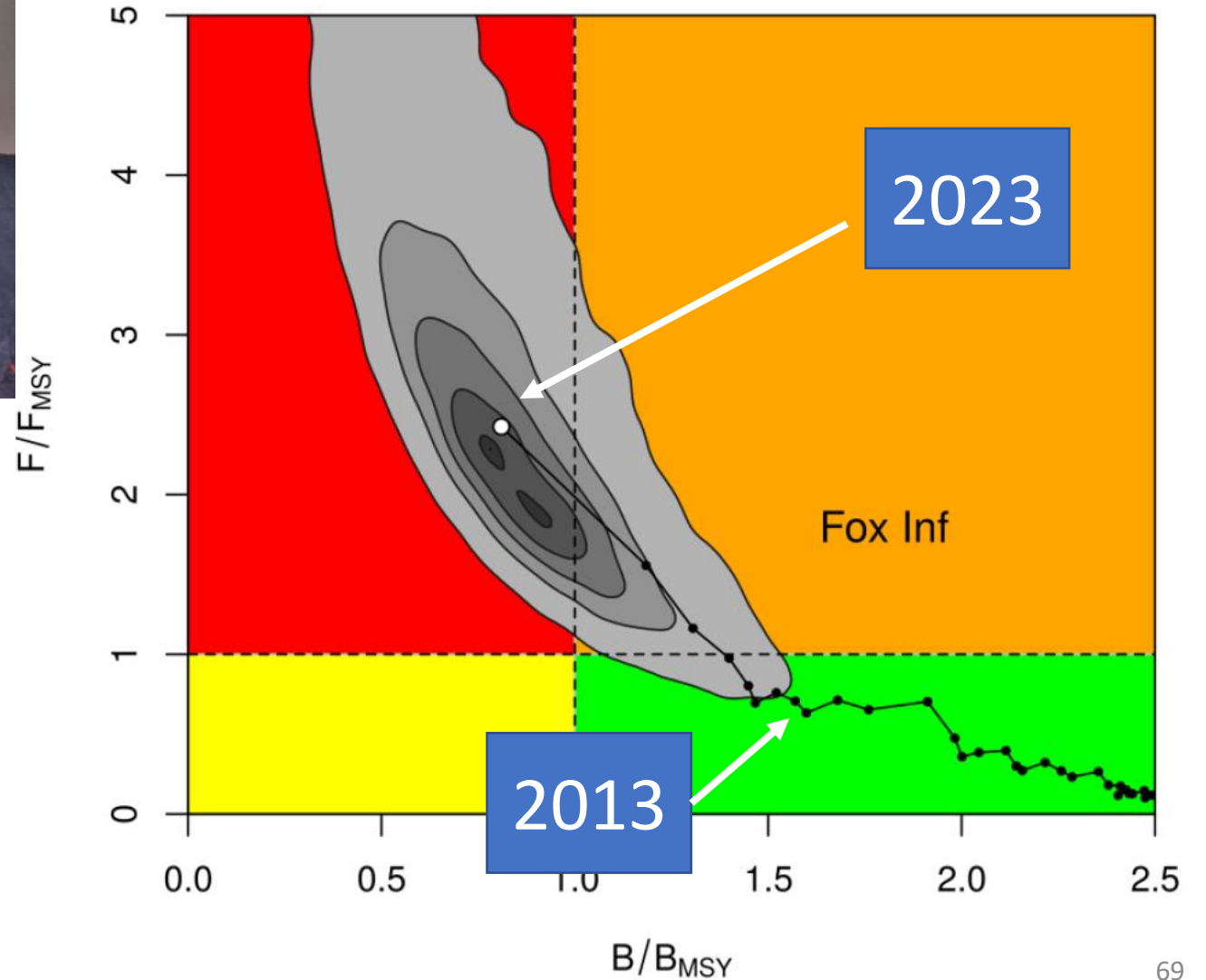
# Not OK...thus we need Risk assessment..

We know the current stock status → green (happy) zone ☺

So, we are OK, finish our work and we can relax ...



But if the current catch level were continued...  
then we may end up the **RED ZONE** in 10 years !



# How do we know the future stock status?

For example stock assessments → current stock status (red zone)

what happens the stock status

10 years later ?

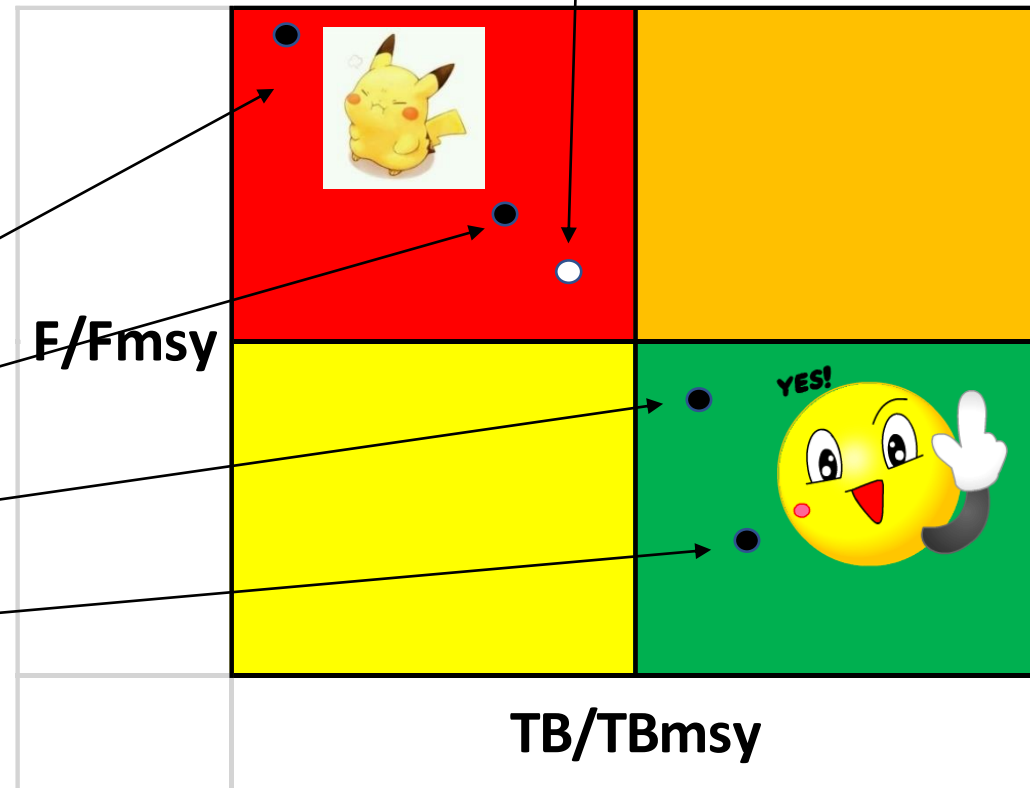
Depending on the catch levels

Higher catch (20,000 t)

**Current catch (10,000 t)**

MSY level (8,000 t)

Lower catch (5,000)



- Simply if catch level is higher
  - ➔ more RISK to violate MSY levels
- And vice versa
  - lower catch ➔ less risk to violate MSY level

**We should certainly avoid HIGH RISK**

→ We need to select **OPTIMUM CATCH level**  
**to maintain MSY level in the future (Pr>50%)**

For this purpose, we need to do Risk assessment, i.e.,

To determine the optimum catch



**Kobe II : Strategy matrix & diagram**



**We can secure sustainable resources and Fisheries**



# What are Kobe II : Strategy matrix & diagram?

Matrix or Diagram showing  
Probability of risk (%)  
violating MSY levels (Biomass & F)  
by different catch level  
in the future (10 years)

Will show real ones ..

Kobe II  
strategy matrix  
(TB+F)  
(3 & 10 years later)  
(IOTC)

to decide  
optimum catch level  
(TAC)

criteria

50% < Pr (risk)  
(10 years later)

a little less than MSY

21,000 ton

Probabilities(%) violating TBmsy and Fmsy in 3 and 10 years.

Color legend				
Risk levels	Low risk	Medium low risk	Medium high risk	High risk
Probably	0 – 20%	20 – 50%	50 – 80%	80 – 100

Catch level →	60%	70%	80%	90%	100%	110%	115%	120%	130%	140%	
						Current catch (*)		MSY level			
10 catch scenarios (tons)	11,231	13,103	14,975	16,847	18,719	20,591	21,500	22,463	24,335	26,207	
3 years later	TB2019 < TBmsy	1	2	3	5	8	12	14	16	23	30
	F2019 > FMSY	0	0	0	0	3	11	20	29	63	97
10 years later	TB2026 < TBmsy	0	0	0	1	8	34	52	70	96	100
	F2026 > FMSY	0	0	0	0	5	31	53	76	100	100

(\*)The current catch levels is the average catch in 3 recent years(2014–2016).

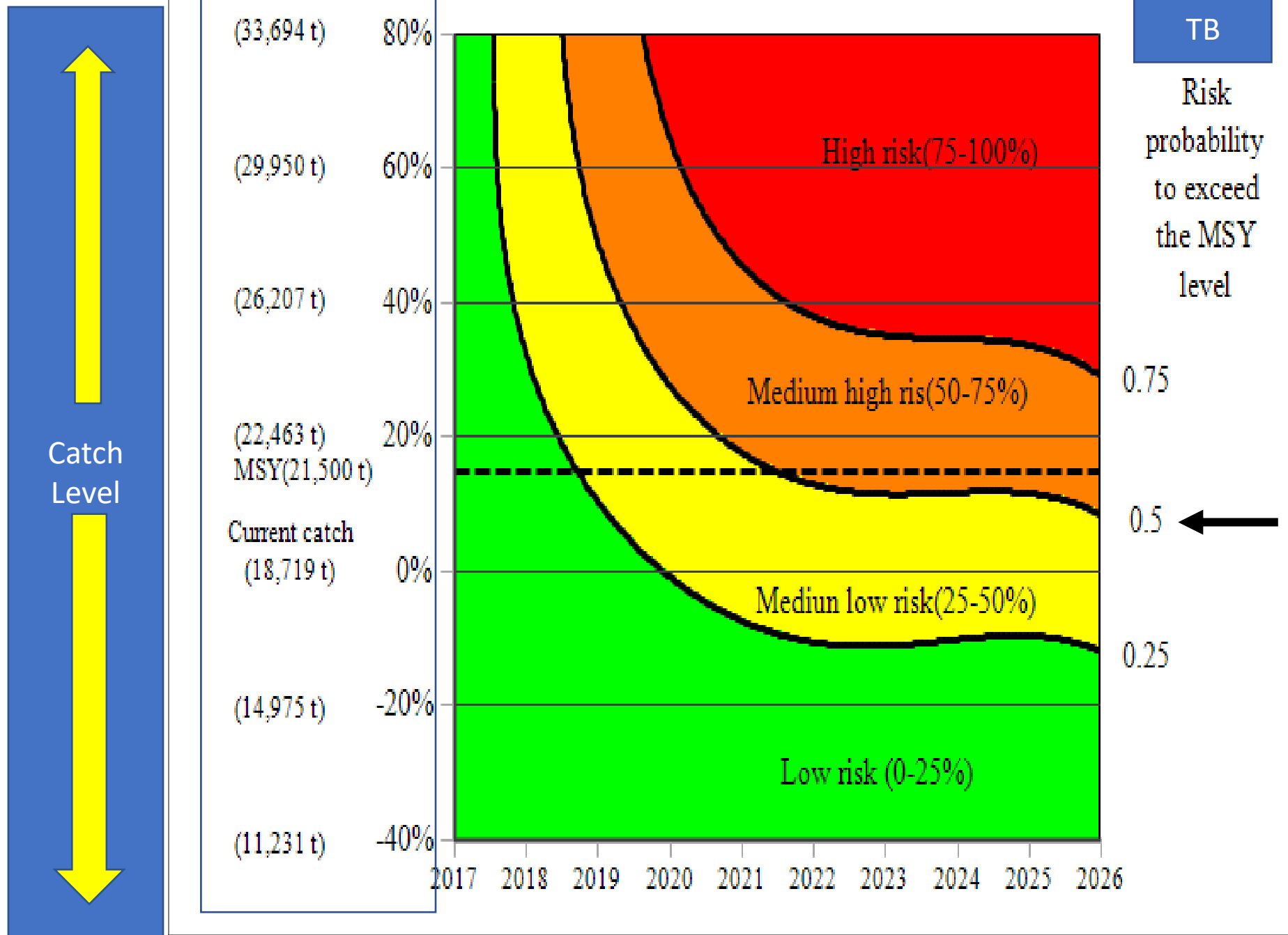
Kobe II  
strategy diagram  
(more general)  
(by TB and F)  
(10 years)

to decide  
optimum catch level  
(TAC)

criteria  
 $50\% < Pr(\text{risk})$   
(10 years later)

a little less than MSY

21,000 ton



## Summary

Stock assessments not enough → Risk assessment

Stock assessments → Current stock status (MSY)

We don't know the **future stock status**



Depending upon **catch levels**



Risk assessment will provide **future stock status**  
(by various catch levels)



We will find out Optimum catch level (TAC)

to sustain SAFE stock status → < 50% Risk violating MSY (future)

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(3) Summary

# Evolution of Production Model

Evolution	Type	Authors	Features				Comments
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	ASPIC (Ver5)	Prager (2004)	NO				Basic, standard & common (RFMOs & fishing countries )
	ASPIC (ver7.5)	Prager (2017)					
	new	JABBA (Just Another Bayesian Biomass Assessment)		Winker (2018)			



**ASPIC**  
**A Stock Production**  
**Model Incorporating**  
**Covariates**

Outline

**INPUT**  
Catch &  
CPUE



Need to estimate  
4 parameters

**B1/K**

**q**

**MSY**

**K**

**OUTPUT (Estimation)**  
**MSY, F,**  
**r (intrinsic pop growth rate),**  
**K (Carrying capacity),**  
**q (catchability)**  
**B1/K (depletion)**  
**Population size**



how to estimate 4 parameters ?

# Original ASPIC : A Single run/time

```
コマンド プロンプト
Microsoft Windows [Version 6.1.7601]
Copyright (c) 2009 Microsoft Corporation. All rights reserved.

C:\Users\YTN4>cd C:\YTN\Neritic(SEAFDEC)\マニュアル\4 software (109MB)\(2) ASPIC (original soft) (v 5.05) Prager (2004) (1.3MB)

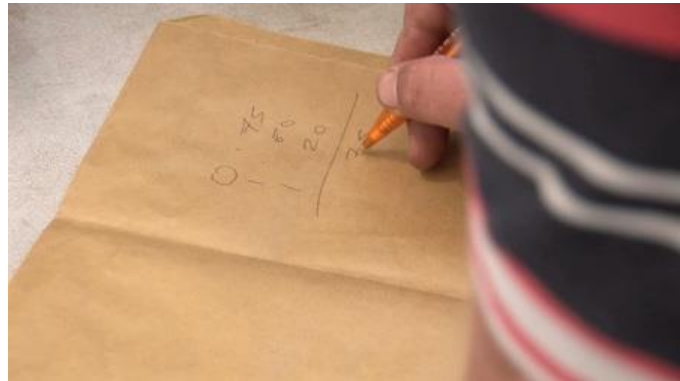
C:\YTN\Neritic(SEAFDEC)\マニュアル\4 software (109MB)\(2) ASPIC (original soft) (v 5.05) Prager (2004) (1.3MB)>dir
ドライブ C のボリューム ラベルは Windows7_OS です
ボリューム シリアル番号は 5CE1-2062 です

C:\YTN\Neritic(SEAFDEC)\マニュアル\4 software (109MB)\(2) ASPIC (original soft) (v 5.05) Prager (2004) (1.3MB) のディレクトリ

2016/04/18  23:34    <DIR>          .
2016/04/18  23:34    <DIR>          ..
2005/05/17  05:50             939,220 aspic.exe
2011/06/23  14:56             240,313 aspic5_05(manual).pdf
2004/08/18  08:31             132,431 ASPIC5_05.pdf
2006/11/02  21:54              1,659 Command Prompt.lnk
2014/01/24  10:23              2,656 s14.inp
2013/05/28  16:56              4,963 test.inp
               6 個のファイル             1,321,242 バイト
               2 個のディレクトリ 101,927,071,744 バイトの空き領域
```

If you have several scenarios on K, B1/K, q and MSY  
for example 3 each

- $4^3 = 256$
- With 2 models (Schaefer and FOX)
- Then Total 512 combinations
- Too much to do by hand (one by one) (Pencil and Paper method)



→ you will be tired  
you need strong muscle!



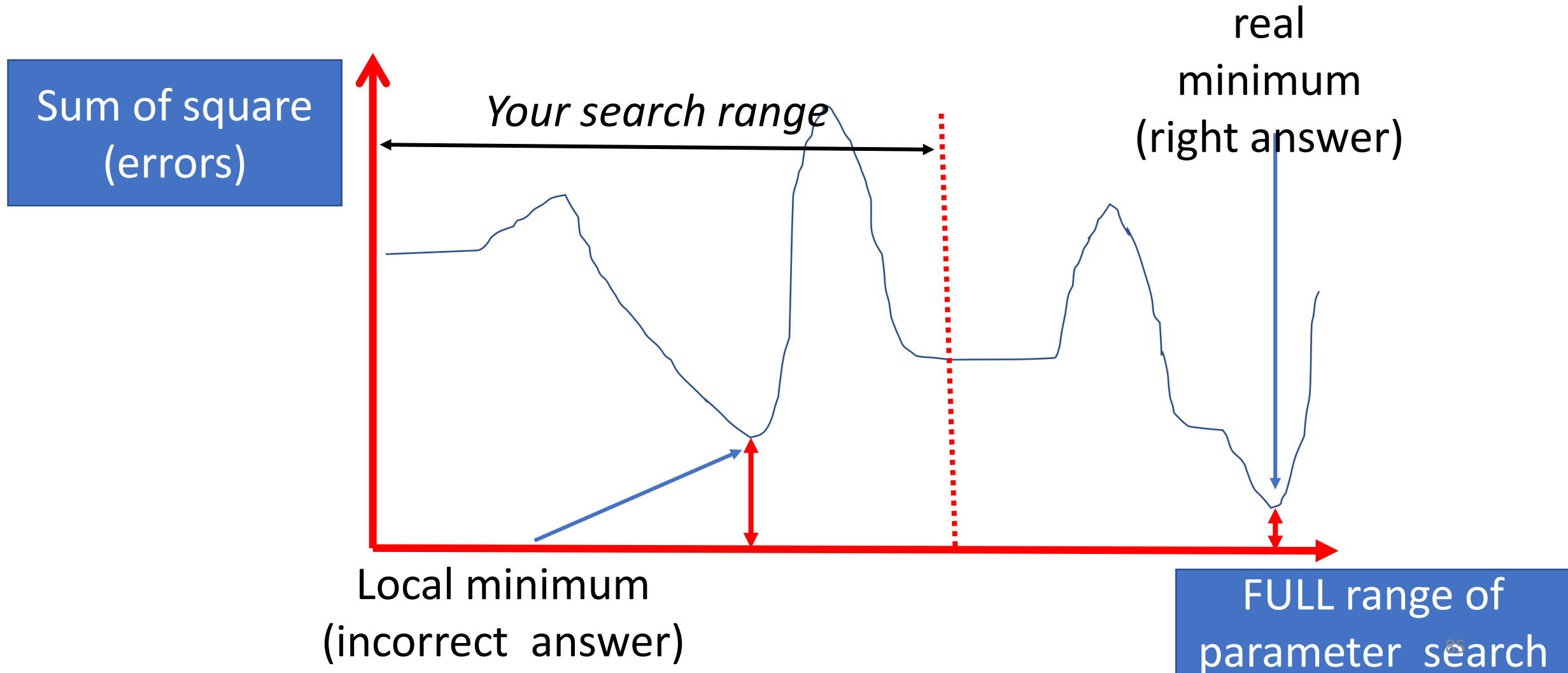
But danger is the local minimum

- False convergences (answers)  
(incorrect estimated parameters)

What is the local minimum?

We select optimum parameters when SSE (errors) is minimum.

You might find the **incorrect** SSE ( $\rightarrow$  parameters) if your search range is limited.



To protect damages of your muscle and to avoid local minimum  
we develop special software

**ASPIC Grid search software (menu driven)**

This automatically run  
combination of plausible parameters ranges

No need pencil and paper method



Software works for you (you can rest)  
No worry about the local minimum



# Starting ASPIC Batch job



**DOUBLECLICK**

You will see the  
1<sup>st</sup> window  
(menu)

then import  
input data.

The screenshot shows the ASPIC batch job (ver.2) (2018) software interface. The window title is "ASPIC batch job (ver.2) (2018)". The interface is divided into several sections:

- Input file (\*.inp):** A text box for entering the input file name, with a blue arrow pointing to it from the text "then import input data." in the left margin.
- Models:** A section with checkboxes for "Schaefer" and "FOX", and a "Combination:" field with the value "0".
- Parameter Table:** A table with columns for "mini(<=)", "Start", "max(<=)", "step", and "Combination". The first row is labeled "B1/k" and has values 0.0, 0.0, 0.0, 0.0, and 0. Below it are rows for "q1" through "q5", all with empty input fields and a "0" in the "Combination" column.
- set up:** A section with columns for "mini(1,000tons)", "Start", "max(1,000tons)", "step", and "Combination". It has rows for "MSY" and "K", with empty input fields and "0" in the "Combination" column.
- total number of combinations (batch job):** A field with the value "0".
- Option of batch job:** A section with three buttons: "Start" (highlighted in blue), "Pause", and "Termination". There is also a "Clear" button.
- Processing time:** A section showing "Processing time: 00h00m" and a progress indicator "00/00".
- Footer:** A line of text: "[Current no. of the batch job being processed]/[total number of the batch job]".



# Setting up the grid search (range & step)

input file



Selection of PM



B1/K

q

MSY

K

Input file (\*.inp)  
C:\TN\ (ORG-12) (3) 海外協力(42G)\韓国\パワーポイント\ASPIC\run1.inp

Models  
 Schaefer  FOX Combination: 2

	mini(<=)	Start	max(<=)	step	Combination
B1/K	0.7	0.9	1.0	0.1	4
q1	4.0d-6		3.0d-5	1.0d-5	4
q2					0
q3					0
q4					0
q5					0

set up	mini(1,000tons)	Start	max(1,000tons)	step	
MSY	120	200	280	50	4
K	290	550	840	200	3

total number of combinations (batch job) 384

Option of batch job  
Start Pause Termination Clear

Processing time: 00h00m 00/00  
[Current no. of the batch job being processed]/[total number of the batch job]

Now software working for you 348 combo.

Input file(\*.inp)  
C:\TN\ORG-12) (3) 海外協力(42G)\韓国\ワーポイント\ASPIC\run1.inp

Models  
 Schaefer    FOX   Combination: 2

	mini(<=)	Start	max(<=)	step	Combination
B1/K	0.8	0.9	1.0	0.1	3
q1	4.99d-5		9.99d-5	4.99d-5	2
q2					0
q3					0
q4					0
q5					0

set up	mini(1,000tons)	Start	max(1,000tons)	step	
MSY	120	200	280	50	4
K	290	550	840	200	3

total number of combinations (batch job) 144

Option of batch job  
 Start   **Pause**   **Termination**   Clear

```

R:2 It: 322 B1/K:0.0743 K:3.81E+05 MSY:2.14E+05 SSE:5.9033556E+00
R:3 It: 524 B1/K:0.3503 K:2.90E+05 MSY:2.24E+05 SSE:5.5007698E+00
R:4 It: 273 B1/K:0.3502 K:2.90E+05 MSY:2.24E+05 SSE:5.5004623E+00
R:5 It: 280 B1/K:0.3502 K:2.90E+05 MSY:2.24E+05 SSE:5.5004623E+00
R:6 It: 254 B1/K:0.3502 K:2.90E+05 MSY:2.24E+05 SSE:5.5004623E+00
R:7 It: 276 B1/K:0.3502 K:2.90E+05 MSY:2.24E+05 SSE:5.5004623E+00
R:8 It: 309 B1/K:0.3502 K:2.90E+05 MSY:2.24E+05 SSE:5.5004623E+00
R:9 It: 273 B1/K:0.3502 K:2.90E+05 MSY:2.24E+05 SSE:5.5004623E+00

Elapsed CPU ticks: 109
Elapsed time: 0 hours, 0 minutes, 0 seconds.

WARNING: At least one parameter estimate is at or near a constraint.
Solution may be trivial--examine output file run1.fit carefully.

----- ASPIC Version 5.10 -----

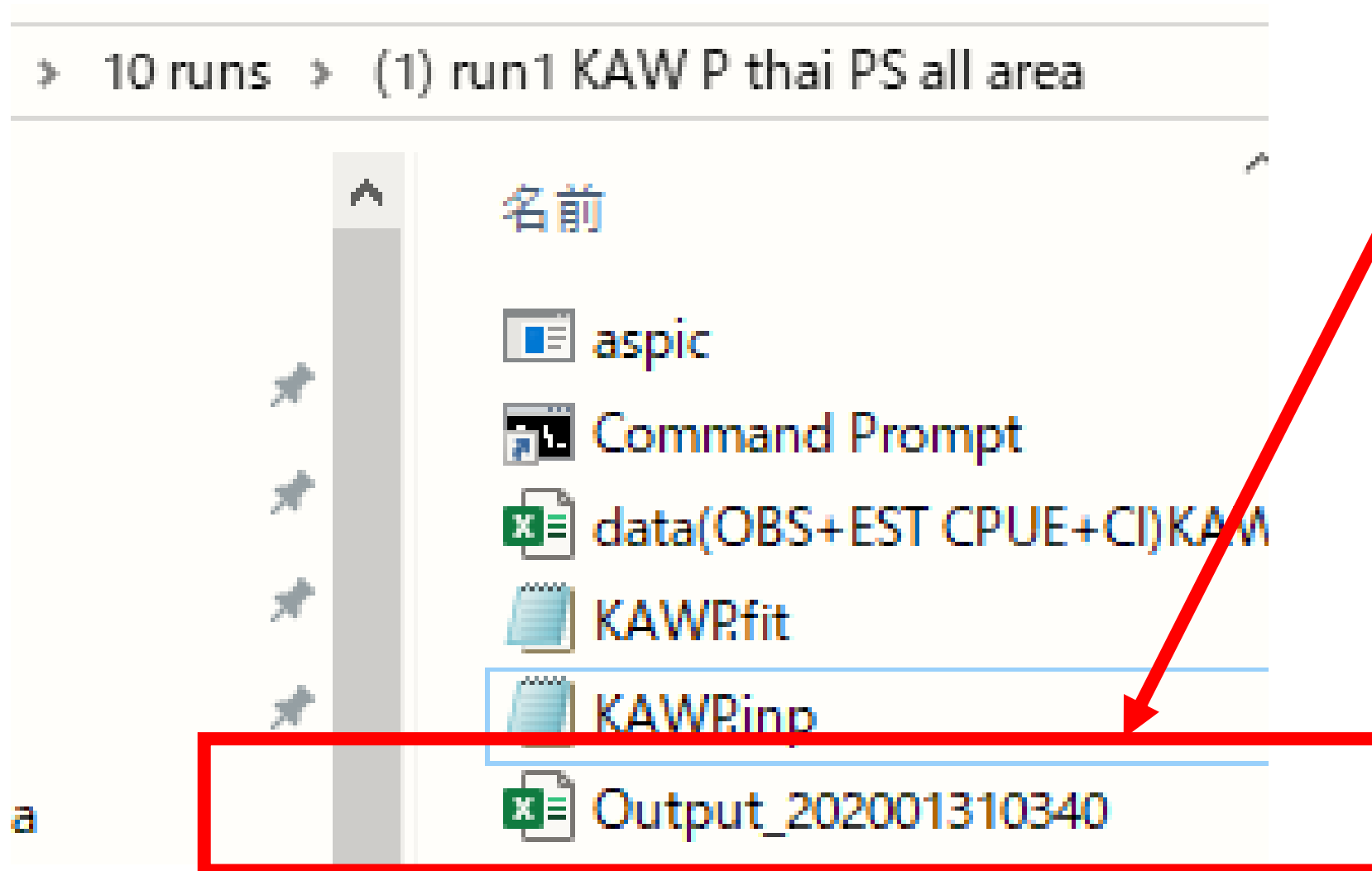
NOTE: Reading input file run1.inp
TITLE: (1) KAW-P

R:0 It: 495 B1/K:0.0743 K:3.80E+05 MSY:2.14E+05 SSE:5.8951831E+00
R:1 It: 294 B1/K:0.0743 K:3.79E+05 MSY:2.14E+05 SSE:5.8949824E+00
R:2 It: 317 B1/K:0.0743 K:3.79E+05 MSY:2.14E+05 SSE:5.8948370E+00
R:3 It: 531 B1/K:0.3878 K:2.90E+05 MSY:2.24E+05 SSE:5.5004260E+00
R:4 It: 286 B1/K:0.3878 K:2.90E+05 MSY:2.24E+05 SSE:5.5004258E+00
R:5 It: 319 B1/K:0.3878 K:2.90E+05 MSY:2.24E+05 SSE:5.5004185E+00
R:6 It: 292 B1/K:0.3878 K:2.90E+05 MSY:2.24E+05 SSE:5.5004184E+00
R:7 It: 269 B1/K:0.3878 K:2.90E+05 MSY:2.24E+05 SSE:5.5004184E+00
R:8 It: 302 B1/K:0.3878 K:2.90E+05 MSY:2.24E+05 SSE:5.5004183E+00
  
```

Processing time: 0h0m   **34/348**

[Current no. of the batch job being processed]/[total number of the batch job]

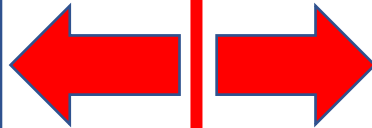
Results (output) will be stored in the excel file with time stamp



# List of results of 1<sup>st</sup> 25 runs (excel file)

Time	0h2m	No of jobs	60	Average	0.0388	Min/job	Sec/job	2.33														
Parameters	Model	B1/K	q(LOT-PI)	MSY	K																	
Range (step)	Fox and Schaefer	0.1-1 by 0.2	2.3d-6-2.3d-6 by 2.3d-6-1	120-280 by 30	900																	
Flag (0: fixed / 1: estimate)		1	1	1	0																	
Biomass unit in 1,000 tons																						
No	Model	B1/K	q	MSY(min)	MSY(start)	MSY(max)	K(min)	K(start)	K(max)	B1/K[Est]	R2	q(LOT-	RMS	r	K[Est]	MSY	Bmsy	Fmsy	B/Bmsy	F/Fmsy	TB	note
1	Schaefer	0.1	2.3d-6	120	120	280	290	900	1200													FATAL: MSY bounds do not include starting guess.
2	Schaefer	0.1	2.3d-6	120	150	280	290	900	1200	1.006	0.28	1.779E-06	0.5066	0.830222	900	186.8	450	0.4151	1.406	0.6388	649.3	NOTE: ASPIC ended normally. The output file is lot.fit
3	Schaefer	0.1	2.3d-6	120	180	280	290	900	1200	1.006	0.28	1.779E-06	0.5066	0.830222	900	186.8	450	0.4151	1.406	0.6388	649.3	NOTE: ASPIC ended normally. The output file is lot.fit
4	Schaefer	0.1	2.3d-6	120	210	280	290	900	1200	1.006	0.28	1.779E-06	0.5066	0.830222	900	186.8	450	0.4151	1.406	0.6388	649.3	NOTE: ASPIC ended normally. The output file is lot.fit
5	Schaefer	0.1	2.3d-6	120	240	280	290	900	1200	1.006	0.28	1.779E-06	0.5066	0.830222	900	186.8	450	0.4151	1.406	0.6388	649.3	NOTE: ASPIC ended normally. The output file is lot.fit
6	Schaefer	0.1	2.3d-6	120	270	280	290	900	1200	1.006	0.28	1.779E-06	0.5066	0.830222	900	186.8	450	0.4151	1.406	0.6388	649.3	NOTE: ASPIC ended normally. The output file is lot.fit
7	Schaefer	0.3	2.3d-6	120	120	280	290	900	1200													FATAL: MSY bounds do not include starting guess.
8	Schaefer	0.3	2.3d-6	120	150	280	290	900	1200	1.006	0.28	1.779E-06	0.5066	0.830222	900	186.8	450	0.4151	1.406	0.6388	649.3	NOTE: ASPIC ended normally. The output file is lot.fit
9	Schaefer	0.3	2.3d-6	120	180	280	290	900	1200	1.006	0.28	1.779E-06	0.5066	0.830222	900	186.8	450	0.4151	1.406	0.6388	649.3	NOTE: ASPIC ended normally. The output file is lot.fit
10	Schaefer	0.3	2.3d-6	120	210	280	290	900	1200	1.006	0.28	1.779E-06	0.5066	0.830222	900	186.8	450	0.4151	1.406	0.6388	649.3	NOTE: ASPIC ended normally. The output file is lot.fit
11	Schaefer	0.3	2.3d-6	120	240	280	290	900	1200	1.006	0.28	1.779E-06	0.5066	0.830222	900	186.8	450	0.4151	1.406	0.6388	649.3	NOTE: ASPIC ended normally. The output file is lot.fit
12	Schaefer	0.3	2.3d-6	120	270	280	290	900	1200	1.006	0.28	1.779E-06	0.5066	0.830222	900	186.8	450	0.4151	1.406	0.6388	649.3	NOTE: ASPIC ended normally. The output file is lot.fit
13	Schaefer	0.5	2.3d-6	120	120	280	290	900	1200													FATAL: MSY bounds do not include starting guess.
14	Schaefer	0.5	2.3d-6	120	150	280	290	900	1200	1.006	0.28	1.779E-06	0.5066	0.830222	900	186.8	450	0.4151	1.406	0.6388	649.3	NOTE: ASPIC ended normally. The output file is lot.fit
15	Schaefer	0.5	2.3d-6	120	180	280	290	900	1200	1.006	0.28	1.779E-06	0.5066	0.830222	900	186.8	450	0.4151	1.406	0.6388	649.3	NOTE: ASPIC ended normally. The output file is lot.fit
16	Schaefer	0.5	2.3d-6	120	210	280	290	900	1200	1.006	0.28	1.779E-06	0.5066	0.830222	900	186.8	450	0.4151	1.406	0.6388	649.3	NOTE: ASPIC ended normally. The output file is lot.fit
17	Schaefer	0.5	2.3d-6	120	240	280	290	900	1200	1.006	0.28	1.779E-06	0.5066	0.830222	900	186.8	450	0.4151	1.406	0.6388	649.3	NOTE: ASPIC ended normally. The output file is lot.fit
18	Schaefer	0.5	2.3d-6	120	270	280	290	900	1200	1.006	0.28	1.779E-06	0.5066	0.830222	900	186.8	450	0.4151	1.406	0.6388	649.3	NOTE: ASPIC ended normally. The output file is lot.fit
19	Schaefer	0.7	2.3d-6	120	120	280	290	900	1200													FATAL: MSY bounds do not include starting guess.
20	Schaefer	0.7	2.3d-6	120	150	280	290	900	1200	1.006	0.28	1.779E-06	0.5066	0.830222	900	186.8	450	0.4151	1.406	0.6388	649.3	NOTE: ASPIC ended normally. The output file is lot.fit
21	Schaefer	0.7	2.3d-6	120	180	280	290	900	1200	1.006	0.28	1.779E-06	0.5066	0.830222	900	186.8	450	0.4151	1.406	0.6388	649.3	NOTE: ASPIC ended normally. The output file is lot.fit
22	Schaefer	0.7	2.3d-6	120	210	280	290	900	1200	1.006	0.28	1.779E-06	0.5066	0.830222	900	186.8	450	0.4151	1.406	0.6388	649.3	NOTE: ASPIC ended normally. The output file is lot.fit
23	Schaefer	0.7	2.3d-6	120	240	280	290	900	1200	1.006	0.28	1.779E-06	0.5066	0.830222	900	186.8	450	0.4151	1.406	0.6388	649.3	NOTE: ASPIC ended normally. The output file is lot.fit
24	Schaefer	0.7	2.3d-6	120	270	280	290	900	1200	1.006	0.28	1.779E-06	0.5066	0.830222	900	186.8	450	0.4151	1.406	0.6388	649.3	NOTE: ASPIC ended normally. The output file is lot.fit
25	Schaefer	0.9	2.3d-6	120	120	280	290	900	1200													FATAL: MSY bounds do not include starting guess.

Combinations (scenarios)



Results (estimated parameters)

# How to select the most optimum parameters?

(1) Select runs with “ASPIC ended normally (converged)”

F/Fmsy	TB	note	
		<del>FATAL: MSY bounds do not include starting guess.</del>	
0.6388	649.3	NOTE: ASPIC ended normally. The output file is lot.fit	
0.6388	649.3	NOTE: ASPIC ended normally. The output file is lot.fit	
0.6388	649.3	NOTE: ASPIC ended normally. The output file is lot.fit	
0.6388	649.3	NOTE: ASPIC ended normally. The output file is lot.fit	
0.6388	649.3	NOTE: ASPIC ended normally. The output file is lot.fit	
		<del>FATAL: MSY bounds do not include starting guess.</del>	NG
0.6388	649.3	NOTE: ASPIC ended normally. The output file is lot.fit	
0.6388	649.3	NOTE: ASPIC ended normally. The output file is lot.fit	
0.6388	649.3	NOTE: ASPIC ended normally. The output file is lot.fit	
0.6388	649.3	NOTE: ASPIC ended normally. The output file is lot.fit	
0.6388	649.3	NOTE: ASPIC ended normally. The output file is lot.fit	
		<del>FATAL: MSY bounds do not include starting guess.</del>	NG
0.6388	649.3	NOTE: ASPIC ended normally. The output file is lot.fit	

# How to select the most optimum parameters?

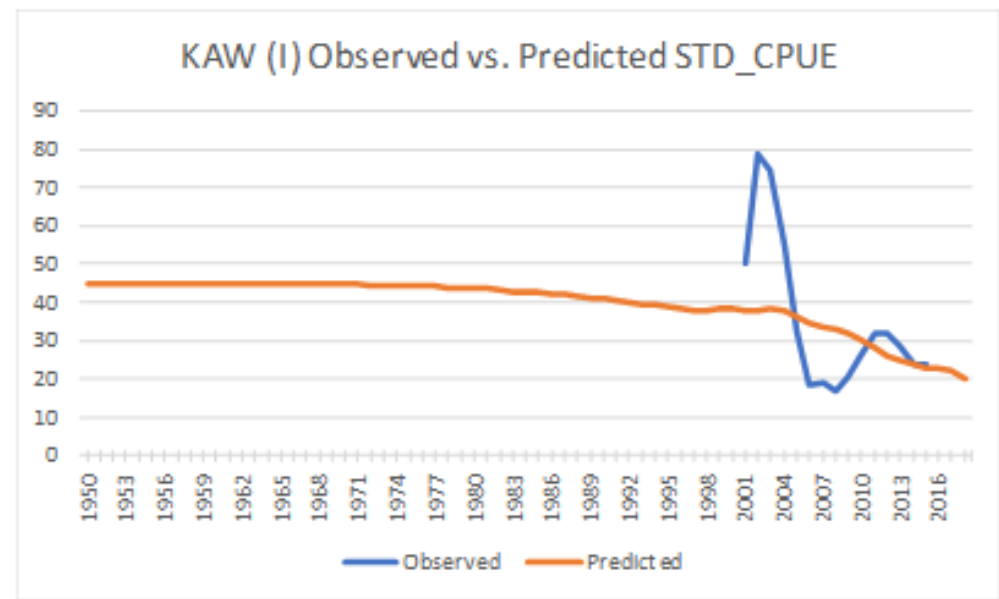
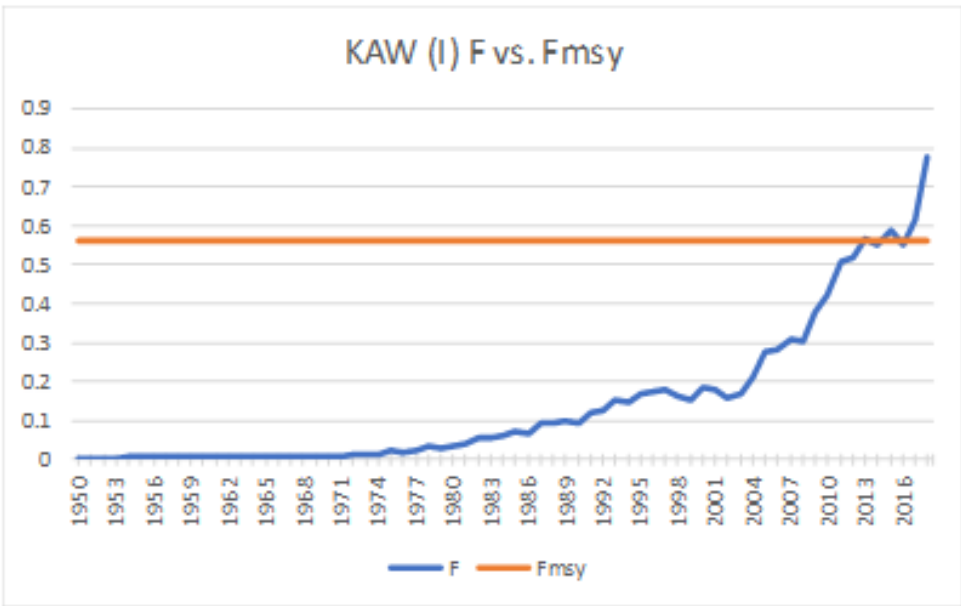
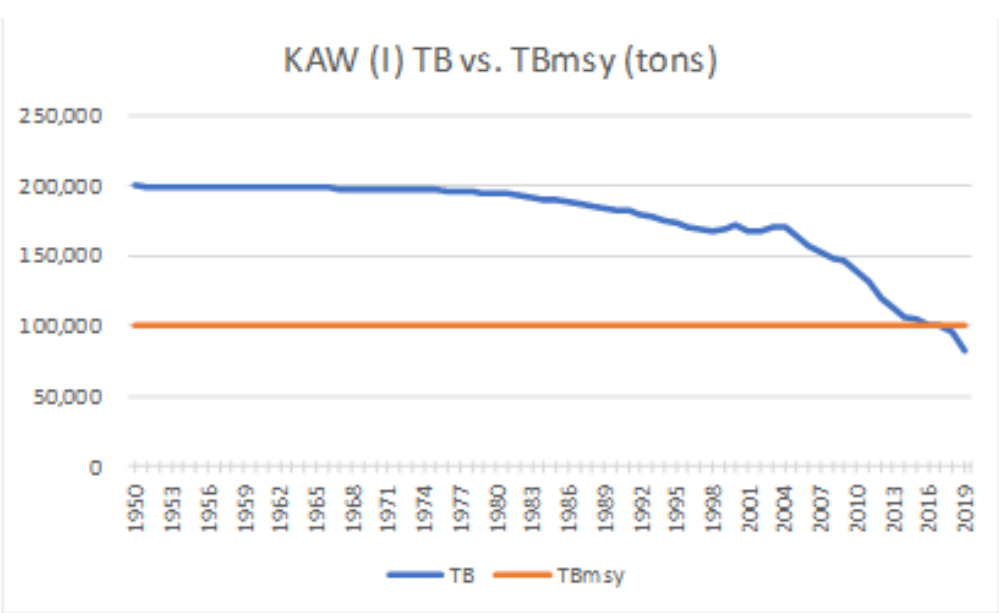
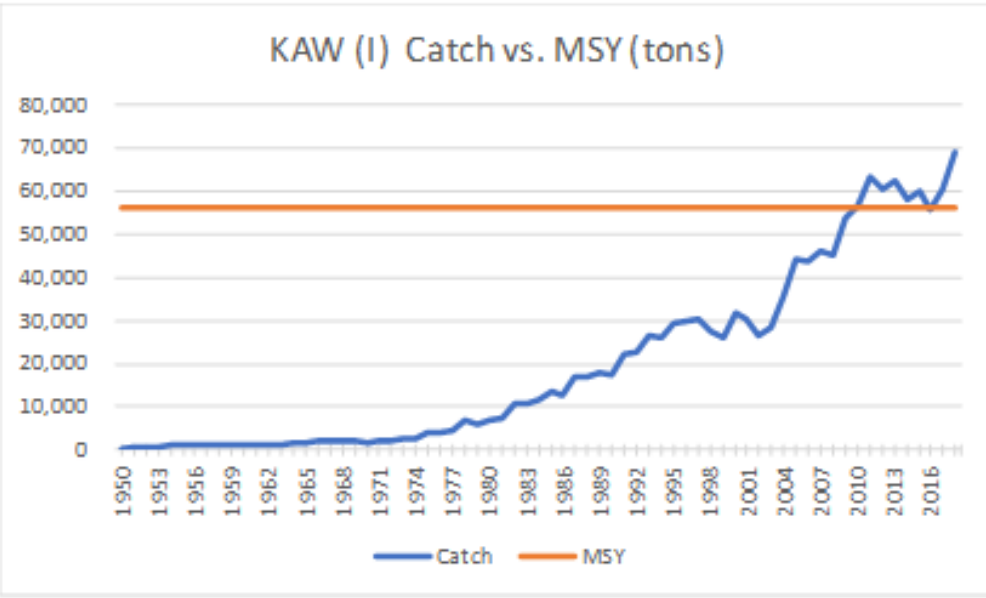
(2) Sort by RMS (ascending) & R2(descending)

Select the run with smallest and largest value, respectively.

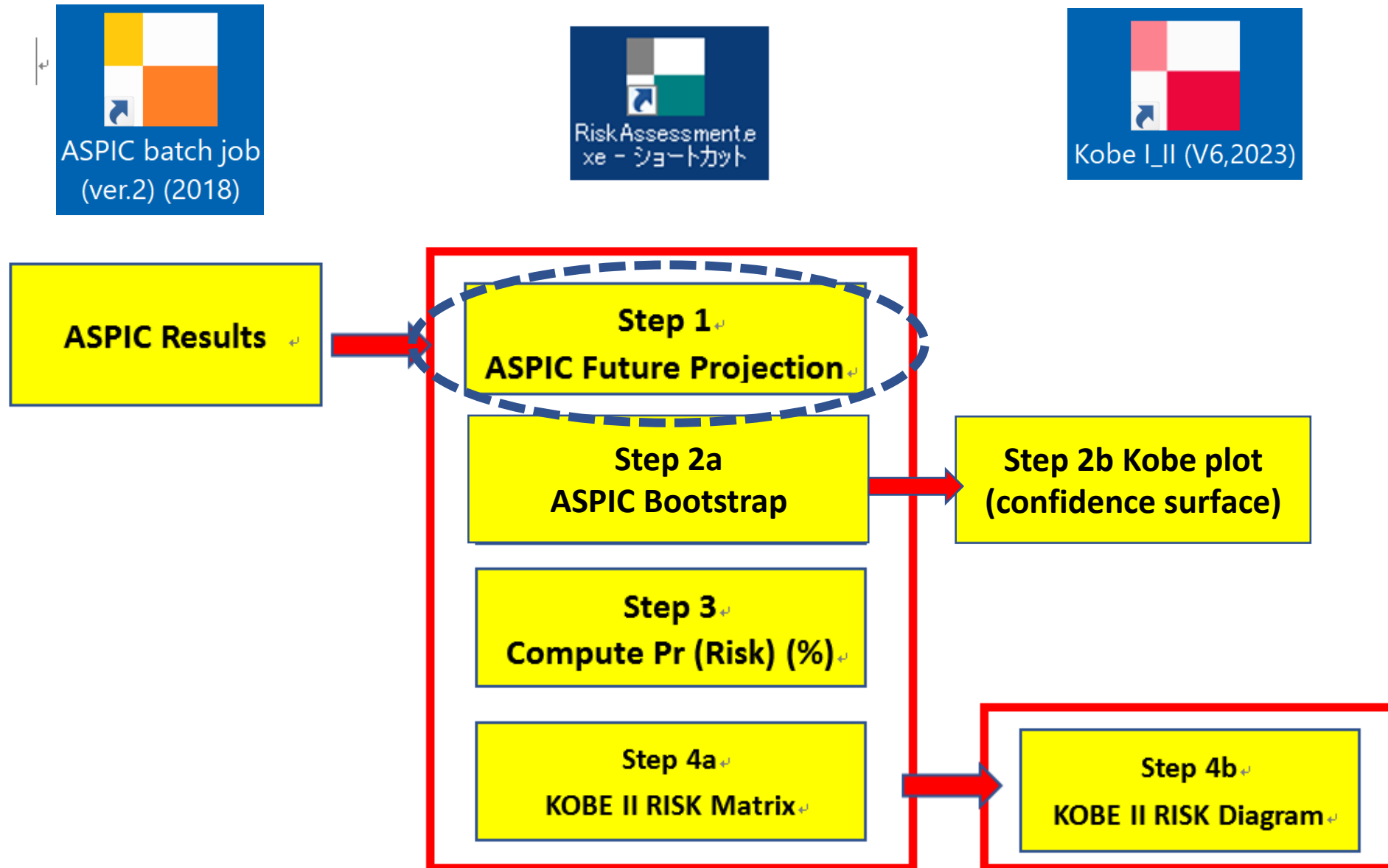
R2	q(LOT-	RMS	r	K[Est]	MSY	Bmsy	Fmsy	B/Bmsy	F/Fmsy	TB
0.36	2.109E-06	0.4877	0.569933	900	188.7	331.1	0.5701	1.661	0.5338	567.7
0.36	2.109E-06	0.4877	0.569933	900	188.7	331.1	0.5701	1.661	0.5338	567.7
0.36	2.109E-06	0.4877	0.569933	900	188.7	331.1	0.5701	1.661	0.5338	567.7
0.28	1.779E-06	0.5066	0.830222	900	186.8	450	0.4151	1.406	0.6388	649.3
0.28	1.779E-06	0.5066	0.830222	900	186.8	450	0.4151	1.406	0.6388	649.3
0.28	1.779E-06	0.5066	0.830222	900	186.8	450	0.4151	1.406	0.6388	649.3
0.28	1.779E-06	0.5066	0.830222	900	186.8	450	0.4151	1.406	0.6388	649.3
0.28	1.779E-06	0.5066	0.830222	900	186.8	450	0.4151	1.406	0.6388	649.3

*RMS: Root Mean Square*

# Results Point estimates (Graphs)



# Uncertainties, projection, risk assessment and Kobe I+II



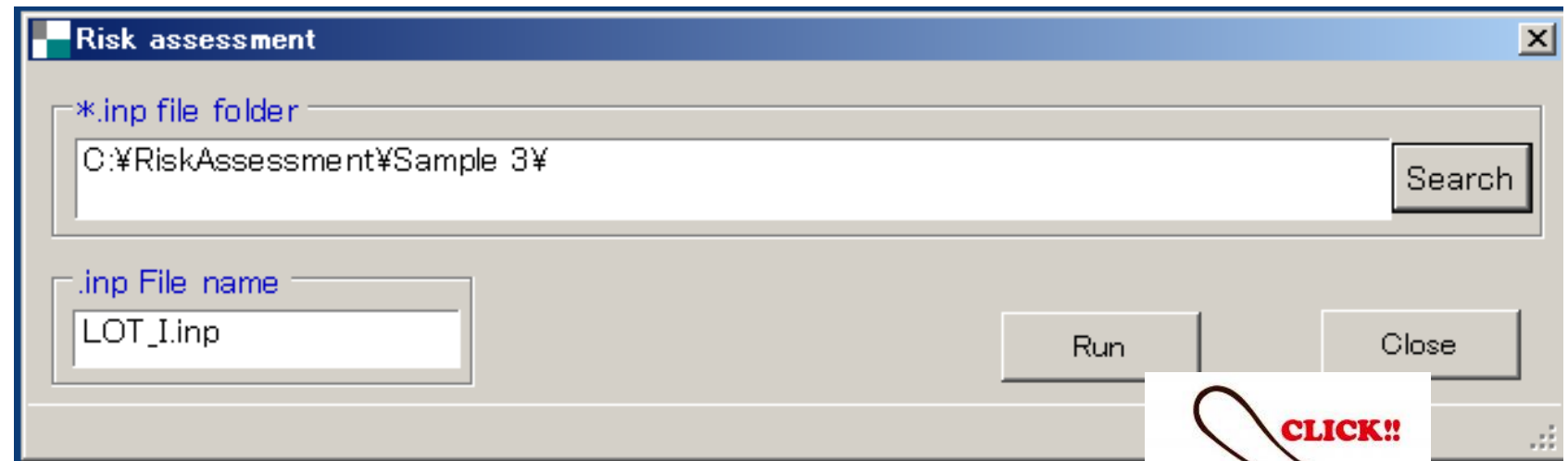


# Step 1: Future projection by different catch level from the current catch (1)



**DOUBLECLICK**

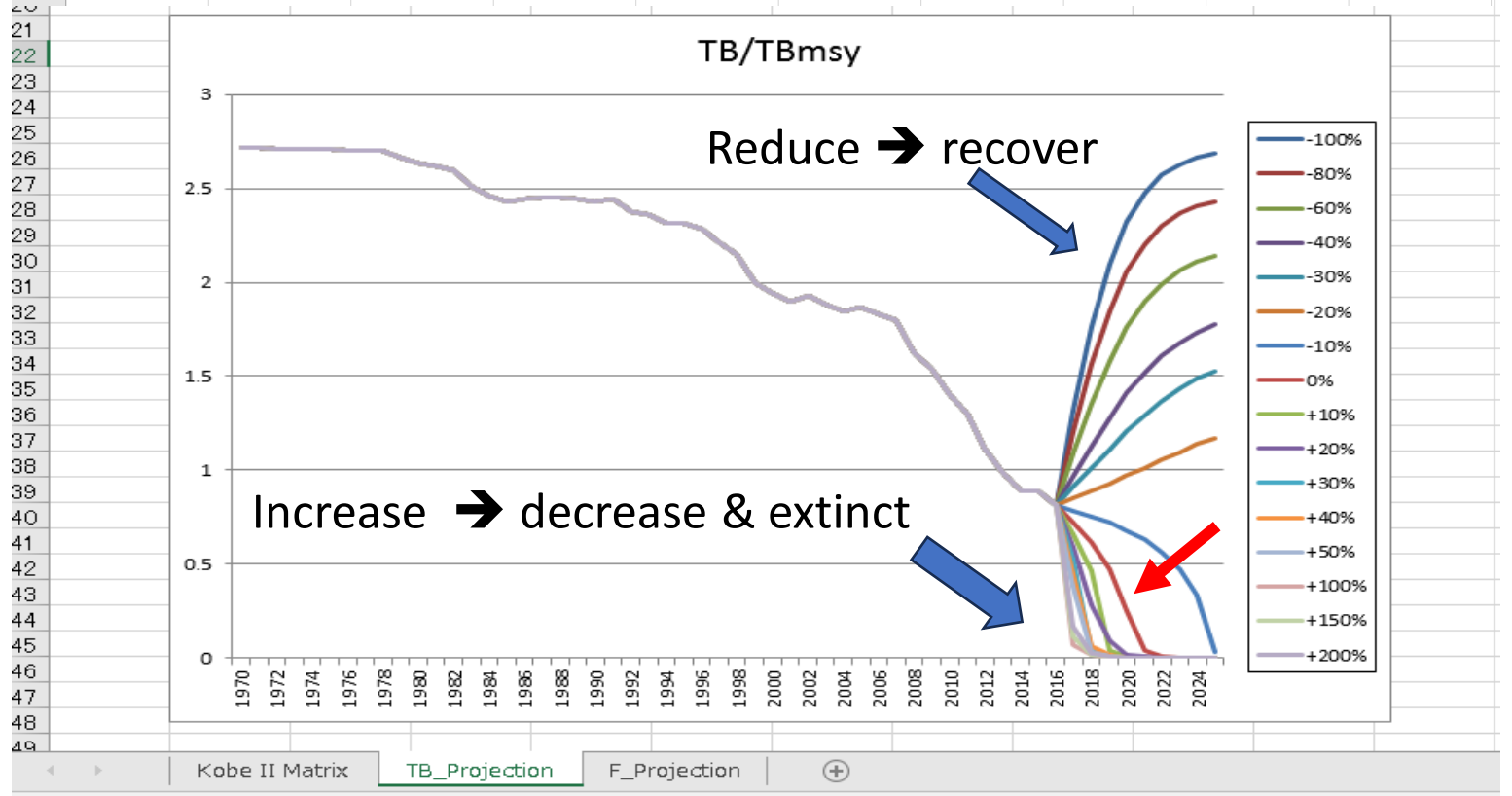
**Import the input file**



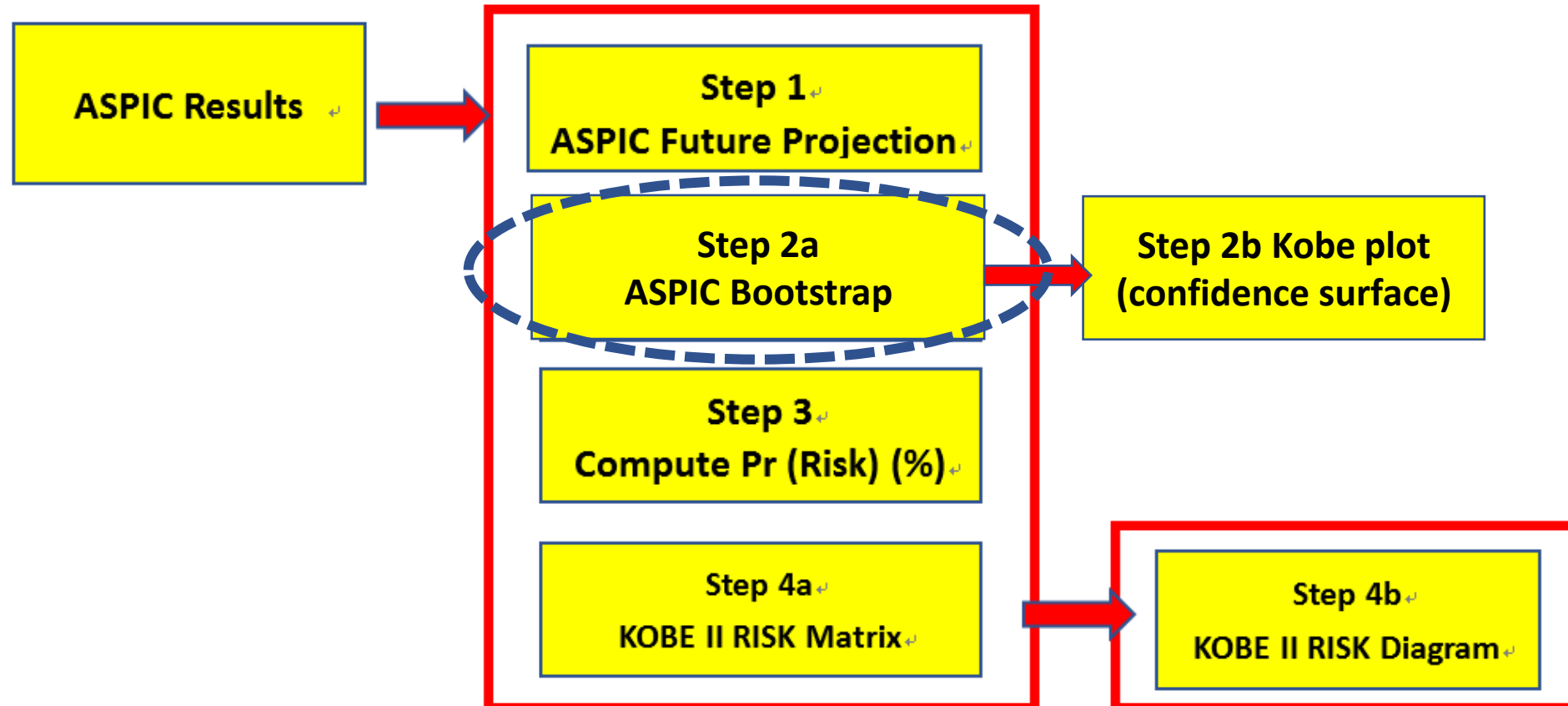
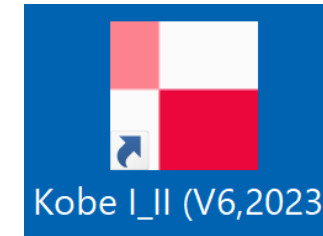
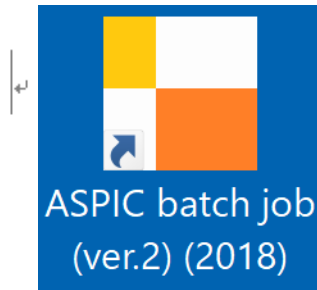
**CLICK!!**

Step 1  
 Future projection  
 TB (MSY) & F (MSY)  
 by different catch  
 level  
 from the current  
 catch 0%

	A	AW	AX	AY	AZ	BA	BB	BC	BD	BE
1		2017	2018	2019	2020	2021	2022	2023	2024	2025
2	-100%	1.008	0.9609	0.8235	0.7384	1.283	1.691	1.887	1.962	1.987
3	-80%	1.008	0.9609	0.8235	0.7384	1.164	1.522	1.728	1.821	1.859
4	-60%	1.008	0.9609	0.8235	0.7384	1.04	1.327	1.53	1.644	1.701
5	-40%	1.008	0.9609	0.8235	0.7384	0.9096	1.097	1.265	1.391	1.473
6	-30%	1.008	0.9609	0.8235	0.7384	0.8415	0.9635	1.09	1.204	1.295
7	-20%	1.008	0.9609	0.8235	0.7384	0.7712	0.8134	0.8652	0.9252	0.9902
8	-10%	1.008	0.9609	0.8235	0.7384	0.6981	0.6406	0.5523	0.3972	0.01309
9	0%	1.008	0.9609	0.8235	0.7384	0.6217	0.4329	0.01419	0.000496	1.74E-05
10	+10%	1.008	0.9609	0.8235	0.7384	0.5413	0.1254	0.004308	0.000151	5.29E-06
11	+20%	1.008	0.9609	0.8235	0.7384	0.4554	0.01487	0.00052	1.82E-05	6.39E-07
12	+30%	1.008	0.9609	0.8235	0.7384	0.3612	0.01197	0.000419	1.47E-05	5.14E-07
13	+40%	1.008	0.9609	0.8235	0.7384	0.2514	0.008469	0.000297	1.04E-05	3.64E-07
14	+50%	1.008	0.9609	0.8235	0.7384	0.02314	0.000808	2.83E-05	9.92E-07	3.48E-08
15	+100%	1.008	0.9609	0.8235	0.7384	0.02314	0.000808	2.83E-05	9.92E-07	3.48E-08
16	+150%	1.008	0.9609	0.8235	0.7384	0.02314	0.000808	2.83E-05	9.92E-07	3.48E-08
17	+200%	1.008	0.9609	0.8235	0.7384	0.02314	0.000808	2.83E-05	9.92E-07	3.48E-08

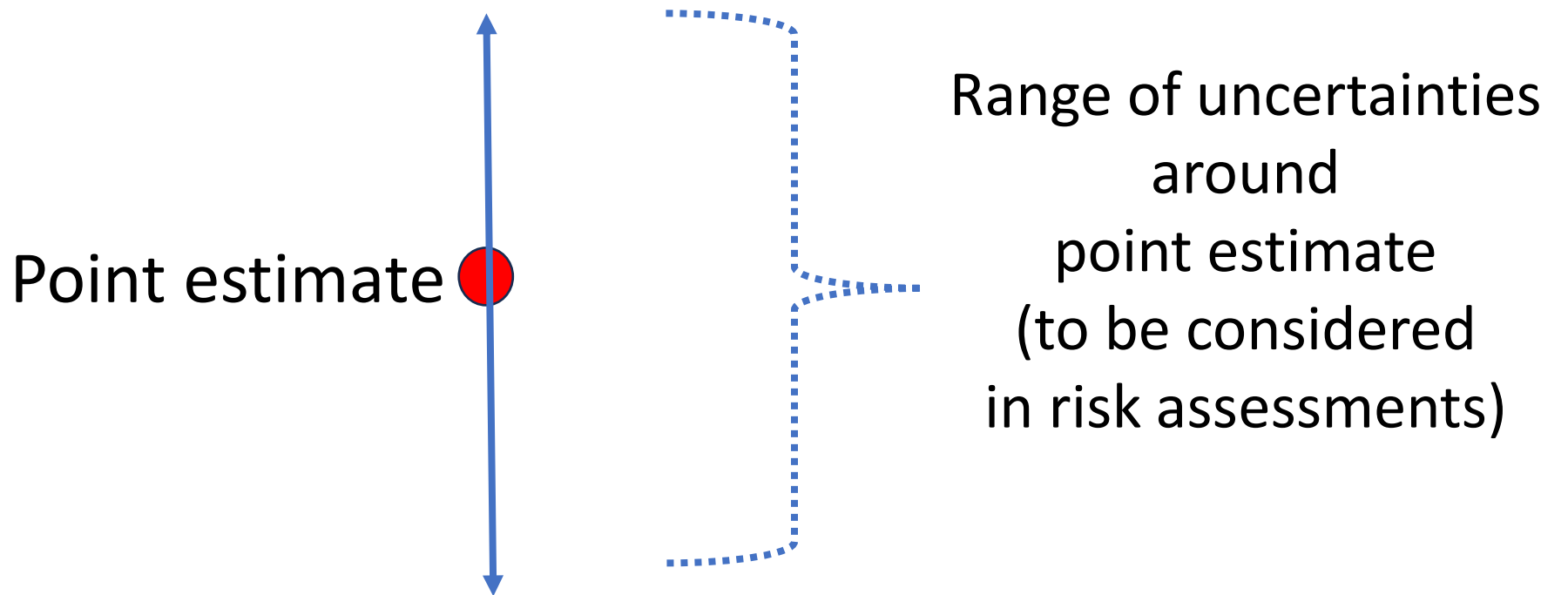


# Uncertainties, projection, risk assessment and Kobe I+II

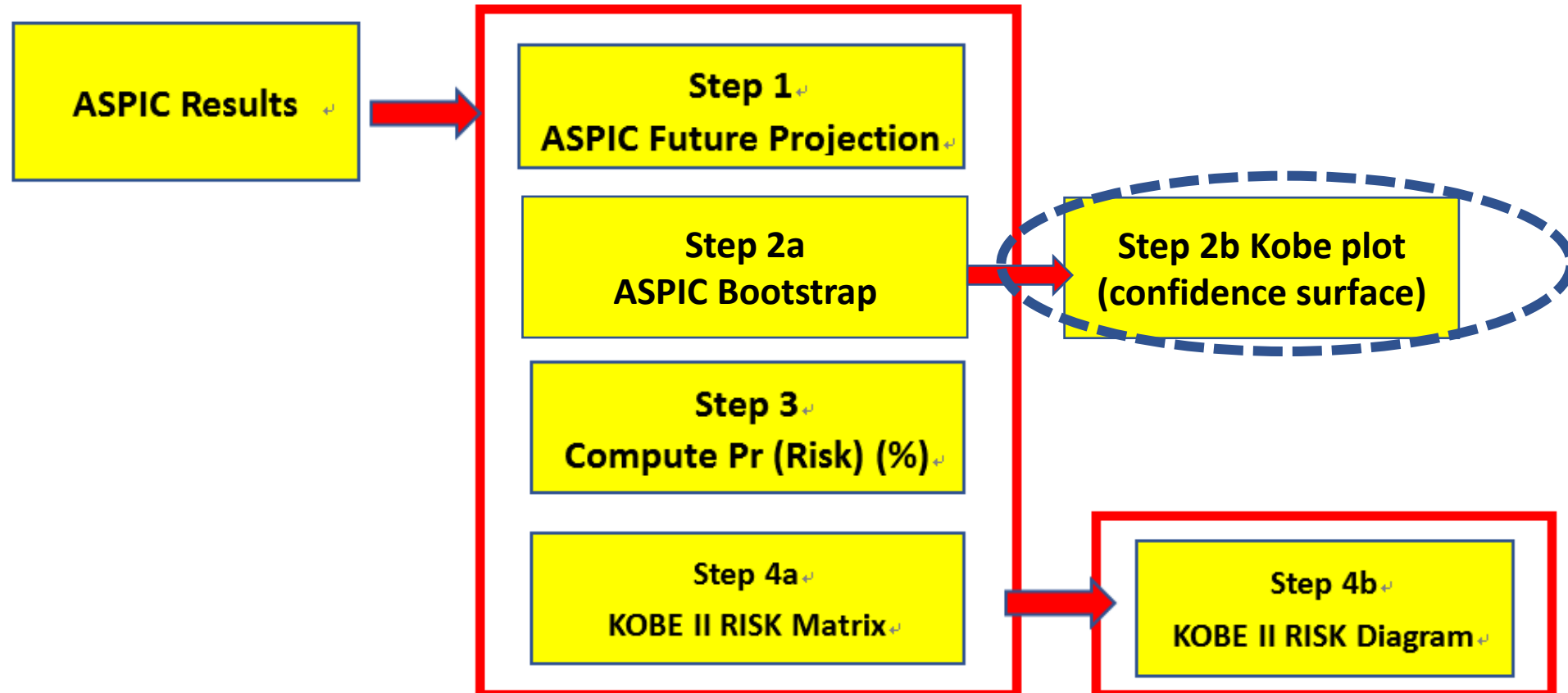


## Step 2a ASPIC bootstrap

- Re-sample data 1,000 times to estimate Uncertainties.
  - ➔ different catch levels (e.g. 0%,  $\pm 20\%$ ,  $\pm 40\%$ )

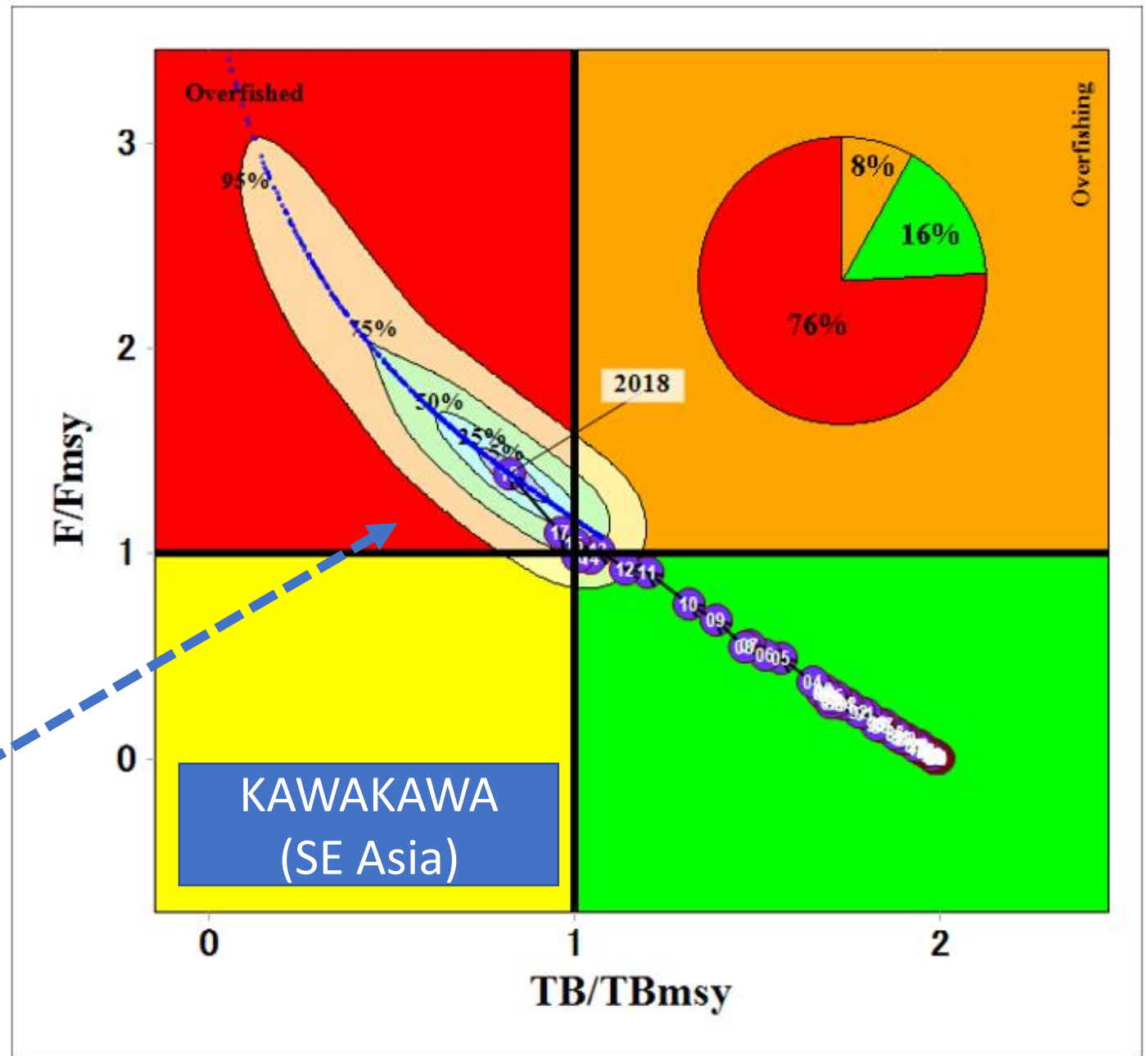


# Uncertainties, projection, risk assessment and Kobe I+II

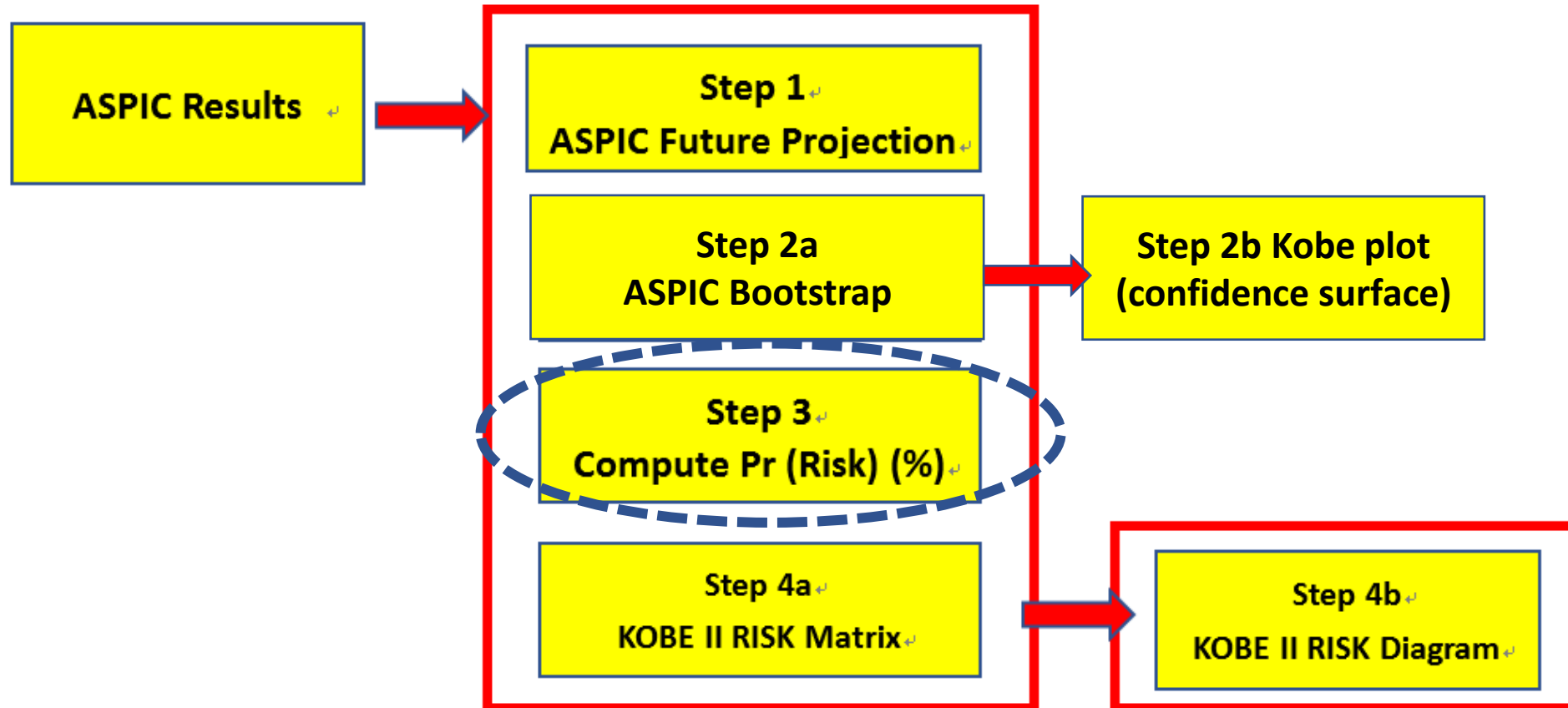


## Step 2b Kobe plot (confidence surface)

Using the bootstrap results (0% catch),  
**Uncertainties** around  
the last SA year are  
estimated and  
depicted  
**(confidence surface).**



# Uncertainties, projection, risk assessment and Kobe I+II

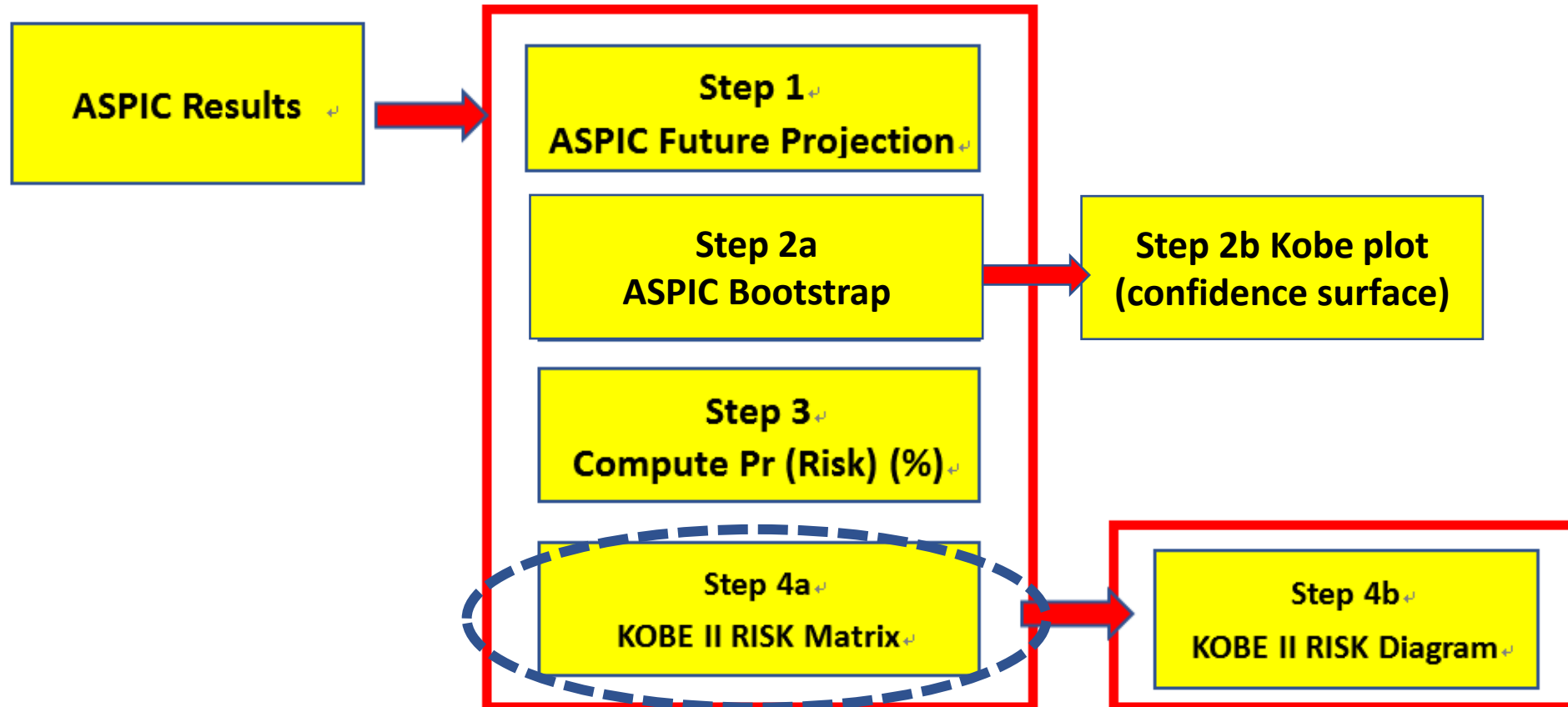


What is “Compute Pr (Risk) (%)” ?

To compute “Risk Probability” violating MSY levels (TB & F) by catch level and year incorporating uncertainties.



# Uncertainties, projection, risk assessment and Kobe I+II



Step 4a  
Kobe II  
Strategy matrix  
based on  
Risk Probability

Probabilities(%) violating TBmsy and Fmsy in 3 and 10 years.											
Color legend											
Risk levels	Low risk			Medium low risk		Medium high risk		High risk			
Probability	0 – 25%			25 – 50%		50 – 75%		75 – 100			
Catch level →	0% (-100%)	20% (-80%)	40% (-60%)	60% (-40%)	70% (-30%)	80% (-20%)	90% (-10%)	91% (-9%)	100%	110%	120%
									MSY level	Current catch (*)	
11 catch scenarios (tons)	0	12,312	24,624	36,936	43,092	49,248	55,404	55,850	61,560	67,716	73,872
TB2021 < TBmsy	56	58	61	67	70	73	76	76	80	84	87
F2021 > Fmsy	0	48	51	56	60	66	75	76	86	98	100
TB2028 < TBmsy	41	48	50	54	57	61	71	72	84	95	100
F2028 > Fmsy	0	48	50	54	57	60	70	72	88	100	100

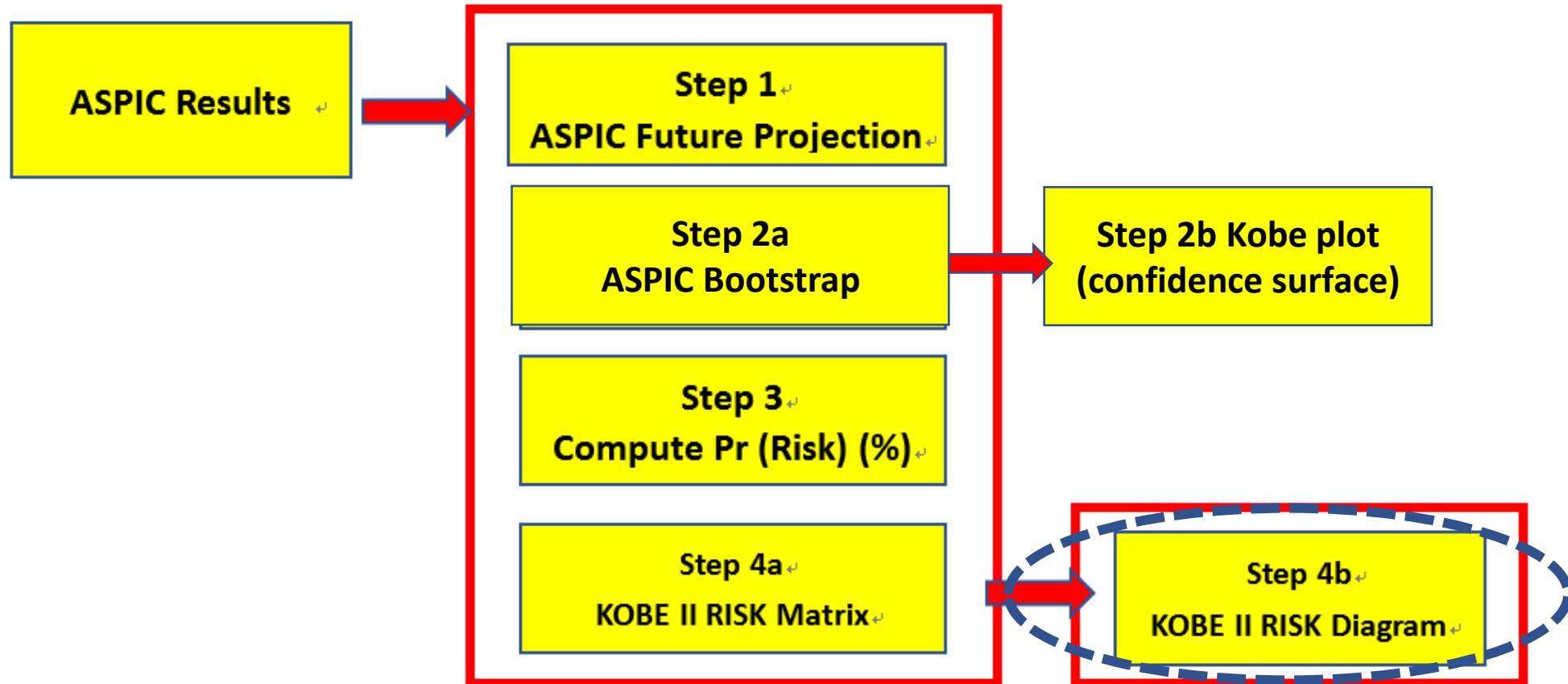
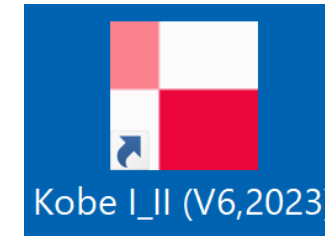
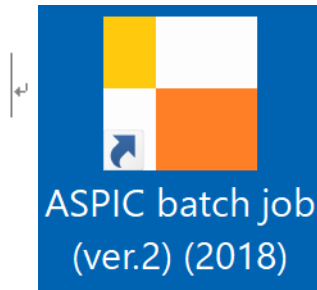
Catch level →

3 years later

10 years later

(\*The current catch levels is the average catch in 3 recent years(2016–2018).

# Uncertainties, projection, risk assessment and Kobe I+II



Step 4b

Kobe II  
Strategy  
diagram  
based on  
risk  
Probability

Easier  
to see  
risk  
situation



Catch  
Level



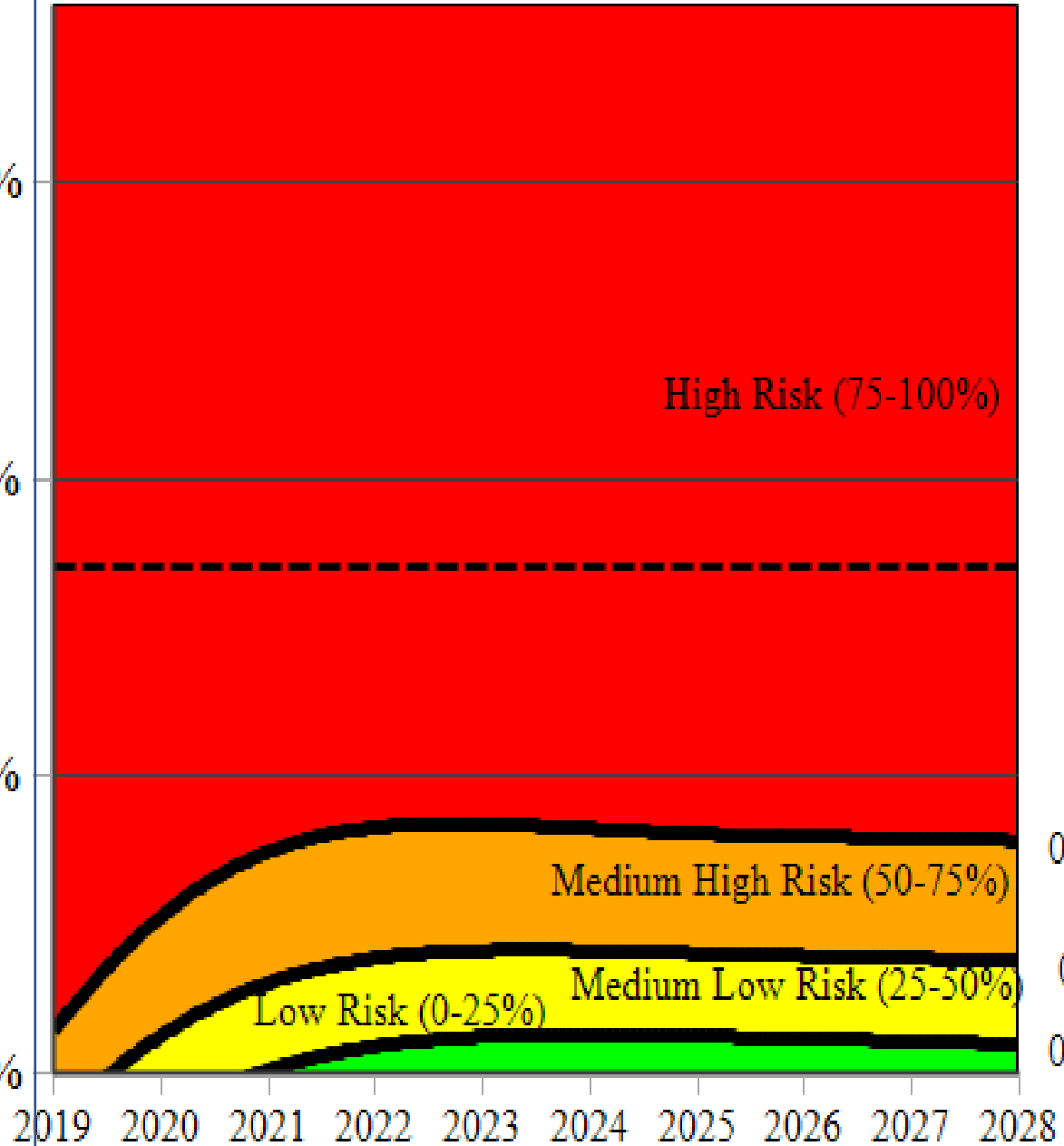
(92,340 t) 50%

Current catch  
(61,560 t) 0%

MSY(52,670 t)

(30,780 t) -50%

(0 t) -100%



# Contents

(1) Outline

(2) Menu-driven software

- CPUE standardization
- Stock and Risk assessment
  - Overview
  - Production model (ASPIC and JABBA)
  - Age structured production model (ASPM)
- Management decision making tool (Kobe I+II)

(3) Summary

# JABBA

Just Another Bayesian Biomass Assessment  
Under contraction


Theoretically Best Production model

There are several similar hand-made models

JABBA : best → General (standardized) for anyone

RE: Bayesian, Graphics, Diagnosis, MCMC,

# Evolution of Production Model

Evolution	Type	Authors	Features				Comments
			Equilibrium Condition (EC) (death=increase) (never happen)	Error type		Bayesian (better) Approach	
				Observation (data) error	Process (model) error		
old	Original PM	Shaeffer(1954), PT(1969) & Fox (1970)	YES				Classical <u>(Not recommended)</u> to use due to EC)
	ASPIC (Ver5)	Prager (2004)	NO				Basic, standard & common (RFMOs & fishing countries )
	ASPIC (ver7.5)	Prager (2017)					
	new	JABBA (Just Another Bayesian Biomass Assessment)		Winker (2018)			

# Outline (Nishida & Wang 2023)

JABBA composed of recent internet & computing technologies

R (basic programming language)+Rtools(interface for R)

GitHub (internet hosting service) ↔ DevTools (web developer tool)

JABBA

JAGS (main engine)  
Bayesian statistical analysis

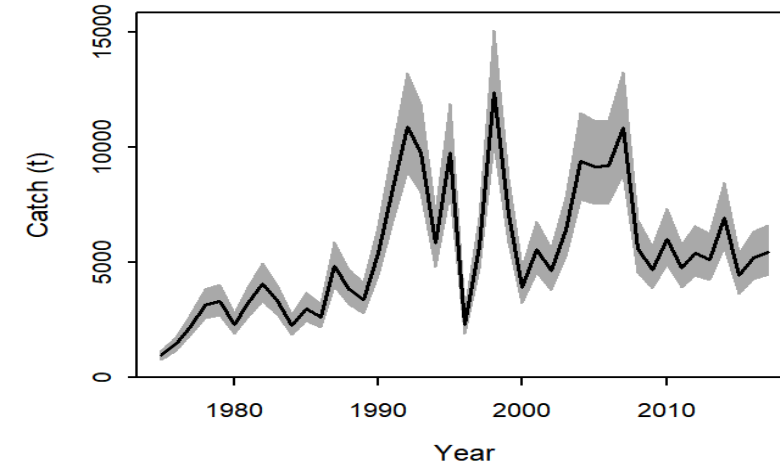
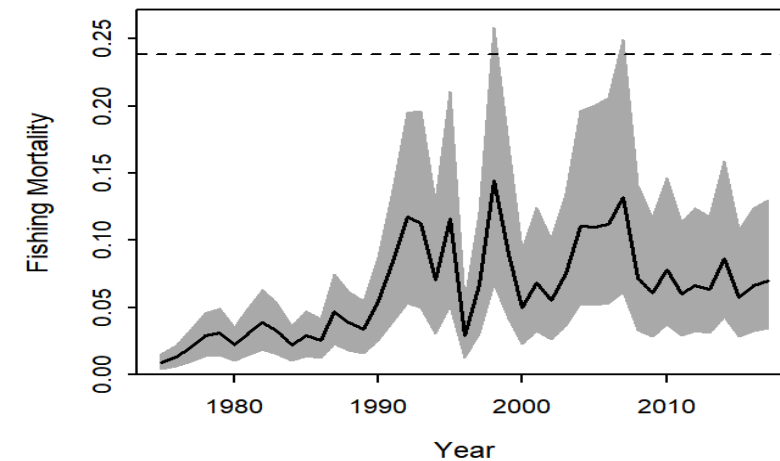
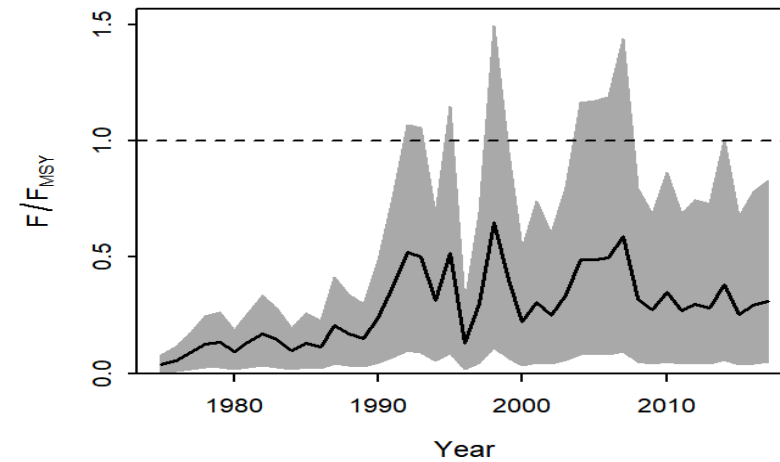
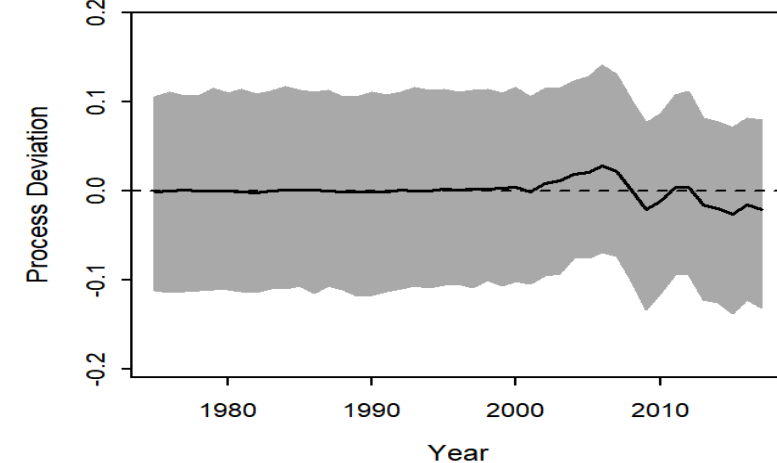
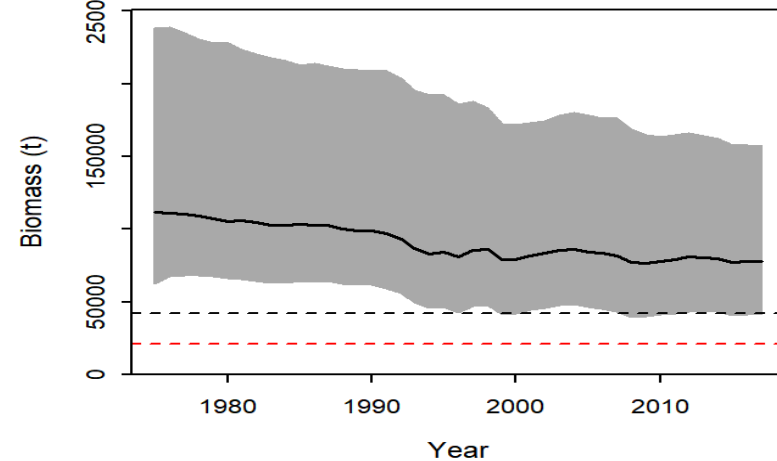
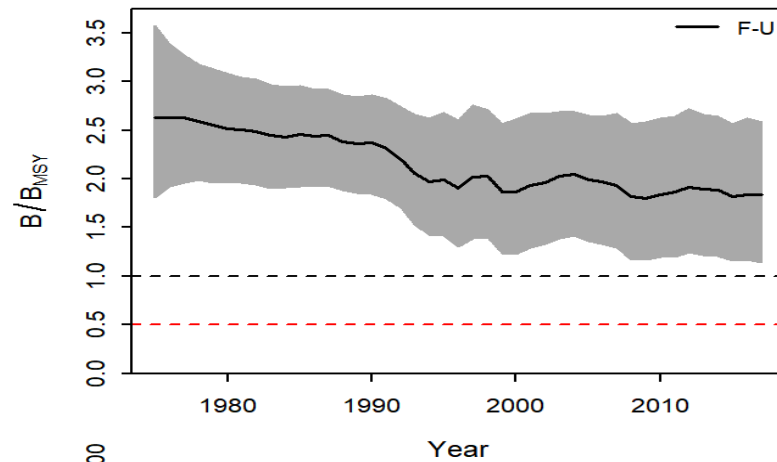
**JAGS : Just Another Gibbs (MCMC) Sampler**



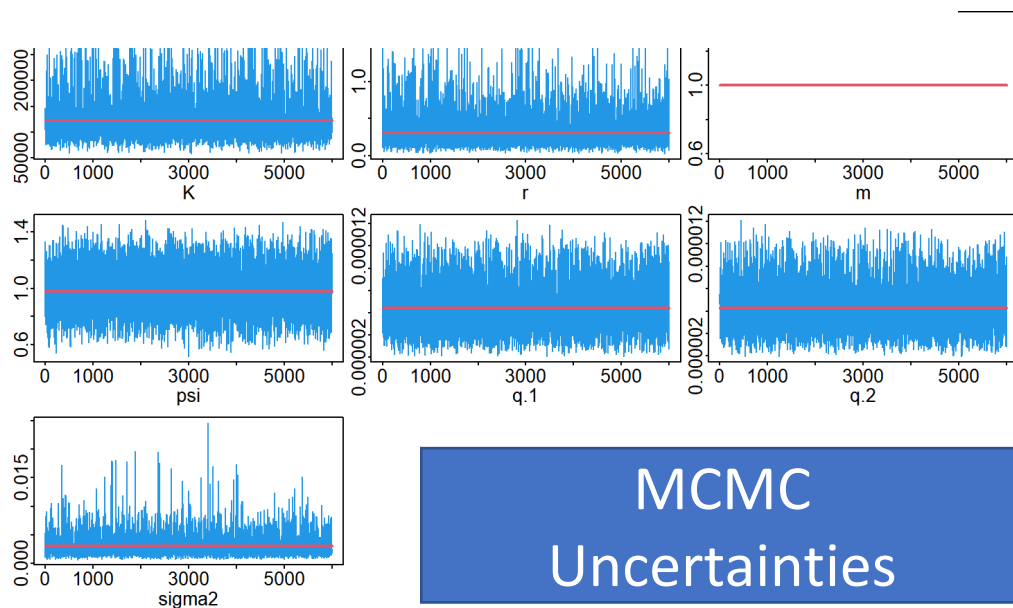
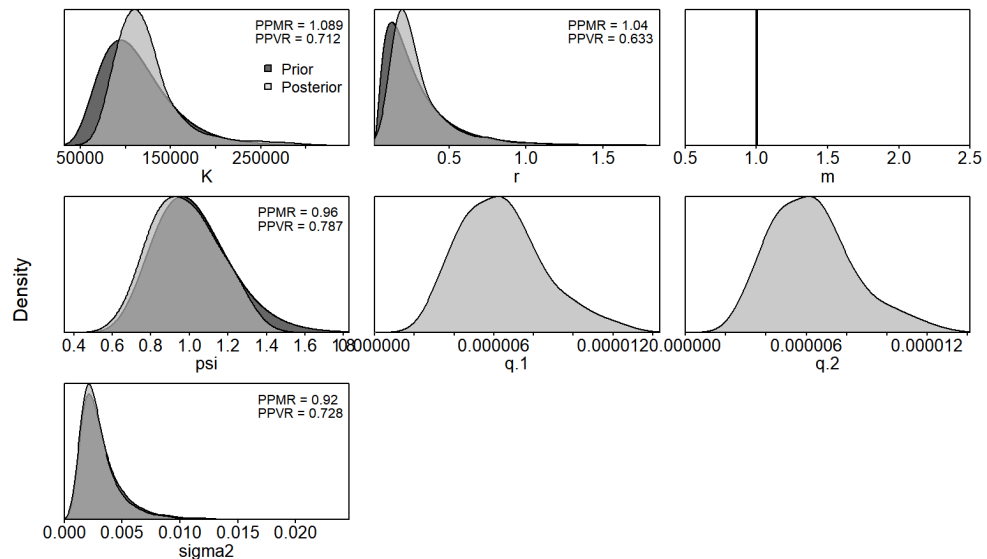
Sample outputs (many useful graphs)

To be explained in the users manual  
when the software is completed (2024)

Estimated parameters with Uncertainties (JAGS MCMC)

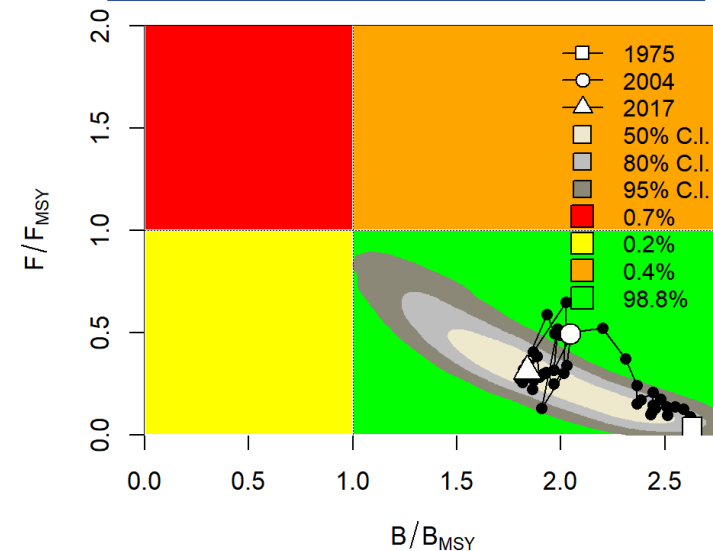


# Bayesian (Priors and Posteriors)

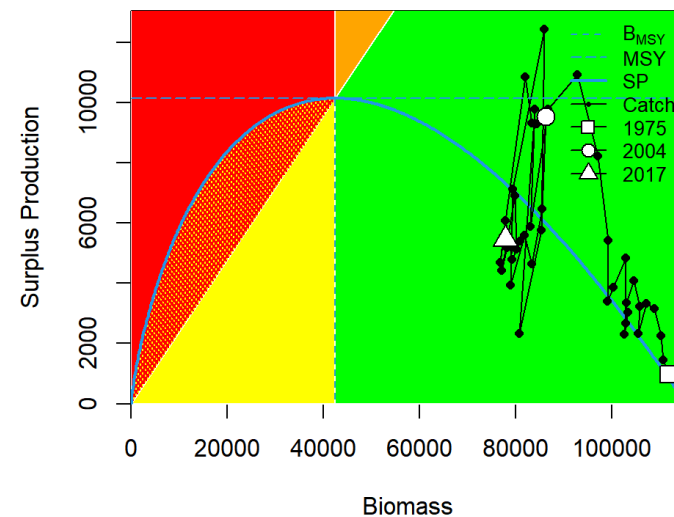


MCMC  
Uncertainties

# Kobe plot



# Surplus production phase plot



# One weak point : JABBA

No Risk assessment → We will add Risk assessment to JABBA

Menu-driven JABBA software (2024) → Most Powerful PM



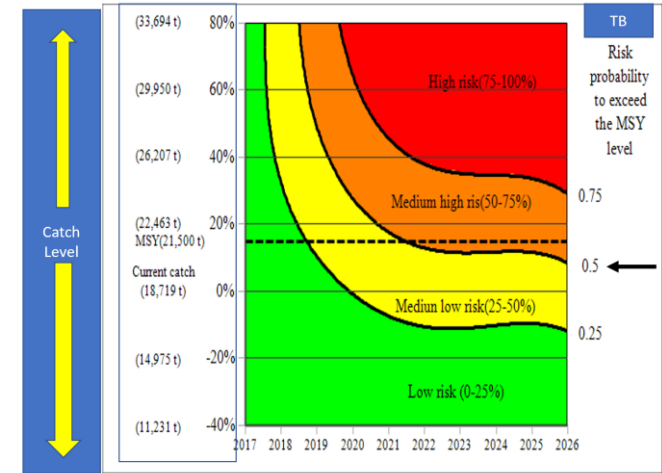
JABBA



Probabilities(%) violating TBmsy and Fmsy in 3 and 10 years.

Risk levels	Color legend										
	Low risk	Medium low risk	Medium high risk	High risk							
Probability	0 - 25%	25 - 50%	50 - 75%	75 - 100%							
	0% (-100%)	20% (-80%)	40% (-60%)	60% (-40%)	70% (-30%)	80% (-20%)	90% (-10%)	91% (-9%)	100%	110%	120%
							MSY level	Current catch (*)			
11 catch scenarios (tons)	0	12,312	24,624	36,936	43,092	49,248	55,404	55,850	61,560	67,716	73,872
TB2021 < TBmsy	56	58	61	67	70	73	76	76	80	84	87
F2021 > Fmsy	0	48	51	56	60	66	75	76	88	98	100
TB2028 < TBmsy	41	48	50	54	57	61	71	72	84	95	100
F2028 > Fmsy	0	48	50	54	57	60	70	72	88	100	100

(\*)The current catch levels the average catch in 3 recent years(2016-2018).



Risk assessment

# Contents

(1) Outline

(2) Menu-driven software

- CPUE standardization
- Stock and Risk assessment
  - Overview
  - Production model (ASPIC and JABBA)
  - Age structured production model (ASPM)
- Management decision making tool (Kobe I+II)

(3) Summary

Models		Example	Data and parameters required							
			Stock structure	Global catch	Abundance indices (CPUE or fisheries in depend indices such as acoustic/areal survey data)	size/age	M (natural mortlity)	LW relation + growth eq	Maturity + fecundity	Space and movement
Data limit approach		SRA(CMSY) (Catch only)								
Production model		ASPIC								
		JABBA								
Age/size structure model	(without abundance indices)	VPA								
	(with abundance indices)	ADAPT—VPA								
	Simpler integrated model (I)	ASPM	<b>Inter-mediate model</b>							
	Integrated model (II)	CASAL and SS3								

# ADMB Implemented ASPM software

Tom Nishida  
(Stock assessment software developing team)

Rebecca Rademeyer + Doug Butterworth  
(Univ of Cape Town, South Africa)

(2010-2015)

ADMB: Automatic Differentiation Model Builder  
*Non-linear statistical modeling*

# Original ASPM (ICCAT)

Restorep (1997) FORTAN (outdated)



Re-coded by AD Model Builder



We developed the user's friendly software  
(menu-driven)

6 years



Why ASPM was selected  
as one of our menu-driven  
software ?

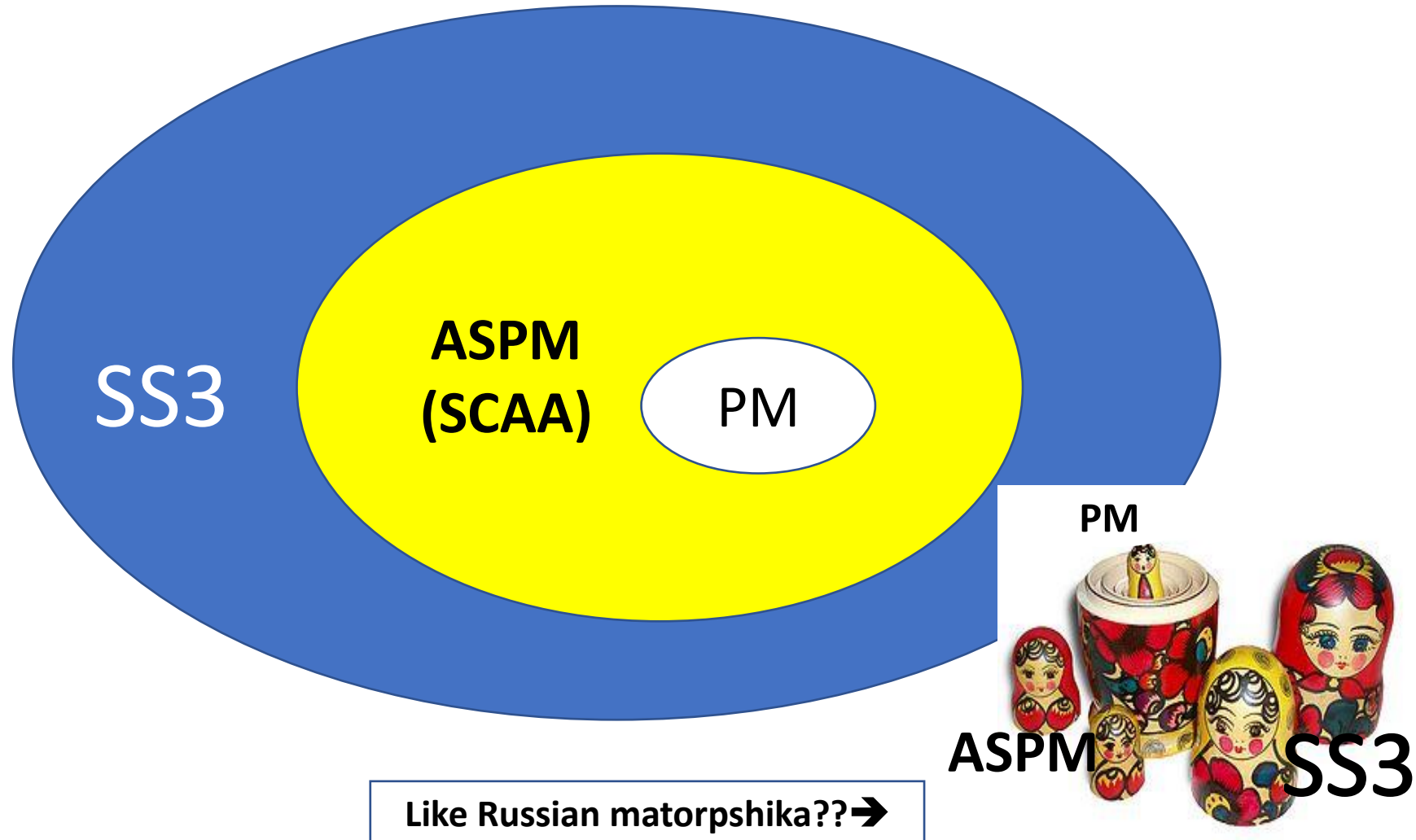
## 5 RFMO meeting (2007 and 2009) recommended

Need to compare & evaluate results among a few SA models<sup>(\*)</sup>  
as each model has pros & cons

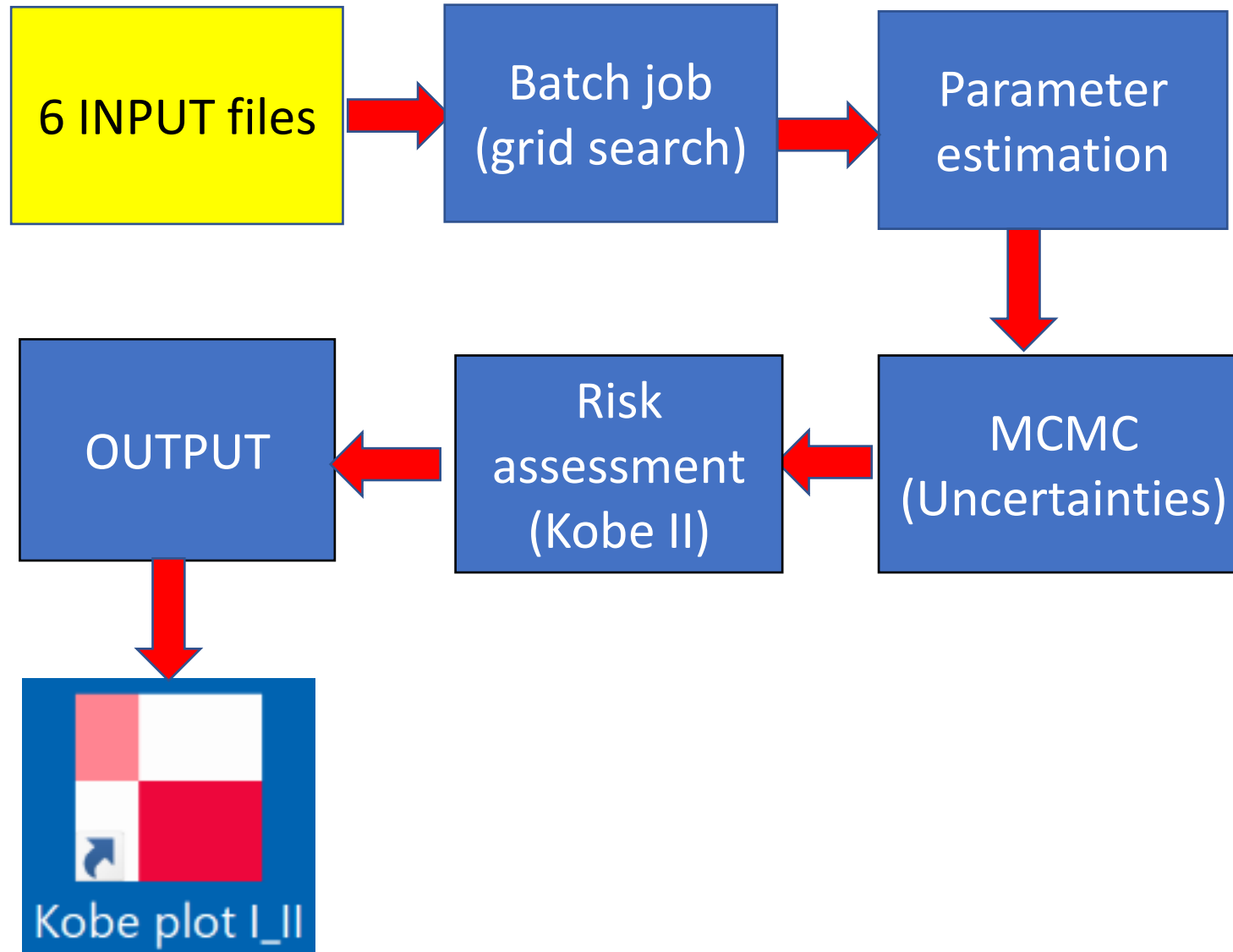
(\*) 'Simple', 'intermediate' & 'advanced(integrated)' model  
(different structures & data sets)



PM is the subset of ASPM  
ASPM is the subset of SS3/MFCL  
a big family !



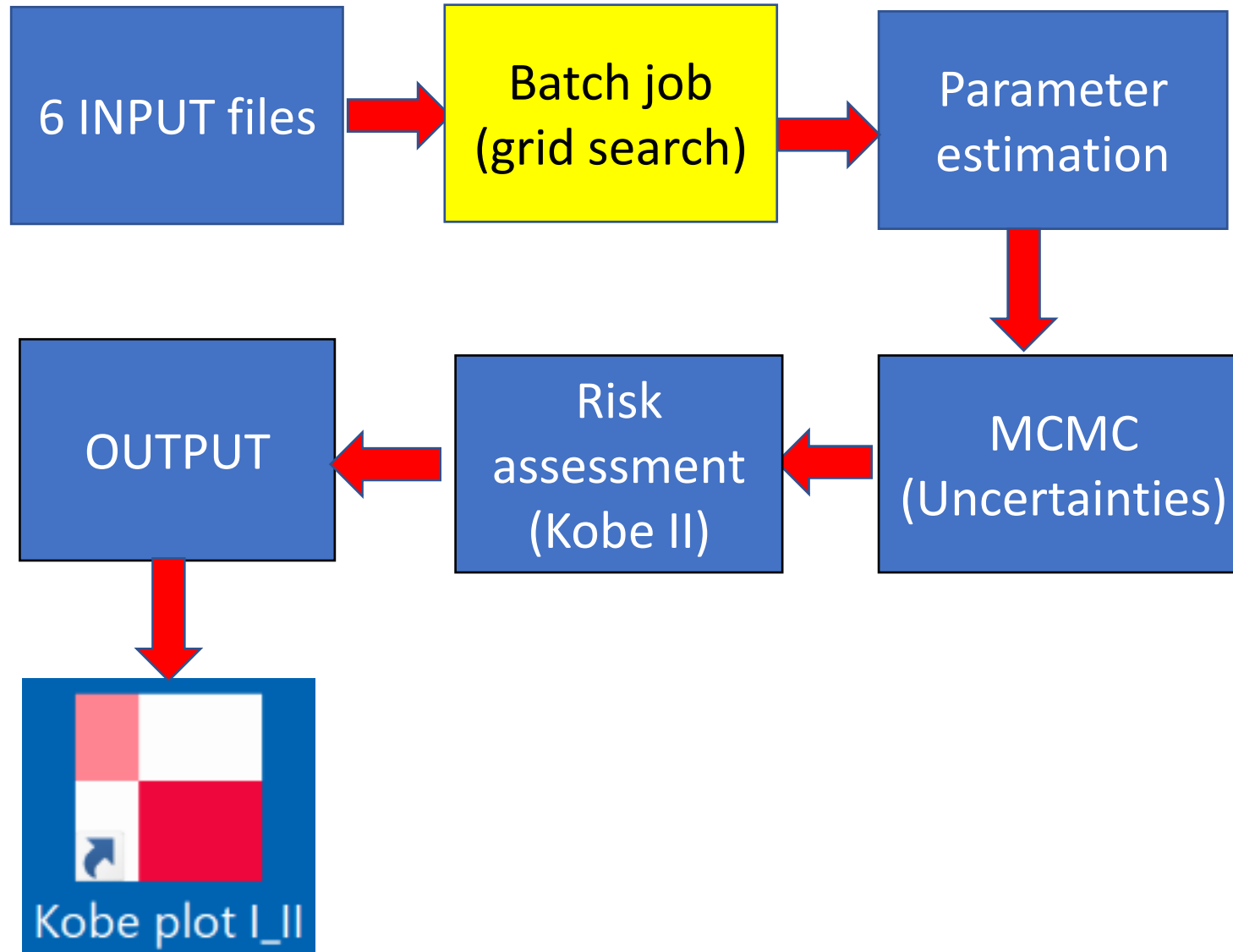
# ASPM flow



# ASPM: 6 input files

- **Control.inp** (*basic settings*)  
*years, fleet, area SR,  $\sigma R$ ,*
- **aspm.par** (*guess for SBO and steepness*)
- **Biological.inp**  
*(Age specific M+WT+Maturity+Fecundity)*
- **index.inp** (*STD CPUE by fleet*)
- **Fishery.inp** (*Catch and CAA by fleet*)
- **Projection.inp** (*Catch or F scenario*)

# ASPM flow



# BATCH JOB (GRID SEARCH)

To search optimum parameters while avoiding local minimum problem (i.e. to select incorrect parameters)

# Batch job procedure

## BatchASPM.exe file





# Batch job menu : Setting 4 parameter search ranges

ASPM grid search (batch job) application (ASPM software version 3)

This application will implement the batch job in order to search optimum ASPM parameters using the grid search technique. Maximum 5 important parameters can be searched in one catch job, i.e., "h" (steepness) in ASPM.pin file, "SigmaR" value for the stock recruitment (SR) fluctuations in control.inp, "CV" values for CPUE in index.inp file and "weighting" values for CAA in fishery.inp file .

Steps (1) Users will select parameters (click the box) to be used for the grid search and then enter their minimum, maximum and class values. The number of combination will be automatically evaluated.

(2) If users enter the class value which cannot make the integer value for number of combination, the maximum class value will be automatically evaluated.

(3) Results of the grid search will be available in the output\_datetime.csv file in the same folder. For example, output\_201404011521.csv file. This means that this file was created at 15 hour 21 minute in April 11, 2014.

Parameters	Name	country code (CPUE)	minimum	maximum	class value	no. of combinations
ASPM.pin file	<input checked="" type="checkbox"/> h (steepness)		0.60	0.95	0.05	8
control.inp file	<input checked="" type="checkbox"/> Sigma (SR fluctuation)		0.10	1.00	0.10	10
index.inp file	<input checked="" type="checkbox"/> CV (CPUE1)	JPN	0.10	0.60	0.10	6
	<input checked="" type="checkbox"/> CV (CPUE2)	KOR	0.10	0.60	0.10	6
fishery.inp file	<input checked="" type="checkbox"/> Weighting (CAA)		0.10	1.00	0.10	10

Note (1) If you have 2 CPUE series in index.in file (for example, Japan and Korea), then enter J (for Japan) and K (for Korea). J and K are just example. You can enter maximum 4 letters as the country code in this box.

(2) Number of CPUE CV depends on #Number of indices in the Index.inp file, which will be automatically recognized by this application and corresponding number of entry boxes will appear in the setting window. Max 3 CV (CPUE) can be used.

Total number of batch jobs: 28800

Option of batch job

Start Pause Termination

Processing time: 00h00m 0/28800

[Current no. of the batch job being processed]/[total number of the batch job]

Parameters

Name	country code (CPUE)	minimum	maximum	class value	no. of combinations
ASPM.pin file					
<input checked="" type="checkbox"/> h (steepness)		0.60	0.95	0.05	8
control.inp file					
<input checked="" type="checkbox"/> Sigma (SR fluctuation)		0.10	1.00	0.10	10
index.inp file					
<input checked="" type="checkbox"/> CV (CPUE1)	JPN	0.10	0.60	0.10	6
<input checked="" type="checkbox"/> CV (CPUE2)	KOR	0.10	0.60	0.10	6

fishery.inp file

<input checked="" type="checkbox"/> Weighting (CAA)		0.10	1.00	0.10	10
---	--	------	------	------	----

Note (3) Number of weighting (CAA) box depends on "#Number of fleets" in control file, which will be automatically recognized by this application and corresponding number of entry boxes will appear.

Total number of batch jobs: 28800

# Snap shot during processing

ASPM grid search (batch job) application (ASPM software version 3)

This application will implement the batch job in order to search optimum ASPM parameters using the grid search technique. Maximum 5 important parameters can be searched in one catch job, i.e., "h" (steepness) in ASPM.pin file, "SigmaR" value for the stock recruitment (SR) fluctuations in control.inp, "CV" values for CPUE in index.inp file and "weighting" values for CAA in fishery.inp file .

Steps (1) Users will select parameters (click the box) to be used for the grid search and then enter their minimum, maximum and class values. The number of combination will be automatically evaluated.

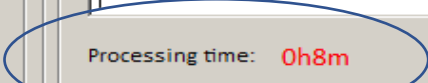
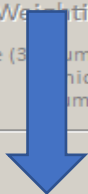
(2) If users enter the class value which cannot make the integer value for number of combination, the maximum class value will be automatically evaluated.

(3) Results of the grid search will be available in the output\_datetime.csv file in the same folder. For example, output\_201404011521.csv file. This means that this file was created at 15 hour 21 minute in April 11, 2014.

Parameters						
Name	country code (CPUE)	minimum	maximum	class value	no. of combinations	
ASPM.pin file						
<input checked="" type="checkbox"/>	h (steepness)	0.60	0.90	0.10	4	
control.inp file						
<input checked="" type="checkbox"/>	Sigma (SR fluctuation)	0.10	0.50	0.10	5	
index.inp file						
<input checked="" type="checkbox"/>	CV (CPUE1)	JPN	0.10	0.50	0.10	5
<input checked="" type="checkbox"/>	CV (CPUE2)	KOR	0.10	0.50	0.10	5
Note (1) If you have 2 CPUE series in index.in file (for example, Japan and Korea), then enter J (for Japan) and K (for Korea). J and K are just example. You can enter maximum 4 letters as the country code in this box.						
Note (2) Number of CPUE CV depends on #Number of indices in the Index.inp file, which will be automatically recognized by this application and corresponding number of entry boxes will appear in the setting window. Max 3 CV (CPUE) can be used.						
fishery.inp file						
<input checked="" type="checkbox"/>	Weighting (CAA)	0.10	0.50	0.10	5	
Note (3) Number of weighting (CAA) box depends on "#Number of fleets" in control file, which will be automatically recognized by this application and corresponding number of entry boxes will appear.						
Total number of batch jobs:					2500	

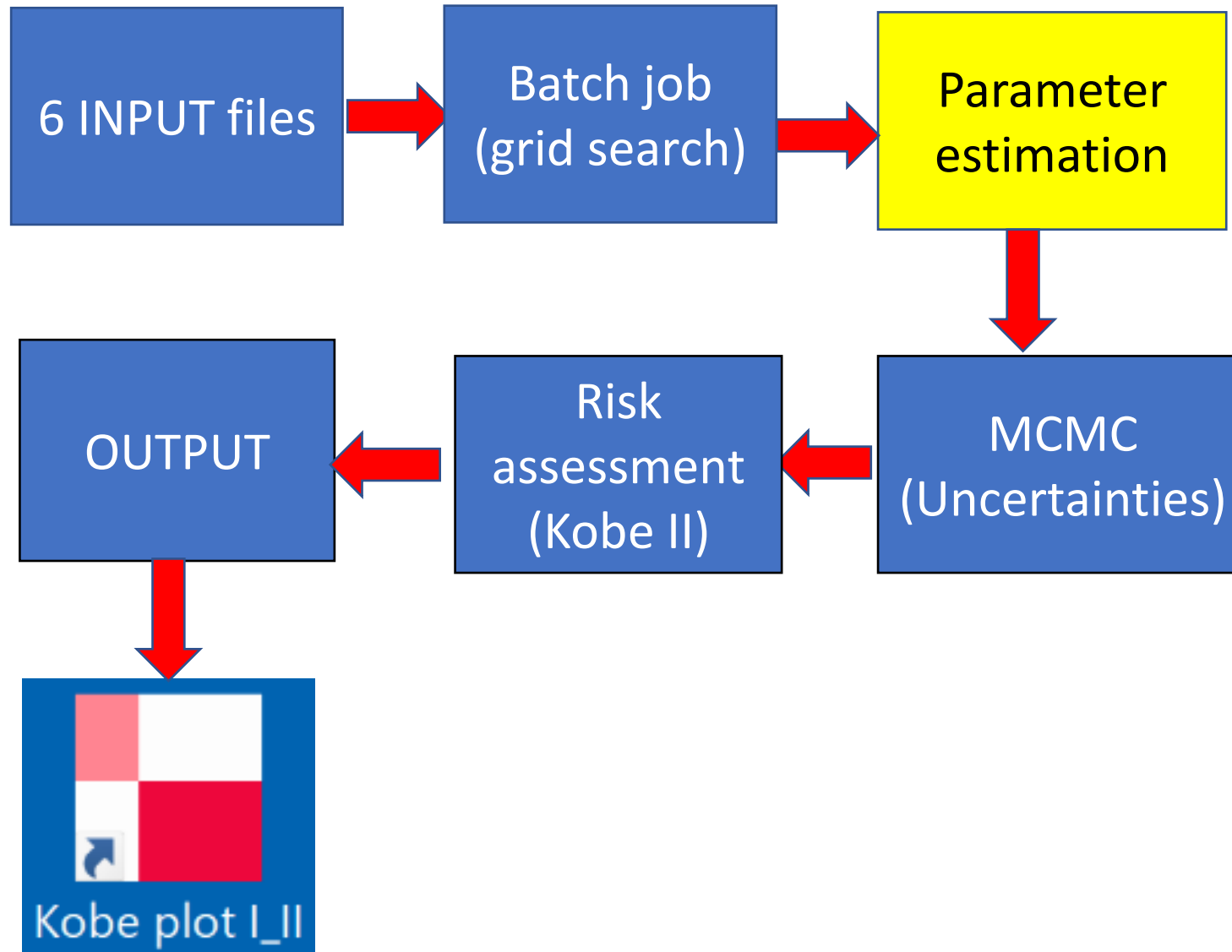
Option of batch job		
Start	Pause	Termination
<pre>0.4 1990 6.8953 0.4 1991 5.9691 0.4 1992 6.0693 0.4 1993 5.8433 0.4 1994 5.1545 0.4 1995 5.3555 0.4 1996 5.2435 0.4 1997 4.3752 0.4 1998 4.7748 0.4 1999 4.6303 0.4 2000 3.9942 0.4 2001 3.9847 0.4 2002 3.2245 0.4 2003 3.8008 0.4 2004 4.1753 0.4 2005 4.6661 0.4 2006 4.262 0.4 2007 4.4729 0.4 2008 4.1479 0.4 2009 3.3141 0.4 2010 3.4871 0.4 2011 5.2006 0.4 2012 6.3754 0.4 0 0 End data section</pre>		
Processing time: 0h8m		208/2500
[Current no. of the batch job being processed]/[total number of the batch job]		



# Output (excel): All results are recorded. (No pen & paper methods) Beginning part (run # 1-10 )

	A	B	C	D	E	F	G	H	I	J	K	L	M
1	Processing time:	4h36m											
2		0.6-0.9 by 0.1	0.1-0.4 by 0.1	J0.1-J0.4 by 0.1	0.1-0.4 by 0.1								
3													
4	No	h (steepness)	Sigma (SR)	CPUE	Weighting (CAA)	SSB0	Total likelihood	R2	SSB	MSY	SSB/SSBmsy	F/Fmsy	Error Message
5	1	0.6	0.1	J0.1	0.1	394	-14.481	0.498	135	30	1.07	1.4	
6	2	0.6	0.1	J0.1	0.2	400	2.901	0.484	141	30	1.11	1.33	
7	3	0.6	0.1	J0.1	0.3	413	20.075	0.463	156	31	2.14	0.54	
8	4	0.6	0.1	J0.1	0.4	785	63.918	0.233	539	61	2.11	0.33	Warning -- Hessian does not appear to be positive definite
9	5	0.6	0.1	J0.2	0.1	394	-14.481	0.498	135	30	1.07	1.4	
10	6	0.6	0.1	J0.2	0.2	400	2.901	0.484	141	30	1.11	1.33	
11	7	0.6	0.1	J0.2	0.3	413	20.075	0.463	156	31	2.14	0.54	
12	8	0.6	0.1	J0.2	0.4	785	63.918	0.233	539	61	2.11	0.33	Warning -- Hessian does not appear to be positive definite
13	9	0.6	0.1	J0.3	0.1	394	-14.481	0.498	135	30	1.07	1.4	
14	10	0.6	0.1	J0.3	0.2	400	2.901	0.484	141	30	1.11	1.33	

# ASPM flow



# How to select the most optimum parameters?

Sort by  
total likelihood (ascending)  
(smaller value better)  
and  
R2(descending)  
(higher value better)

Sorted results

and

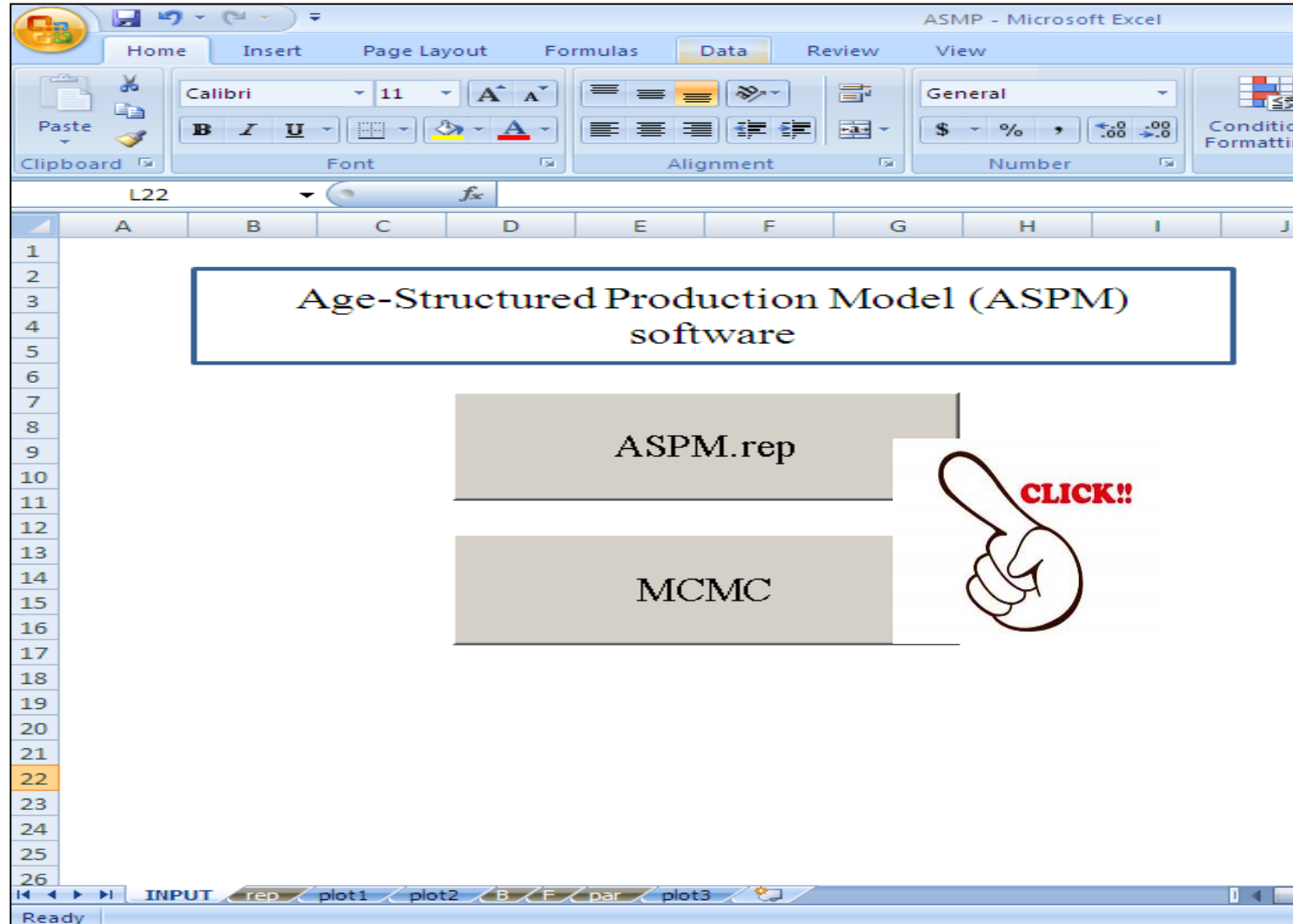
selection of optimum parameters

Low  
→  
High

High  
→  
Low

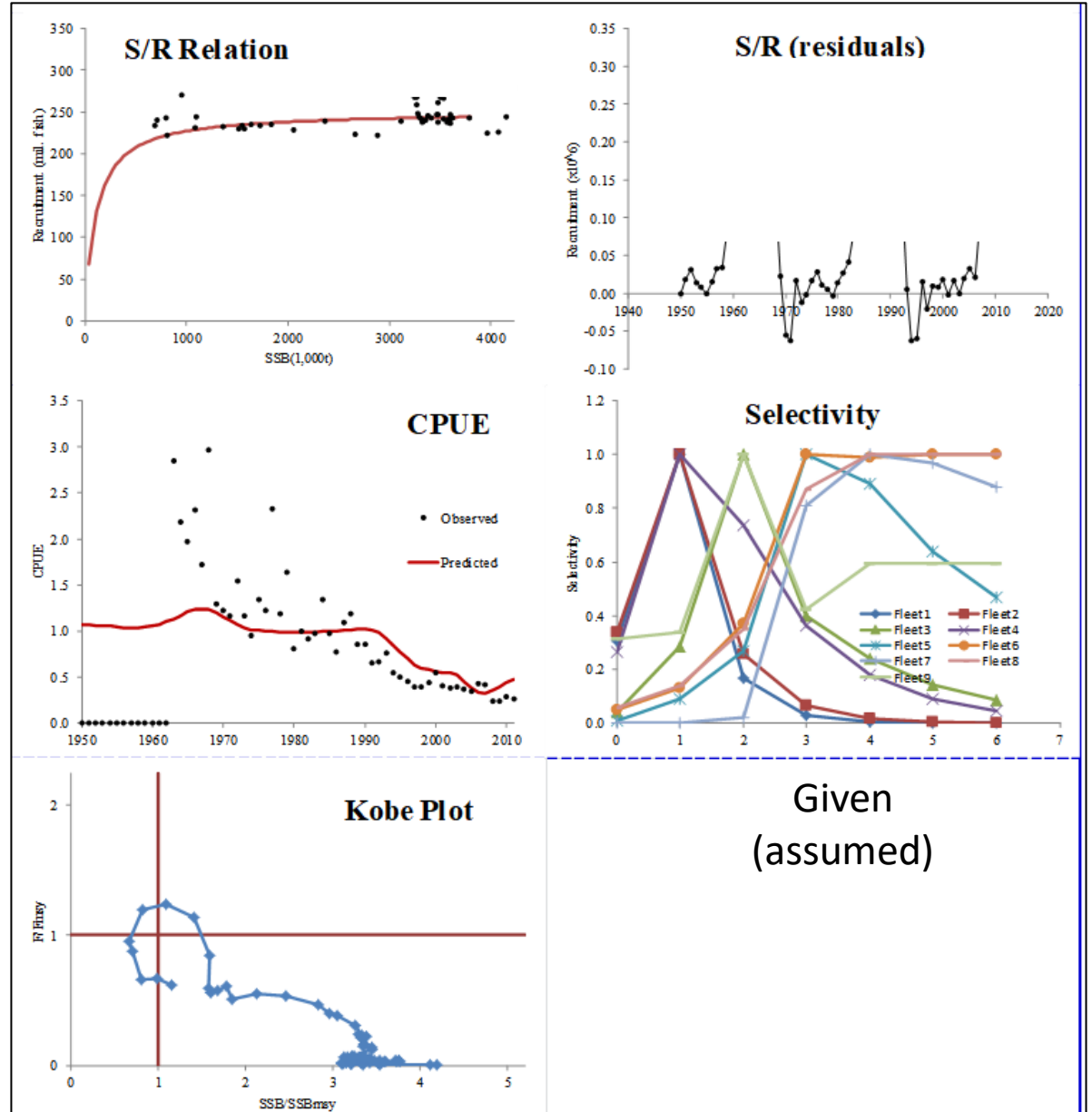
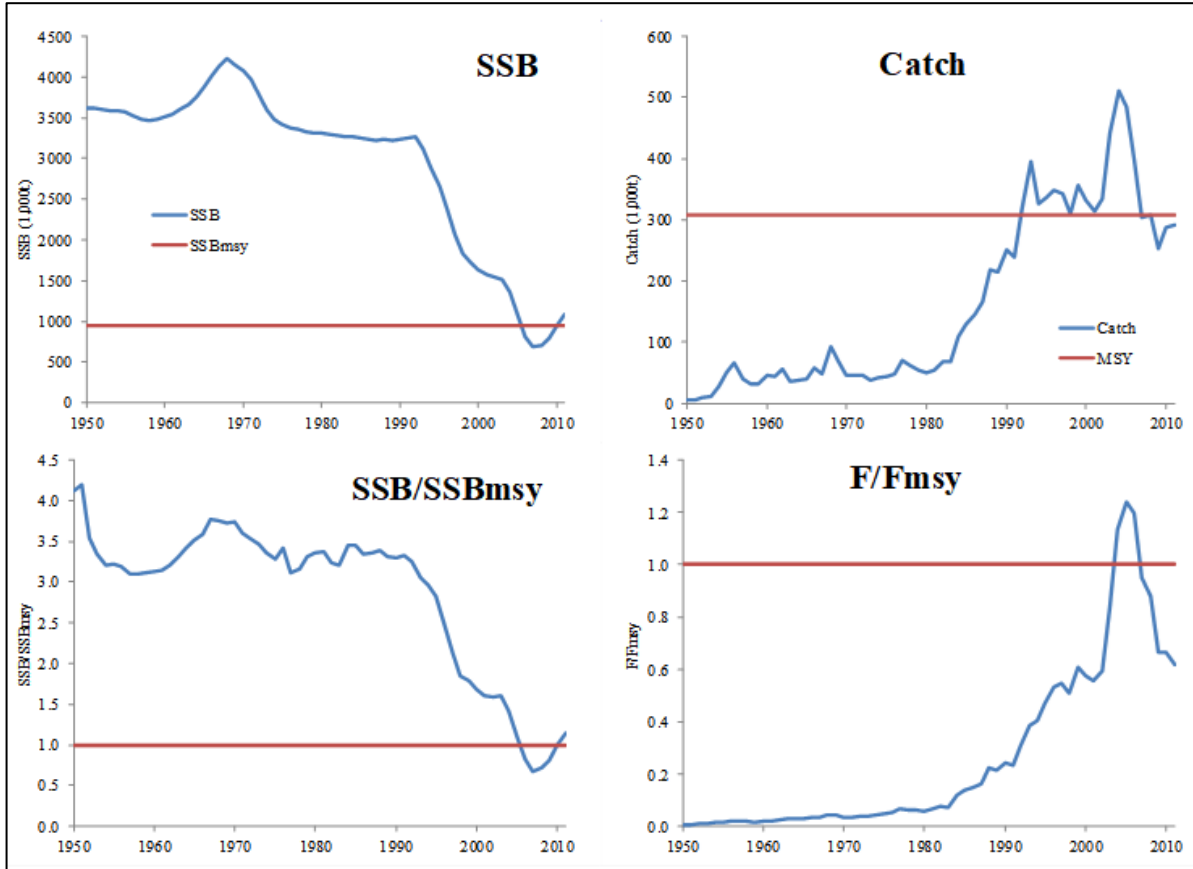
Run No	h (steepness)	Sigma (SR)	CPUE	Weighting (CAA)	SSB0	Total likelihood	R2	SSBmsy	MSY (1000 tons)	SSB/SSBmsy	F/Fmsy	Error Message
49	0.6	0.4	J0.1	0.1	536	-21.959	0.907	213	41	1.29	0.88	
53	0.6	0.4	J0.2	0.1	536	-21.959	0.907	213	41	1.29	0.88	
57	0.6	0.4	J0.3	0.1	536	-21.959	0.907	213	41	1.29	0.88	
61	0.6	0.4	J0.4	0.1	536	-21.959	0.907	213	41	1.29	0.88	
177	0.8	0.4	J0.1	0.1	476	-21.853	0.907	193	50	1.78	0.47	
181	0.8	0.4	J0.2	0.1	476	-21.853	0.907	193	50	1.78	0.47	
185	0.8	0.4	J0.3	0.1	476	-21.853	0.907	193	50	1.78	0.47	
189	0.8	0.4	J0.4	0.1	476	-21.853	0.907	193	50	1.78	0.47	
241	0.9	0.4	J0.1	0.1	460	-21.802	0.907	189	55	1.77	0.4	
245	0.9	0.4	J0.2	0.1	460	-21.802	0.907	189	55	1.77	0.4	
17	0.6	0.2	J0.1	0.1	404	-16.003	0.597	133	31	1.03	1.43	Warning -- Hessian does not appear to be positive definite
21	0.6	0.2	J0.2	0.1	404	-16.003	0.597	133	31	1.03	1.43	Warning -- Hessian does not appear to be positive definite

To produce graphs (point estimates),  
click ASPM.rep (numerical results)



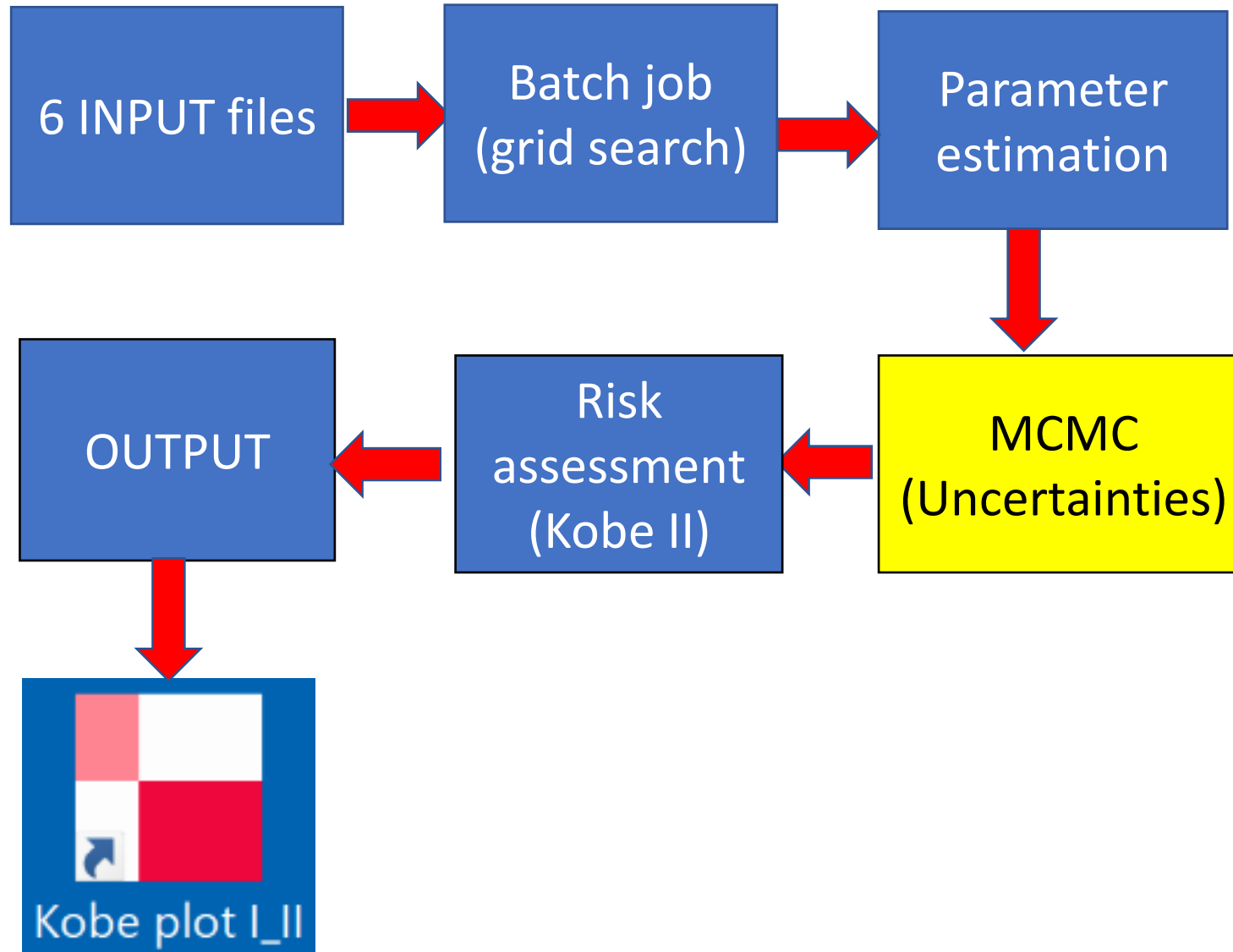


# Point Estimates



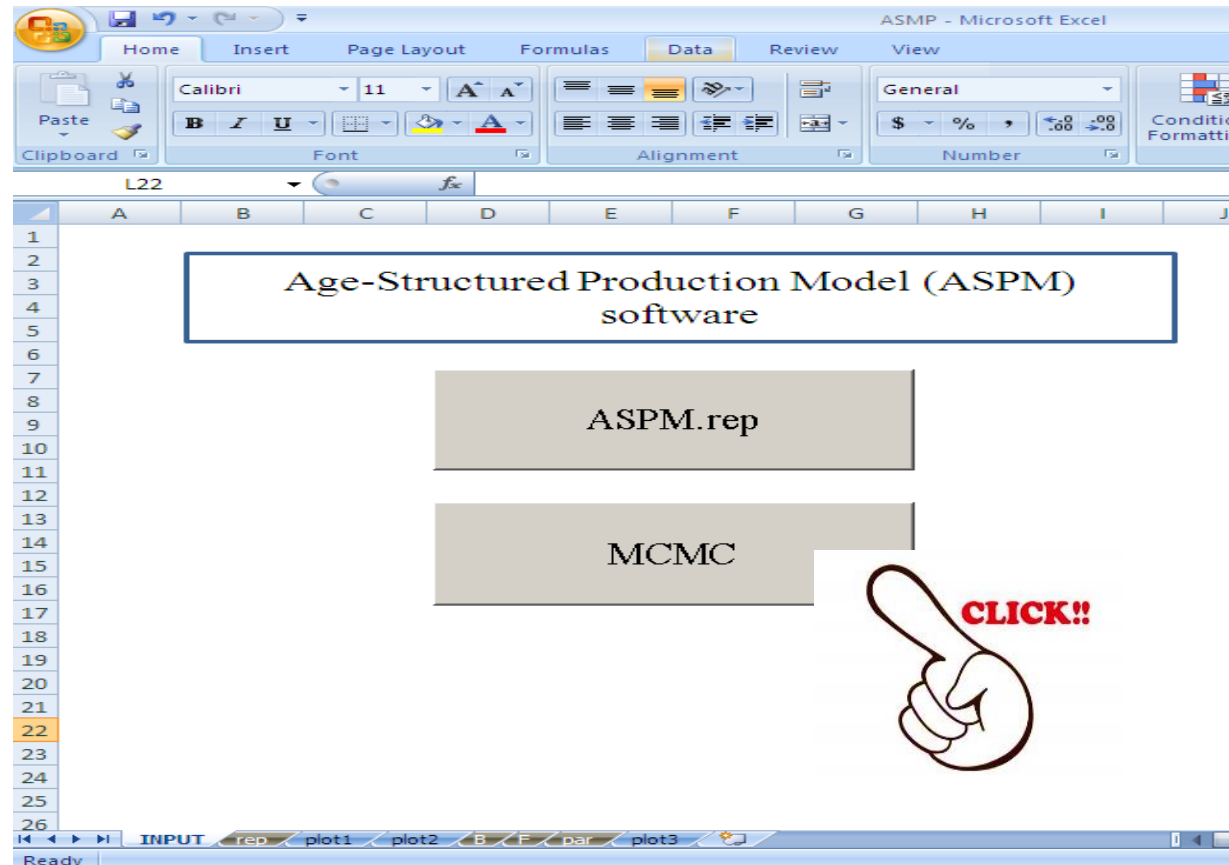
Given  
(assumed)

# ASPM flow



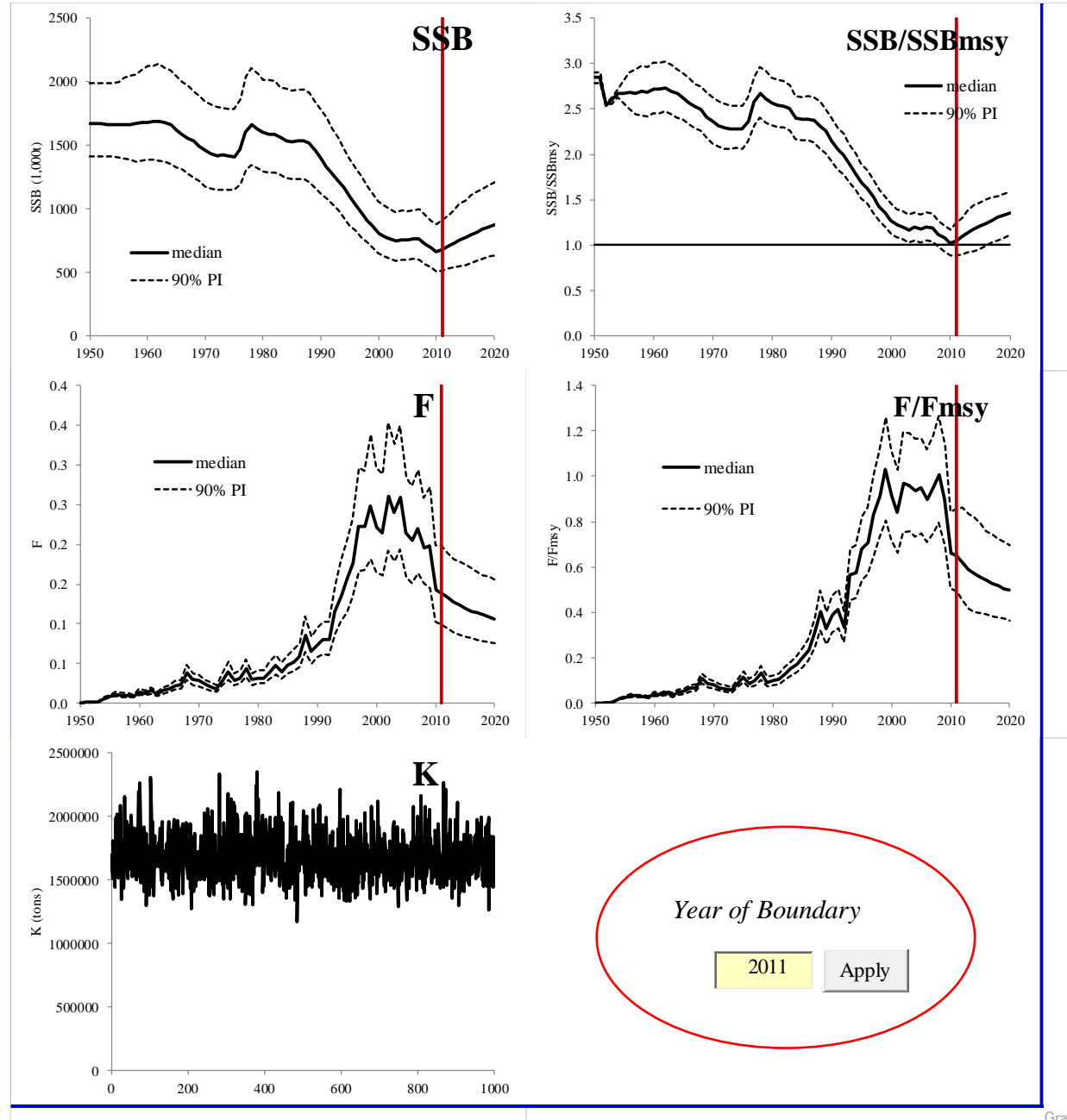
# Menu : MCMC (Markov Chain Monte Carlo)

To evaluate uncertainties by re-samplings



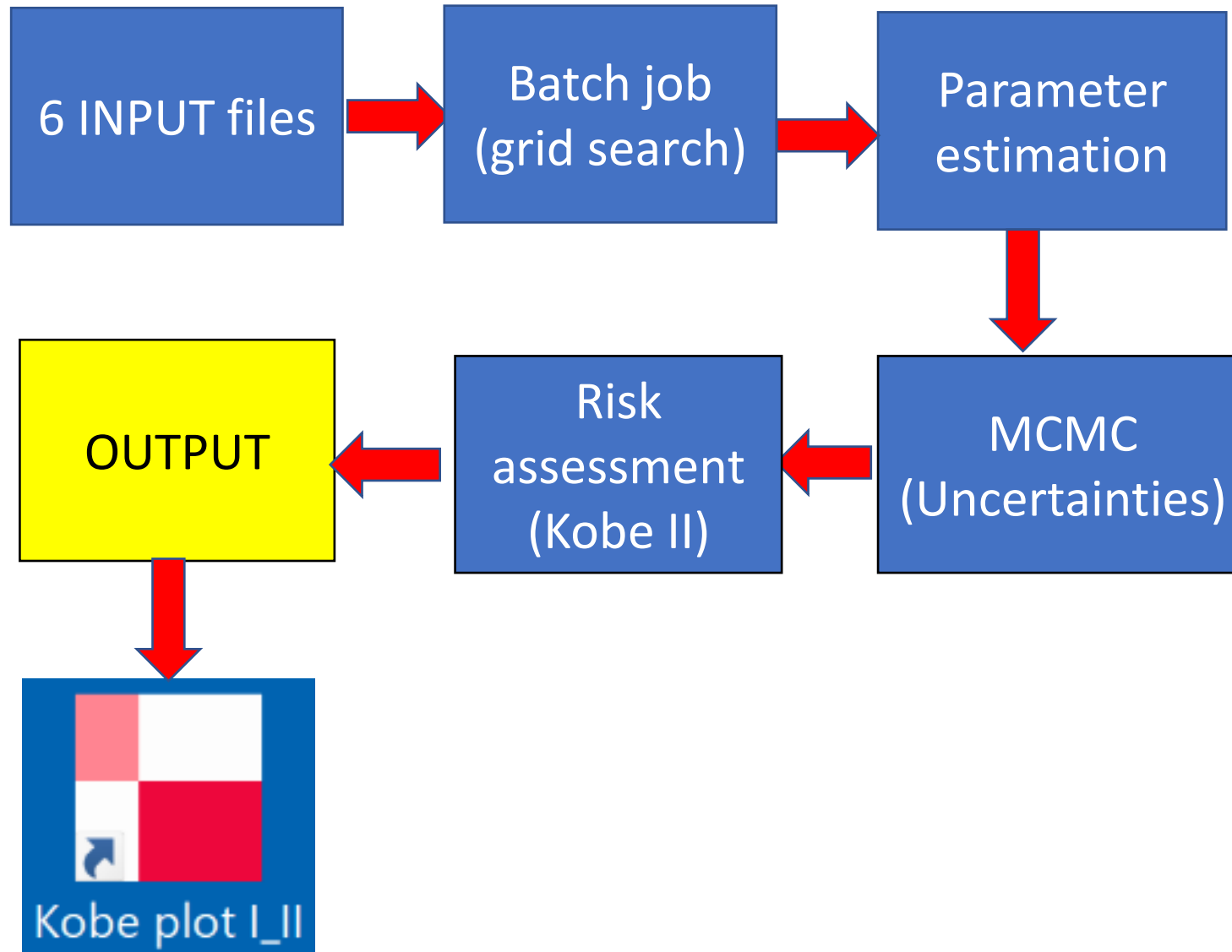
# MCMC

## Confidence Intervals + Projections



Graph

# ASPM flow



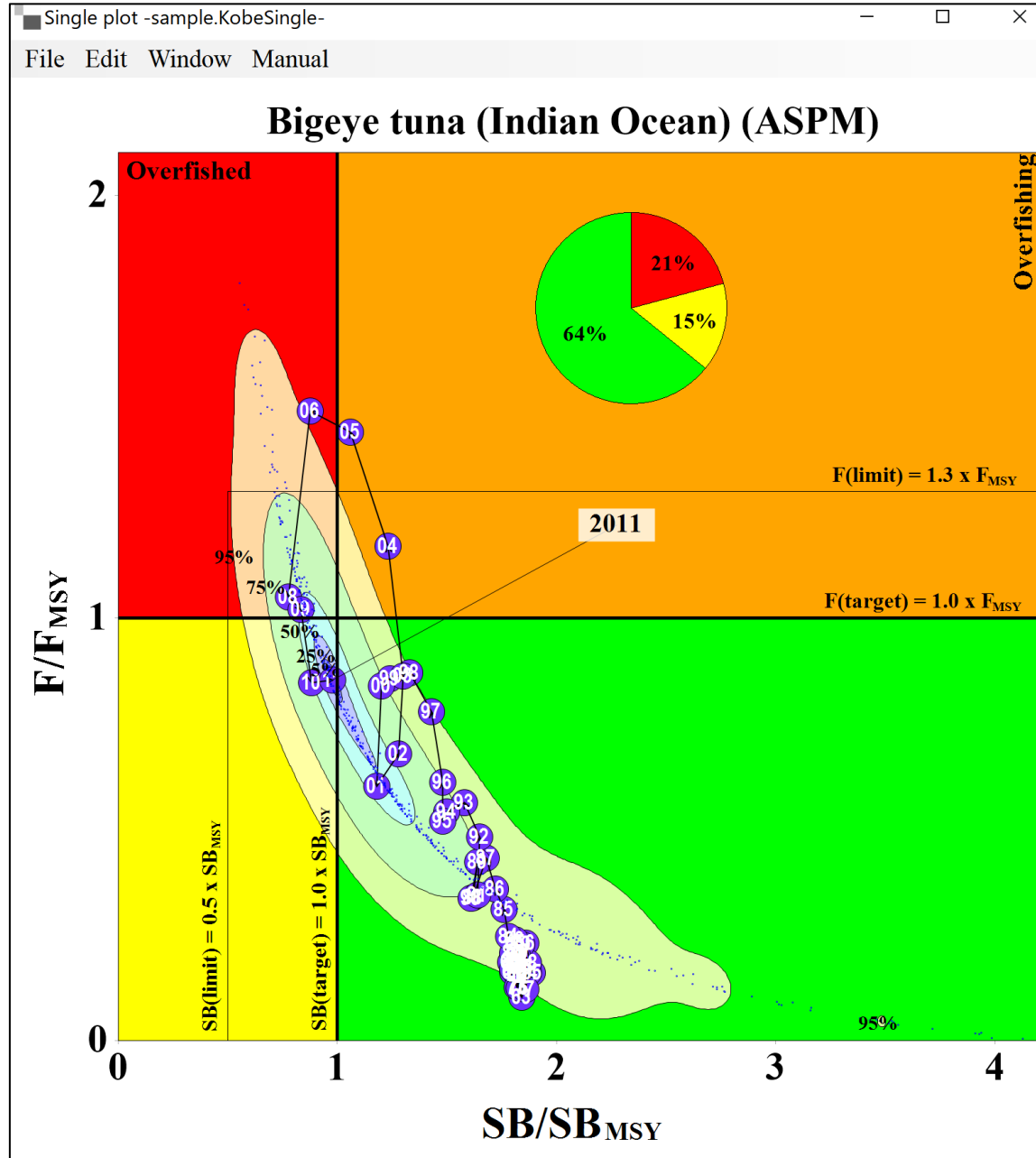


Kobe I\_II (ver6,2023)

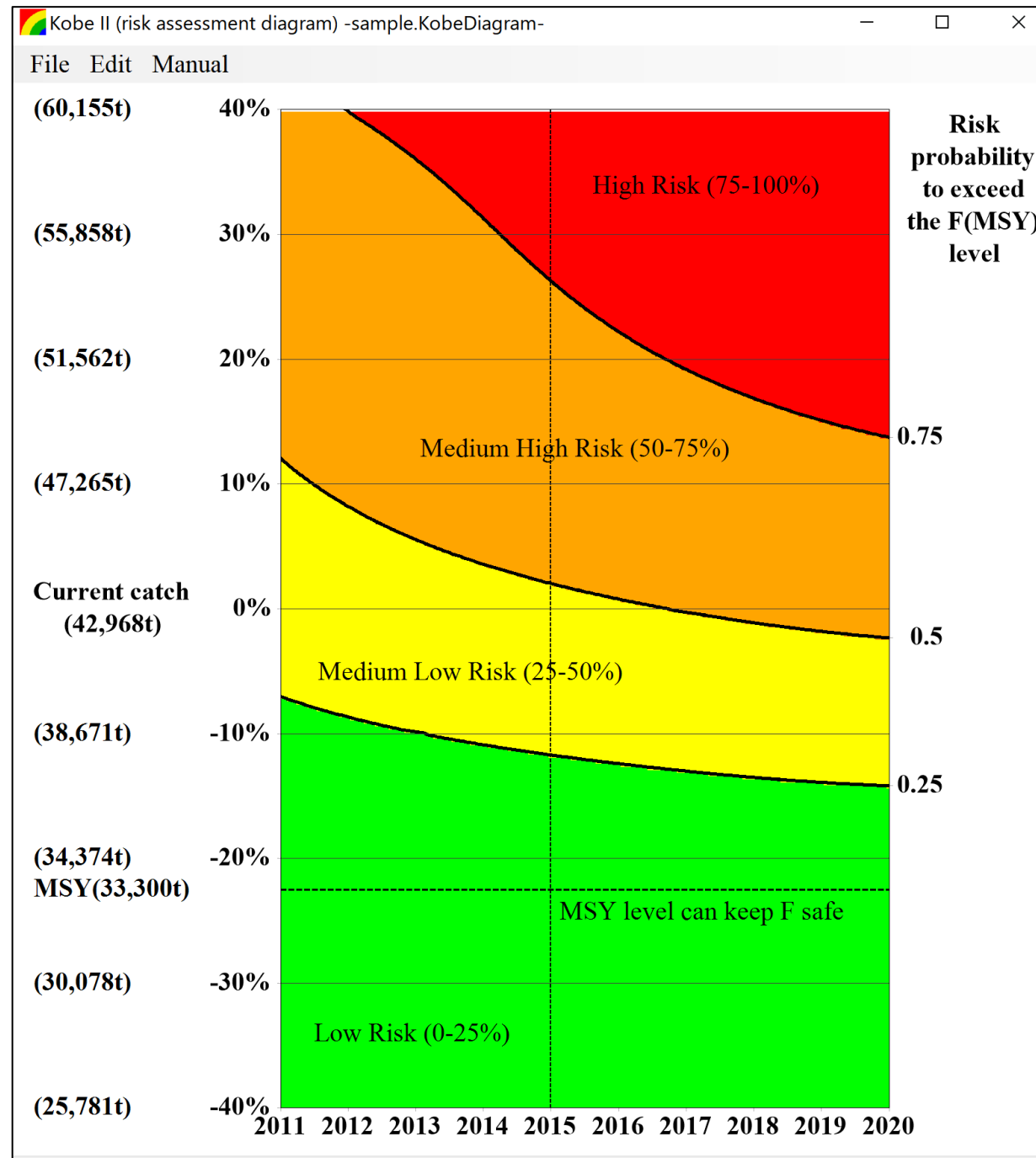
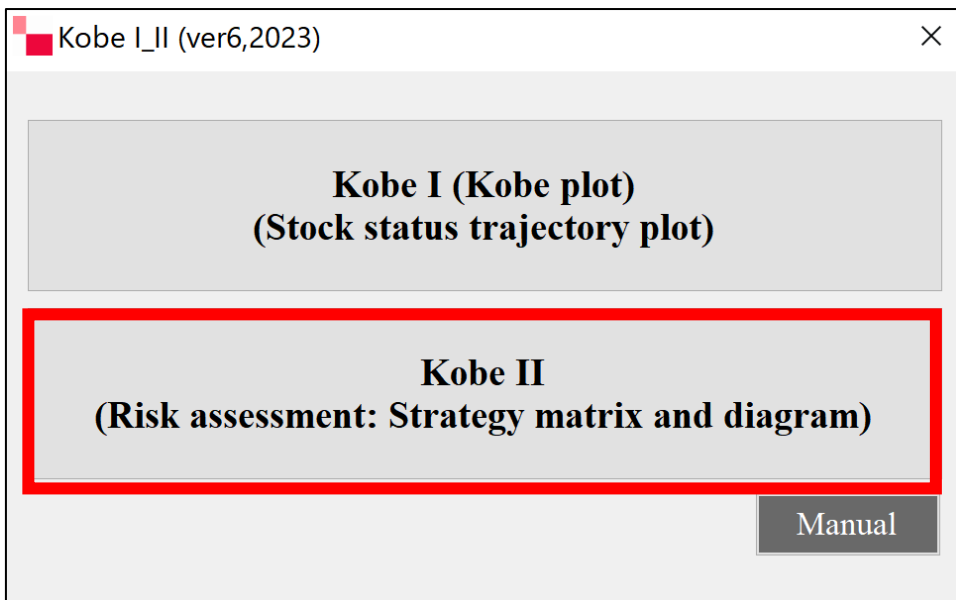
**Kobe I (Kobe plot)**  
(Stock status trajectory plot)

**Kobe II**  
(Risk assessment: Strategy matrix and diagram)

Manual



# Kobe II (strategy diagram) Bigeye tuna (Indian Ocean)



# Contents

(1) Outline

(2) Menu-driven software

- CPUE standardization
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  - Overview
  - Production model (ASPIC and JABBA)
  - Age structured production model (ASPM)
- Management decision making tools (Kobe I+II)

(3) Summary



# Kobe I+II :

## Important Management decision making tools

### Kobe I (Kobe plot) (stock status trajectory)

- Effective tool to understand changes of historical status of stock
- Recent stock status → important for management advice

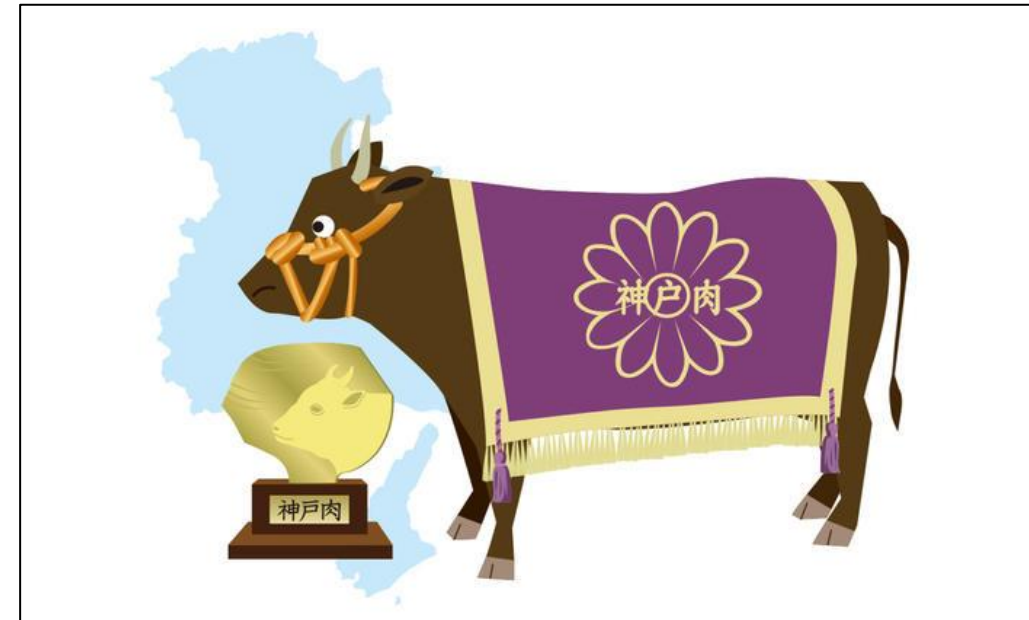
### Kobe II (Strategy matrix/diagram) (Risk assessment)

- Effective tool to understand Probabilities of risks to violate MSY for F and Biomass in the future by different catch level → advice for TAC

Kobe I+II : Visualization is important

Comprehensive tool: to bridge  
scientists → managers/industry

Why we call KOBE (神戸) ?  
Any relation to the Kobe beef?



**Kobe I (Kobe plot) + II (strategy matrix)  
agreed by 5 tuna RFMO meetings (IOTC+4)**

Kobe I (Kobe plot) Stock status trajectory plot

**First** meeting in 2007 (**Kobe**, Japan)

**Second** meeting in 2009 (Barcelona, Spain)

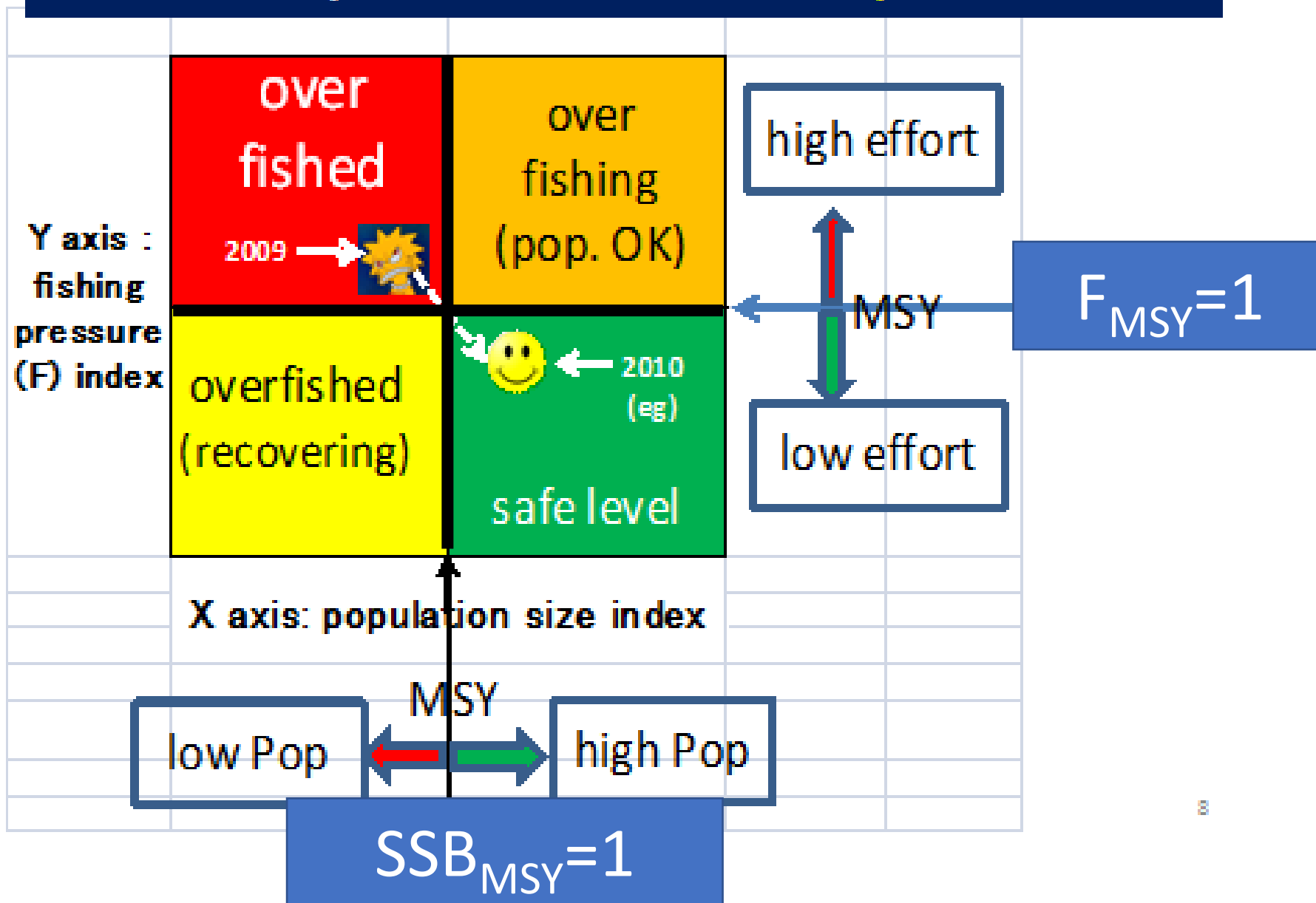
Kobe II (strategy matrix)



Spreading also to demersal RFMOs and others

(e.g. NAFO, SEAFO, NPFC.....)

# Status of stock : Kobe plot to represent **stock status in 4 phases**



Introduction :Kobe I+II  
Most recent version(2023)



MENU-DRIVEN SOFTWARE SERIES (No. 7)

## **KOBE I (KOBE PLOT) AND KOBE II (STRATEGY DIAGRAM)**

[management decision tools]

(Version 6, 2023)

**User manual**

Tom NISHIDA (PhD) (Representative)

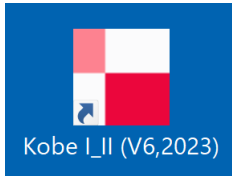
[aco20320@par.odn.ne.jp](mailto:aco20320@par.odn.ne.jp)

Kazuharu Iwasaki (Software Engineer)

Stock assessment software developing team

[www.esl.co.jp/stock\\_assessment\\_software\\_developing\\_team.pdf](http://www.esl.co.jp/stock_assessment_software_developing_team.pdf)

*© All copyrights are reserved by the stock assessment software developing team.*

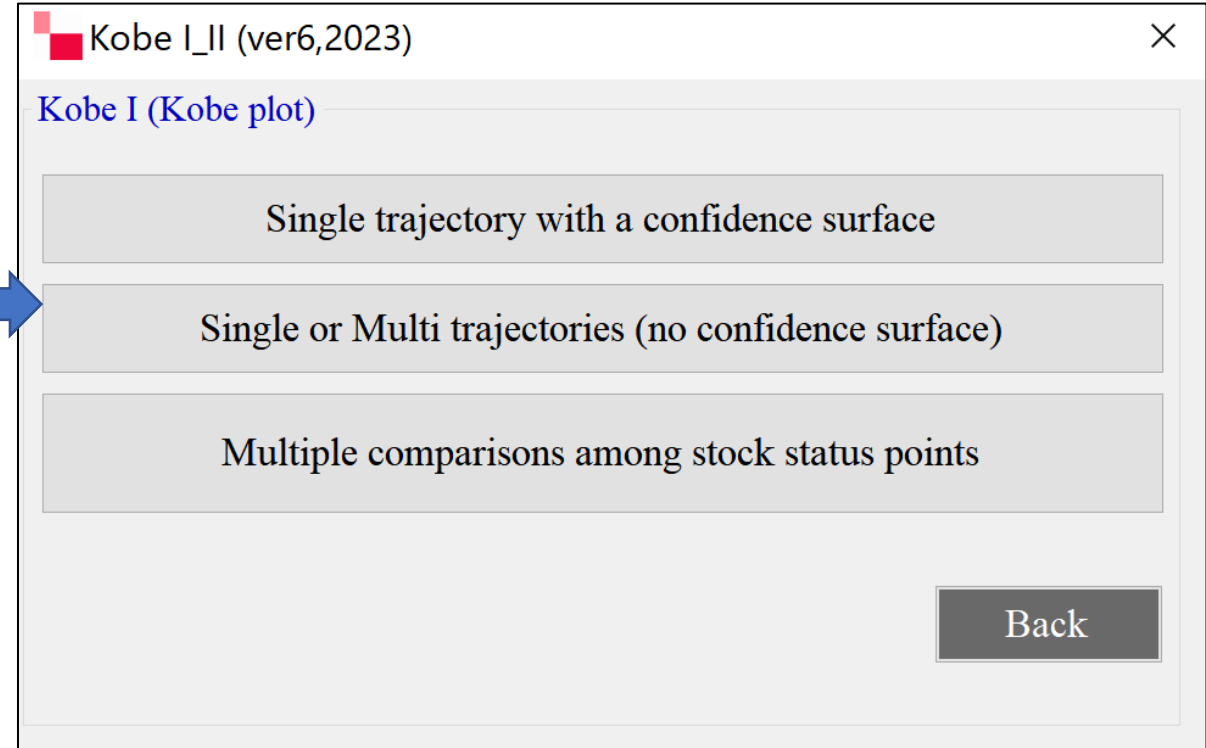
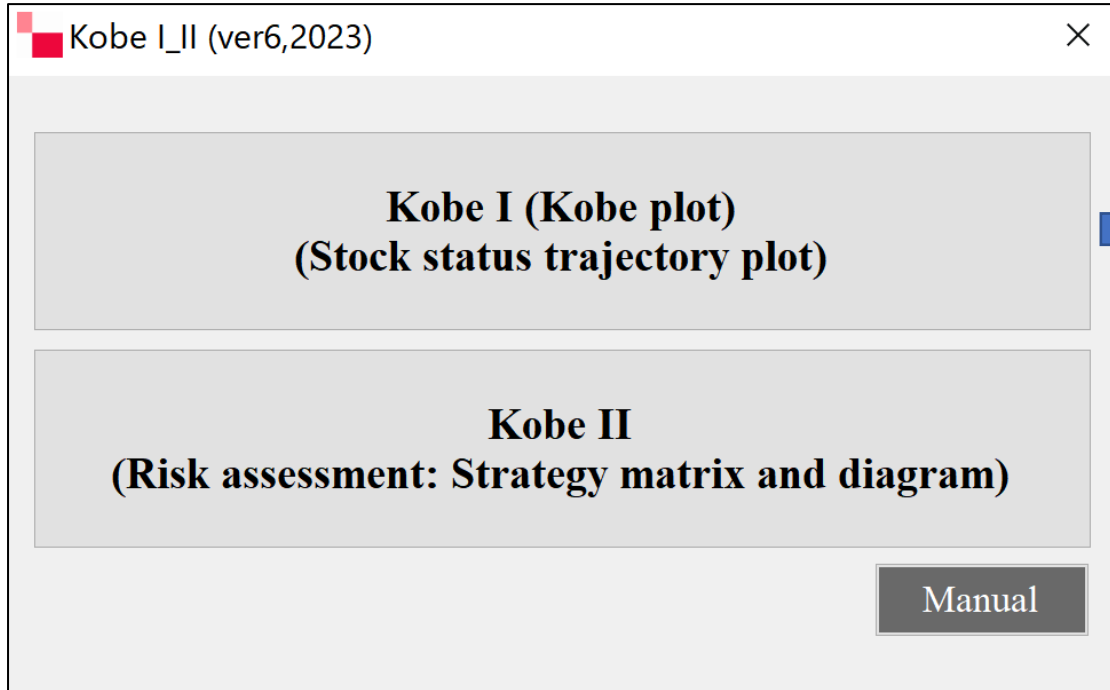
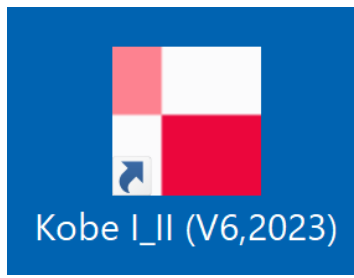


Kobe I\_II (V6,2023)

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# INPUT

	Column 1	Column 2	Column 3	Column 4	Column 5
row 1	year	Biomass ratio	F ratio	Bratio (Uncertainties)	F(ratio) (Uncertainties)
row 2	1955	1.89	0.16	0.81	1.06
row 3	1956	1.86	0.23	1.01	0.79
row 4	1957	1.81	0.18	1.31	0.55
row 5	1958	1.81	0.20	1.25	0.57
row 6	1959	1.81	0.22	1.51	0.42
row 7	1960	1.79	0.19	1.08	0.70
row 8	1961	1.80	0.16	1.39	0.49
row 9	1962	1.82	0.16	0.80	1.13
row 10	1963	1.84	0.10	0.92	0.88
row 11	1964	1.87	0.16	1.53	0.41
row 12	1965	1.85	0.17	1.06	0.75
	<i>(omitted)</i>				
row 56	2009	0.83	1.02	0.74	1.32
row 57	2010	0.88	0.85	1.42	0.46
row 58	2011	0.98	0.85	0.96	0.84
	<i>(omitted)</i>				
row 59				0.88	1.02
row 60				0.91	0.90
row 61				0.99	0.80
row 62				0.77	1.14
row 63				0.84	1.09
row 64				3.01	0.09
row 65				1.23	0.60
row 66				2.32	0.18
row 67				0.88	0.98
row 68				1.58	0.39
row 69				0.93	0.87
	<i>(omitted)</i>				
row 495				1.05	0.74
row 496				1.23	0.58

Point estimates  
(1955-20211)

Uncertainties  
(500 times bootstrap)



# Graph settings to adjust formats of the Plot (many functions to produce users' desired plot)

Graph Settings

Points and lines Trajectory, confidence surface and phase

Select Years to Display

1st Year: 1955 - 55 Years

1955  1959  1963  1968  1972  
 1956  1960  1964  1969  1973  
 1957  1961  1965  1970  1974  
 1958  1962  1967  1971  1975

All Years

Axis

	Title	Min.	Max.	Increment
X:	TB/TBmsy	-0.25	4.23	1
Y:	F/Fmsy	-0.37	2.1	1

Font Size: 20 **B** [Color]

Reset

Change titles of XY axis to other names

X:   Y:

Mark

Mark Size: 10 Mark Color: [Color]

Font Size: 10 **B** [Color]

Title

Kobe plot

Font Size: 18 **B** [Color]

Limit Reference Point

Limit Reference Legend

X(%): 0.6  X: TB(limit) = 0.6 x TBmsy

Y(%): 1.3  Y: F(limit) = 1.3 x Fmsy

Color: [Color] Width: 1 Style: Solid

Font Size: 10 **B** [Color]

Target Reference Point

Limit Reference Legend

X(%): 1.0  X: TB(target) = 1.0 x TBmsy

Y(%): 1.0  Y: F(target) = 1.0 x Fmsy

Color: [Color] Width: 1 Style: Solid

Font Size: 10 **B** [Color]

OK Cancel

Graph Settings

Points and lines Trajectory, confidence surface and phase

Trajectory Line

Color: [Color] Width: 2 Style: Arrow

Show Plot Points [Color] Style: Circle

Stock status points: front

Show Confidence Surface

Show Contour Labels

5% [Color]  75% [Color]  
 25% [Color]  95% [Color]  
 50% [Color]

Font Size: 9 **B** [Color]

Phase color [Color]

Line width of XY axis

Color: [Color] Width: 5 Style: Solid

Phase name Label

Overfished Horizontal  
 Overfishing Vertical  
 Recovering Horizontal  
 Safe zone Horizontal

Font Size: 12 **B** [Color]

Show PieChart(% Composition of 4 phases)

Default font name: Times New Roman [Apply for all]

Font Size: 10 **B** [Color]

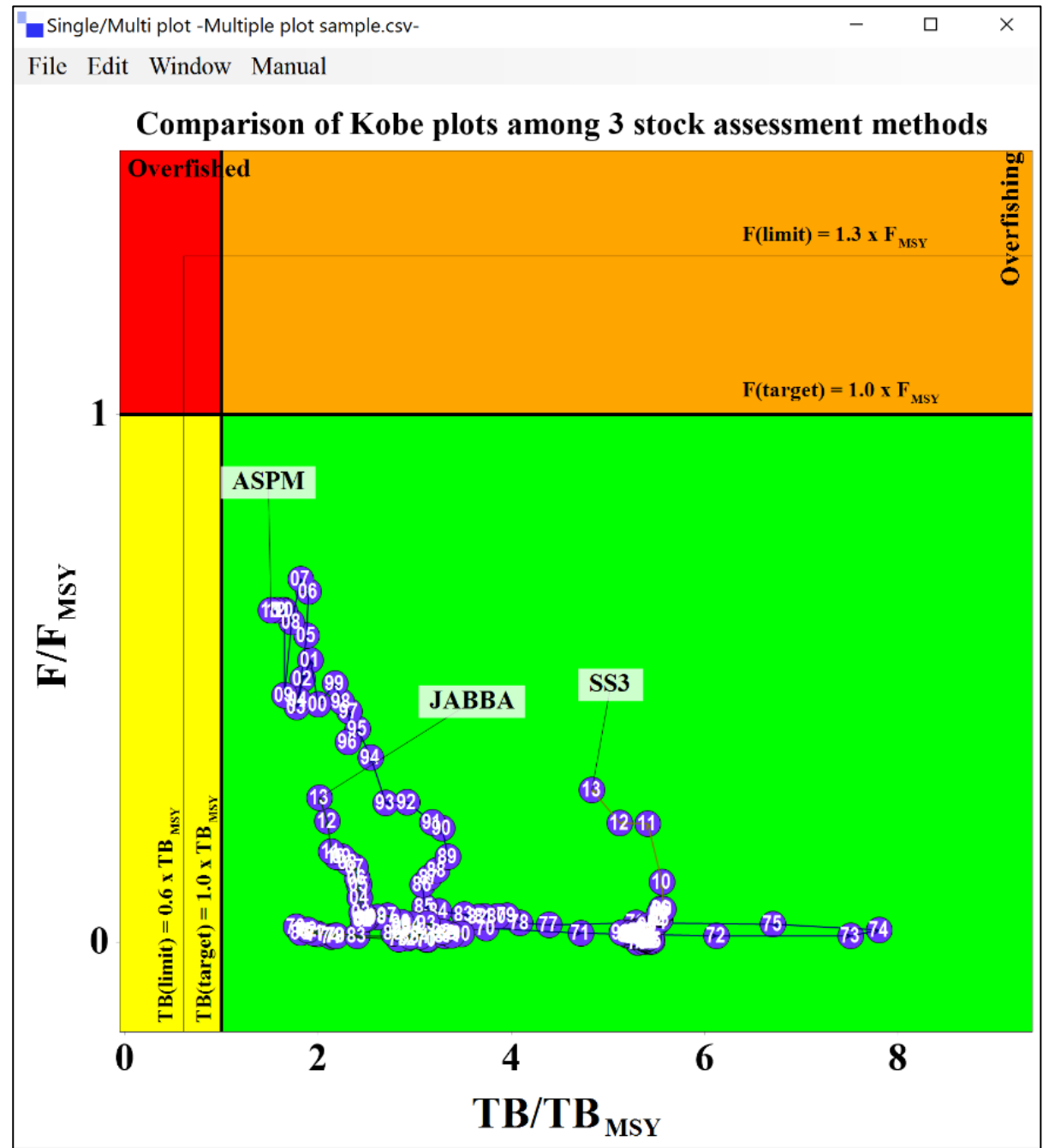
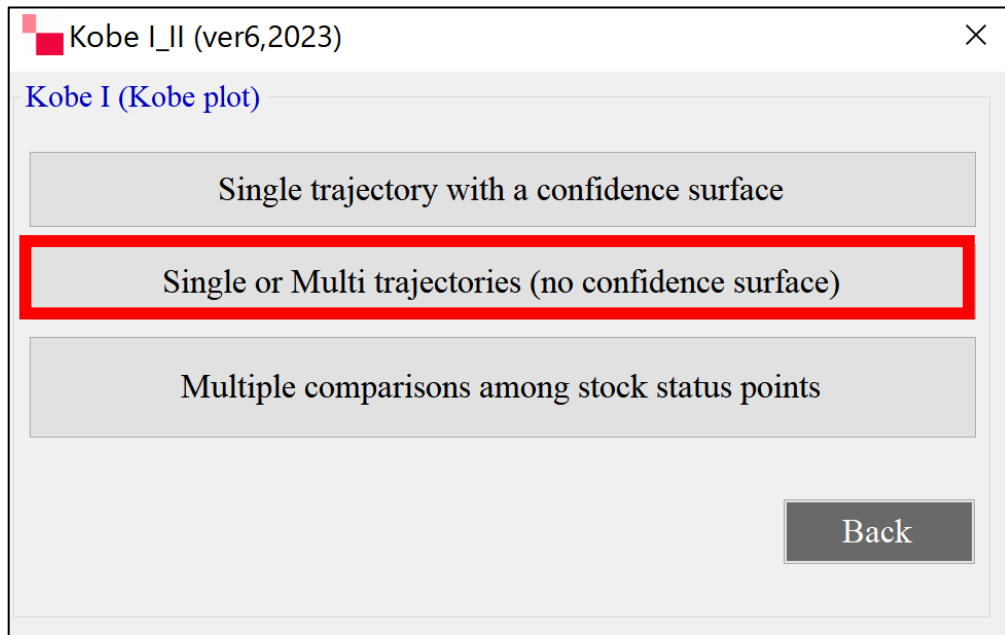
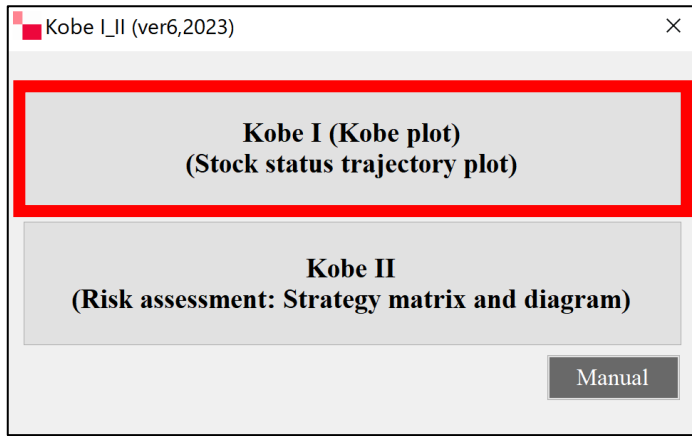
Align confidence surface

X: 0.02 Y: 0.00

**Subscript MSY position alignment**

Axis Label: X: -18 Y: -5  
 LRP Name: X: -20 Y: 0  
 TRP Name: X: -20 Y: 0

OK Cancel



Input data for multiple (3) Kobe plots (example)

# INPUT

	Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7
Row 1	Year	Biomass ratio (1)	Fratio(1)	Biomass ratio (2)	Fratio(2)	Biomass ratio (3)	Fratio(3)
Row 2	1970	3.74	0.03	3.13	0.01	5.31	0.00
Row 3	1971	4.72	0.02	3.12	0.01	5.31	0.00
Row 4	1972	6.12	0.01	2.92	0.01	5.37	0.00
Row 5	1973	7.51	0.01	2.83	0.01	5.44	0.00
Row 6	1974	7.81	0.02	2.13	0.01	5.41	0.00
Row 7	1975	6.71	0.04	1.86	0.02	5.46	0.00
Row 8	1976	5.29	0.04	1.77	0.03	5.41	0.00
Row 9	1977	4.39	0.03	1.99	0.02	5.45	0.01
Row 10	1978	4.09	0.04	2.17	0.01	5.39	0.01
Row 11	1979	3.95	0.05	2.19	0.01	5.38	0.01
	<i>omitted</i>						
Row 40	2008	1.72	0.61	2.31	0.15	5.53	0.06
Row 41	2009	1.65	0.47	2.25	0.16	5.57	0.06
Row 42	2010	1.65	0.63	2.19	0.16	5.56	0.11
Row 43	2011	1.62	0.63	2.13	0.17	5.41	0.23
Row 44	2012	1.57	0.63	2.09	0.23	5.12	0.23
Row 45	2013	1.51	0.63	2.01	0.27	4.83	0.29

1<sup>st</sup> data set

(ASPM)

2<sup>nd</sup> data set

(JABBA)

3<sup>rd</sup> data set

(SS3)

# Graph settings to adjust formats of the Plot

(many functions are available to produce users' desired plot)

Graph Settings

Points and lines Trajectory, confidence surface and phase

Select Years to Display

1st Year: 1955 · 55 Years

1955  1959  1963  1968  1972  
 1956  1960  1964  1969  1973  
 1957  1961  1965  1970  1974  
 1958  1962  1967  1971  1975

All Years

Axis

Title	Min.	Max.	Increment
X: TB/TBmsy	-0.25	4.23	1
Y: F/Fmsy	-0.37	2.1	1

Font Size: 20 **B** [Color]

Reset

Change titles of XY axis to other names

X:   Y:

Mark

Mark Size: 10 Mark Color: [Color]

Font Size: 10 **B** [Color]

Title

Kobe plot

Font Size: 18 **B** [Color]

**2**

Limit Reference Point

Limit Reference Legend

X(%): 0.6  X: TB(limit) = 0.6 x TBmsy

Y(%): 1.3  Y: F(limit) = 1.3 x Fmsy

Color: [Color] Width: 1 Style: Solid

Font Size: 10 **B** [Color]

**2**

Target Reference Point

Limit Reference Legend

X(%): 1.0  X: TB(target) = 1.0 x TBmsy

Y(%): 1.0  Y: F(target) = 1.0 x Fmsy

Color: [Color] Width: 1 Style: Solid

Font Size: 10 **B** [Color]

OK Cancel

Graph Settings

Points and lines Trajectory and Phases

Select Scenarios to Display and the Line Colors.

1  2  3

[Color] [Color] [Color]

Default font name: Times New Roman Apply for all

Subscript MSY position alignment

Axis Label: X: -18 Y: -5

LRP Name: X: -20 Y: 0

TRP Name: X: -20 Y: 0

Trajectory Line Width 2 Style Arrow

Phase color [Color] [Color]

Line width of XY axis

Color: [Color] Width: 5 Style: Solid

Phase name Label

Overfished Horizontal

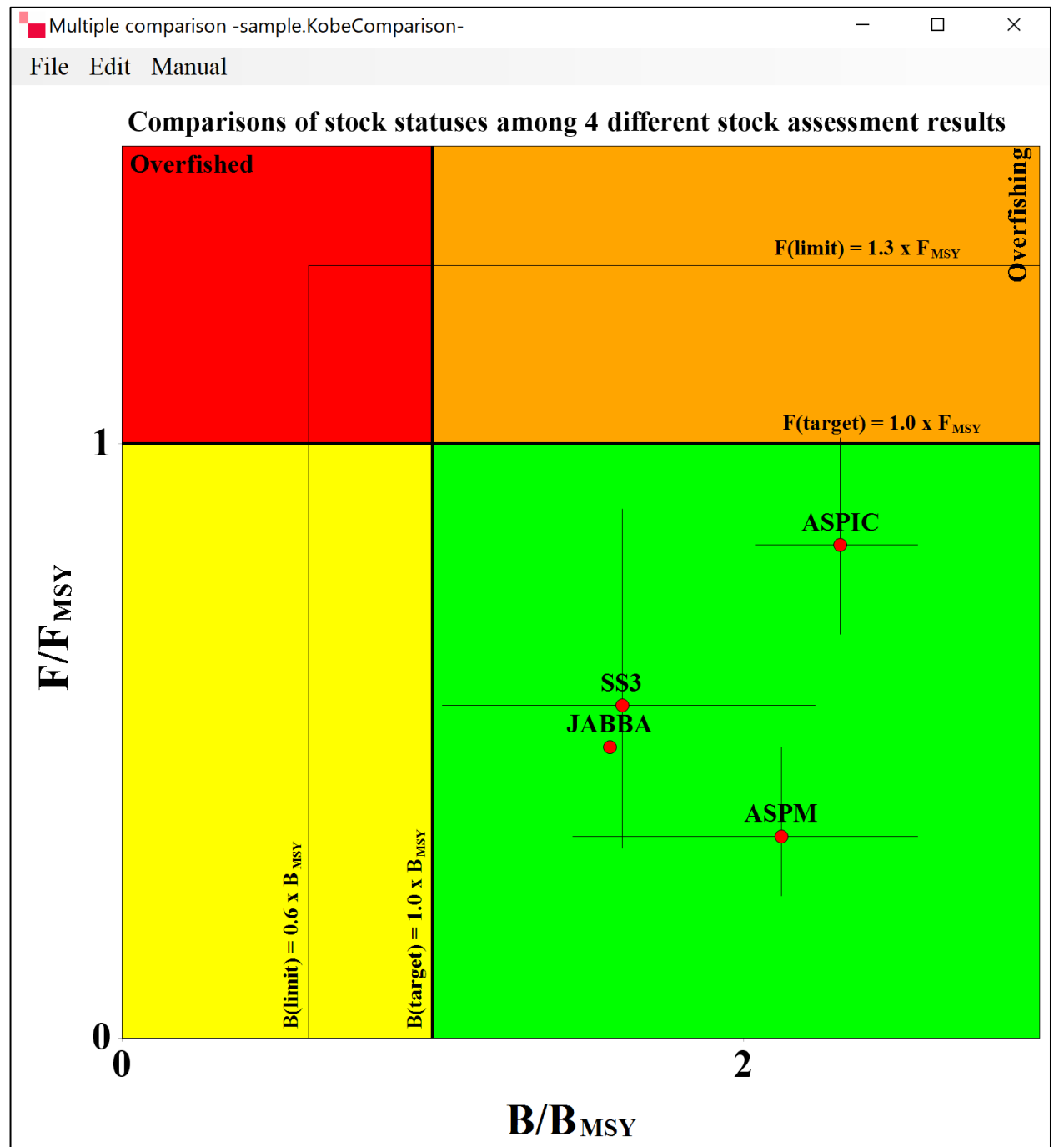
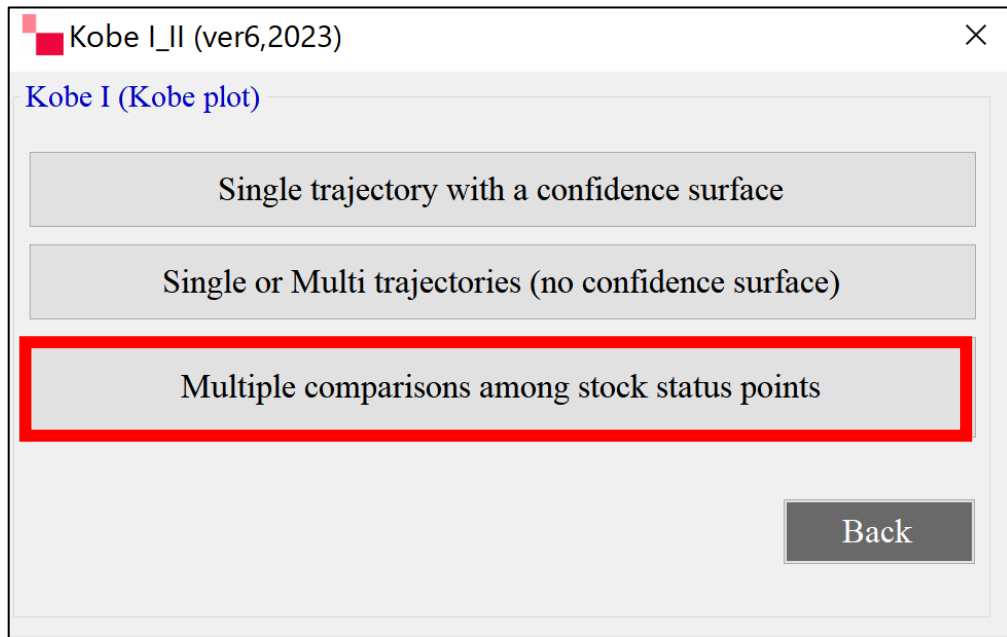
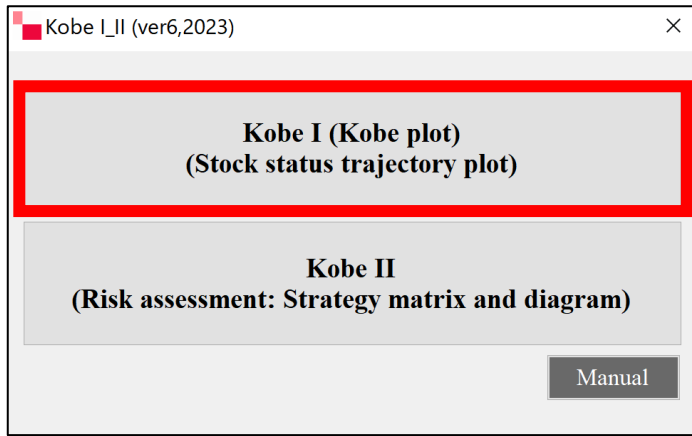
Overfishing Vertical

Recovering Horizontal

Safe zone Horizontal

Font Size: 12 **B** [Color]

OK Cancel





# INPUT

		<i>Column 1</i>	<i>Column 2</i>	<i>Column 3</i>	<i>Column 4</i>	<i>Column 5</i>	<i>Column 6</i>	<i>Column 7</i>
<i>Row 1</i>		stock assessment model	Biomass ratio(point)	Biomass ratio(lower)	Biomass ratio(upper)	F/F <sub>MSY</sub> (point)	F/F <sub>MSY</sub> (lower)	F/F <sub>MSY</sub> (upper)
<i>Row 2</i>		SS3	1.61	1.03	2.23	0.56	0.32	0.89
<i>Row 3</i>		ASPIC	2.31	2.04	2.56	0.83	0.68	1.01
<i>Row 4</i>		JABBA	1.57	1.01	2.08	0.49	0.35	0.66
<i>Row 5</i>		ASPM	2.12	1.45	2.56	0.34	0.24	0.49

# Graph settings to adjust formats of the Plot (many functions available to produce users' desired plot)

Graph Settings

Points and lines Phases

Axis

	Title	Min.	Max.	Increment
X:	TB/TBmsy	0	2.95	2
Y:	F/Fmsy	0	1.21	1

Font Size: 20 **B** [Color]

Reset

Change titles of XY axis to other names

X:   Y:

Title

Comparisons among different stock assessments results

Font Size: 18 **B** [Color]

Limit Reference Point

Limit Reference Legend

X(%): 0.6  X: SB(limit) = 0.6 x SBmsy

Y(%): 1.3  Y: F(limit) = 1.3 x Fmsy

Color: [Color] Width: 1 Style: Solid

Font Size: 10 **B** [Color]

Target Reference Point

Limit Reference Legend

X(%): 1.0  X: SB(target) = 1.0 x SBmsy

Y(%): 1.0  Y: F(target) = 1.0 x Fmsy

Color: [Color] Width: 1 Style: Solid

Font Size: 10 **B** [Color]

Label

Select Data: SS3 **All Apply**

Center marker

Color: [Color] Size: 5 Style: Circle

Circle line

Color: [Color] Width: 2 Style: Solid

Cross line (XY confidence interval)

Color: [Color] Width: 1 Style: Solid

Circle name

Font Size: 12 **B** [Color]

OK Cancel

Graph Settings

Points and lines Phases

Phase color

[Color Swatches]

Default font name:

Times New Roman **Apply for all**

Line width of XY axis

Color: [Color] Width: 5 Style: Solid

Phase name Label

Overfished Horizontal

Overfishing Vertical

Recovering Horizontal

Safe zone Horizontal

Font Size: 12 **B** [Color]

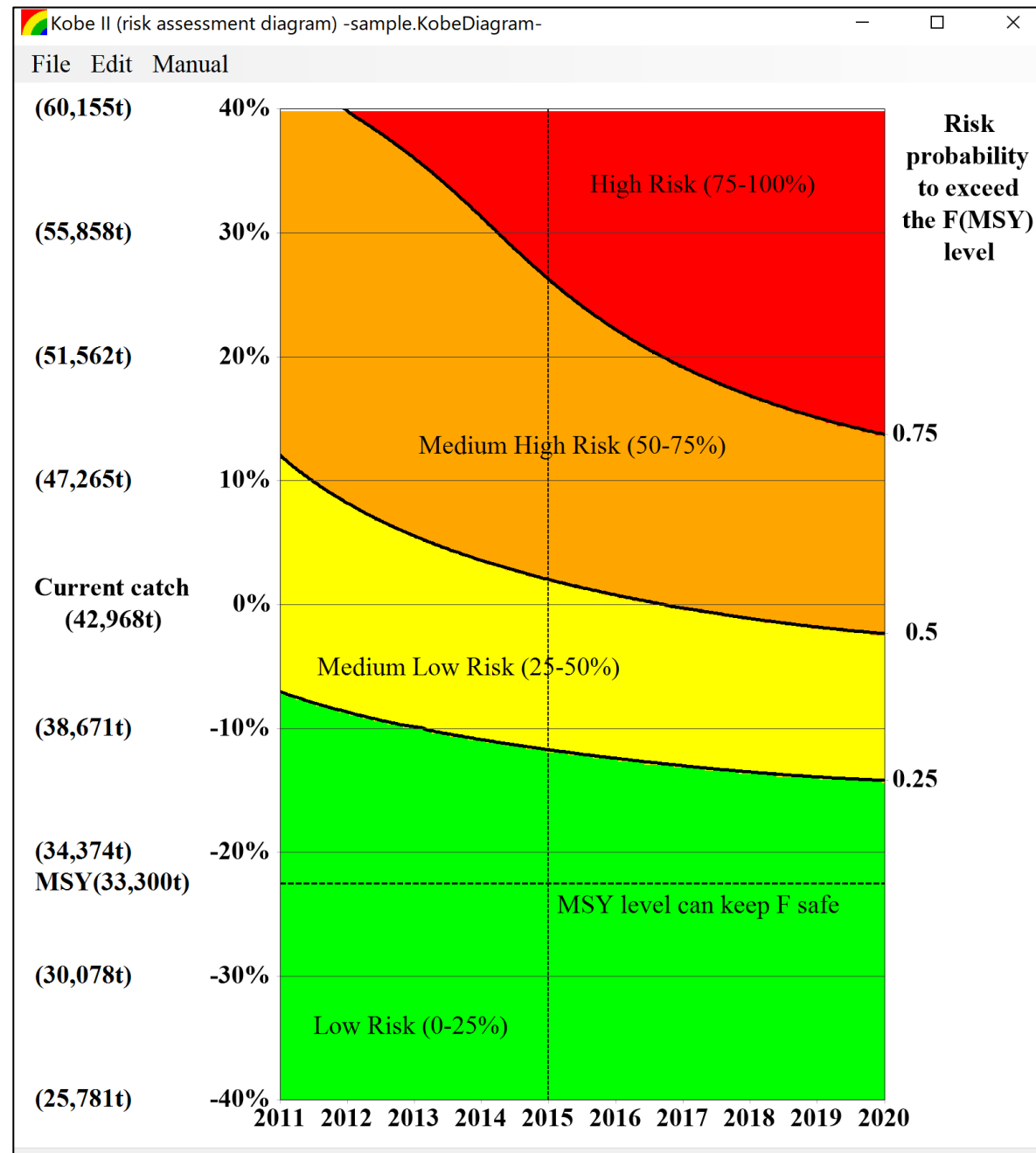
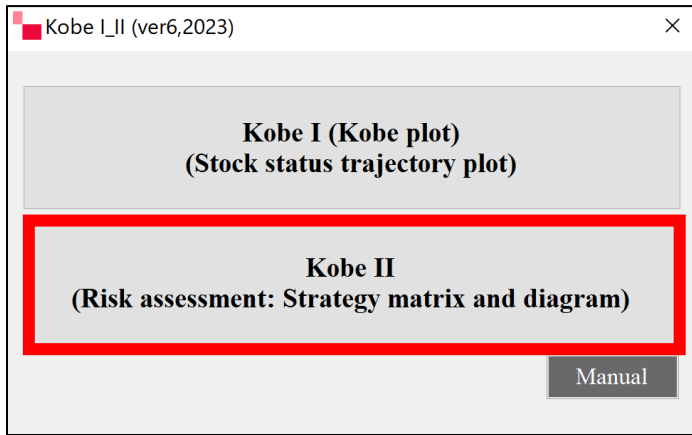
Subscript MSY position alignment

Axis Label: X: -18 Y: -5

LRP Name: X: -20 Y: 0

TRP Name: X: -20 Y: 0

4



# INPUT

		Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12
Row 1		Catch	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	
Row 2	-40%	25,781	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Row 3	-30%	30,078	0.02	0.01	0.01	0.01	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Row 4	MSY	33,300	0.07	0.06	0.05	0.05	0.05	0.05	0.06	0.06	0.06	0.06	0.06
Row 5	-20%	34,374	0.09	0.08	0.07	0.06	0.07	0.07	0.08	0.08	0.08	0.08	0.09
Row 6	-10%	38,671	0.21	0.22	0.23	0.25	0.28	0.30	0.31	0.33	0.35	0.37	0.37
Row 7	0%	42,968	0.32	0.37	0.41	0.45	0.47	0.50	0.53	0.55	0.57	0.58	0.58
Row 8	10%	47,265	0.45	0.51	0.56	0.59	0.62	0.66	0.68	0.69	0.69	0.70	0.70
Row 9	20%	51,562	0.57	0.64	0.67	0.70	0.71	0.73	0.74	0.75	0.76	0.78	0.78
Row 10	30%	55,858	0.66	0.70	0.73	0.74	0.76	0.78	0.79	0.80	0.81	0.81	0.81
Row 11	40%	60,155	0.71	0.74	0.76	0.79	0.80	0.82	0.83	0.83	0.84	0.84	0.84

# Graph settings & editorial function to change formats of the diagram (many functions available to produce users' desirable plot)

**Graph Settings**

Year Range: 2011 - 2020

Min. Max. Increment

Y Axis: -40 40 10

Font Size: 12 **B**

**1** Number of Contour Lines: 3  
2  
3  
4

Display Contour Line

Width of Contour Line: 5

Color and border values of contour lines:

0.25 0.5 0.75

**2** Grid Resolution: L H

Display MSY Line

Y(%): -22.5004654626 Z(ton): 33300

Color: **B** Width: 3 Style: Dash

**3** Display X Borders

2011  2012  2013  2014  2015

Color: Width: 2 Style: Solid

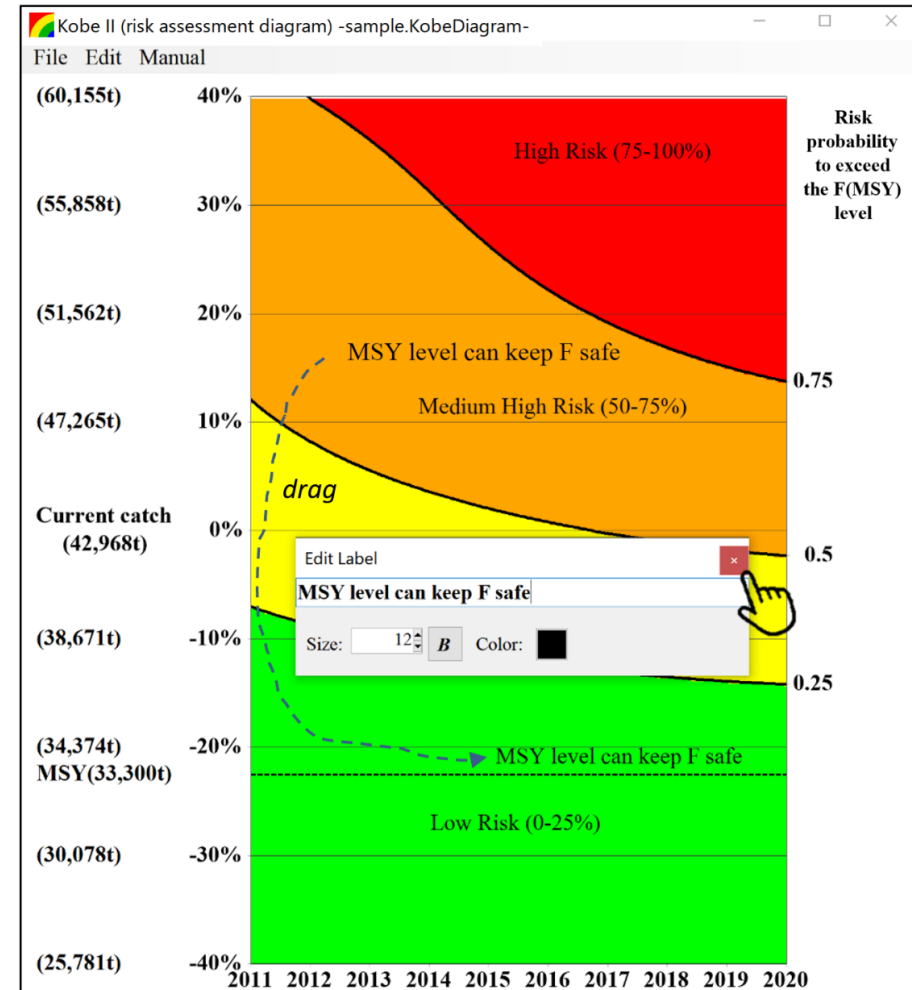
Show Legends (left of Y-Axis) Font Size: 12 **B**

Show Legends (right of Y-Axis) Font Size: 12 **B**

Default font name: Times New Roman

Apply for all

OK Cancel



# Contents

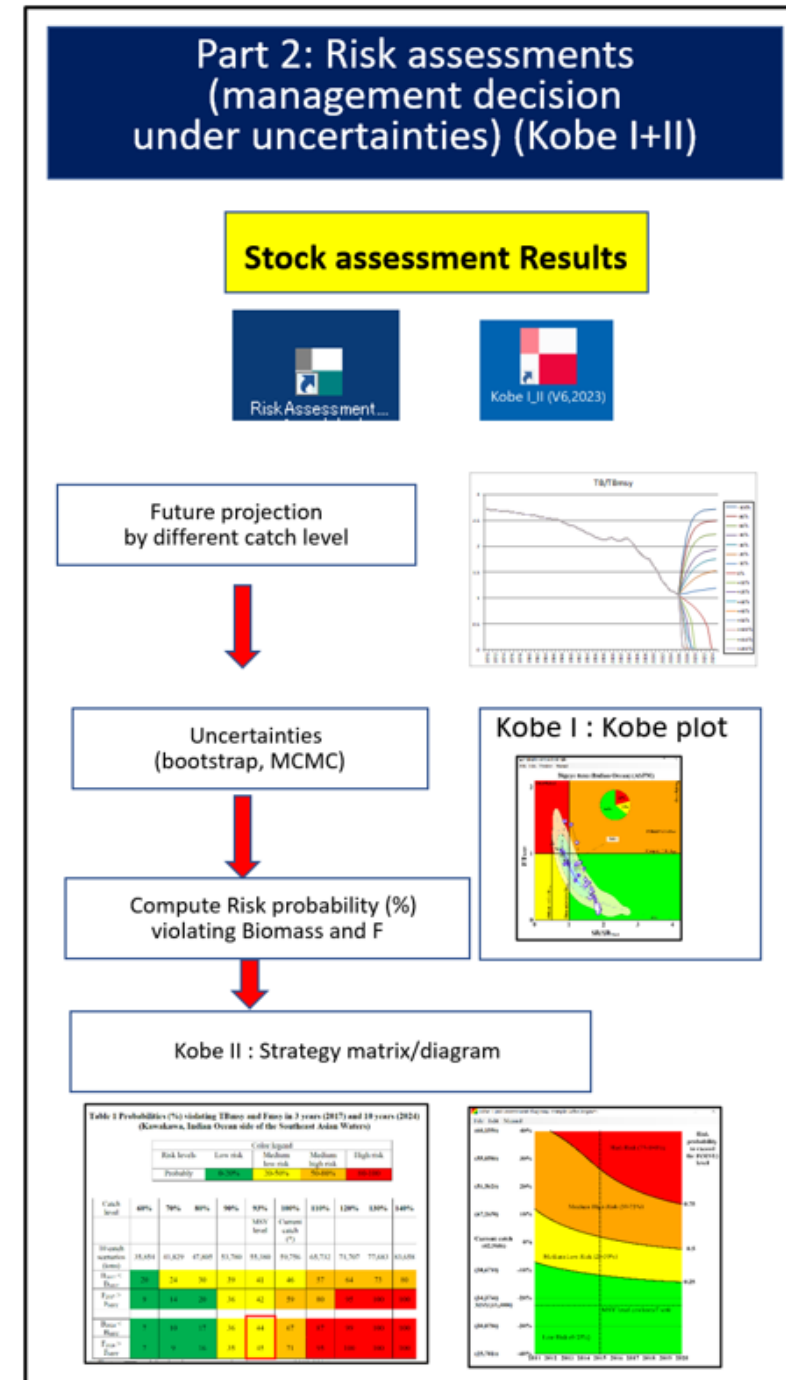
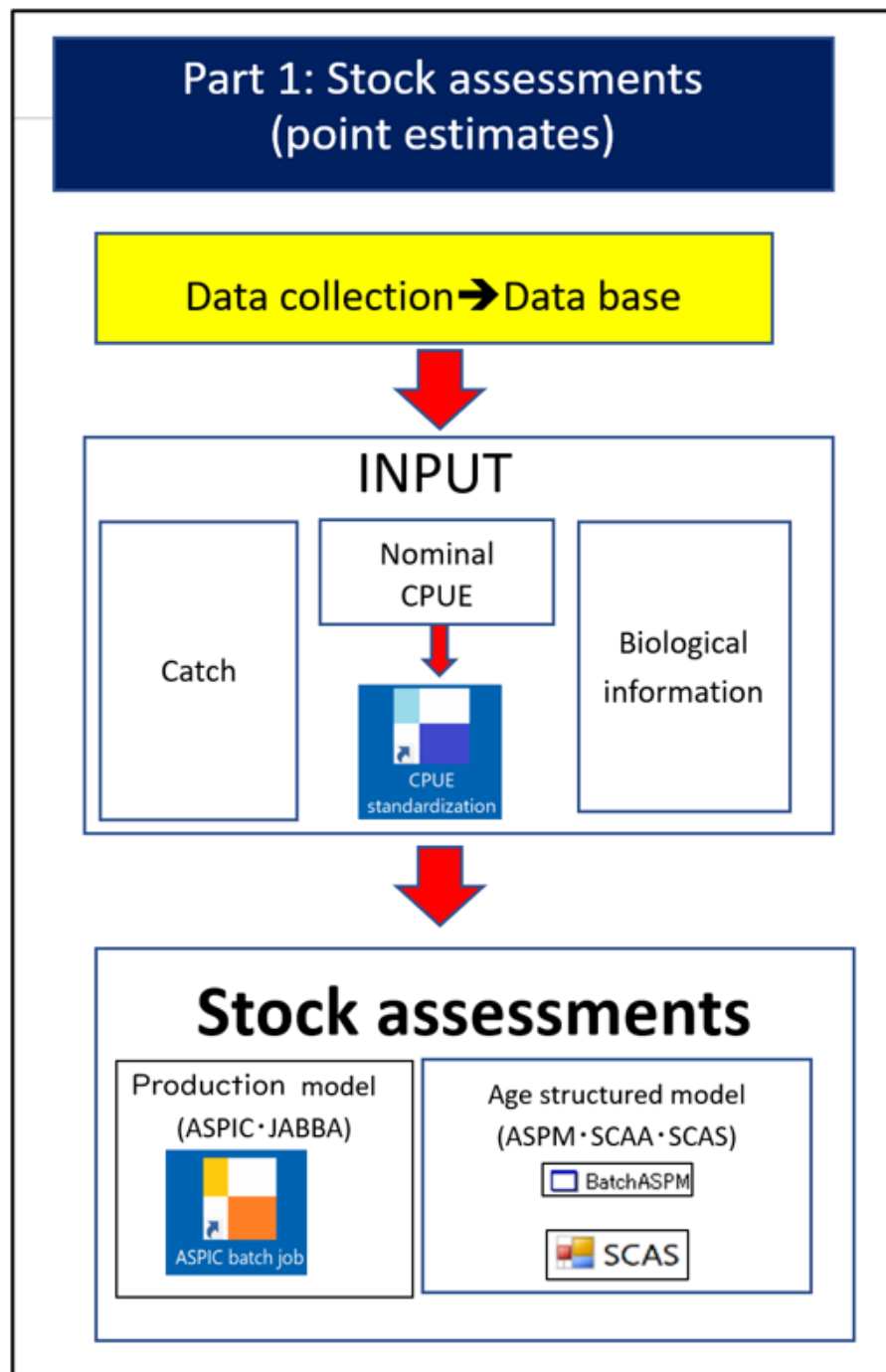
(1) Outline

(2) Menu-driven software

- CPUE standardization
- Stock and Risk assessment
  - Overview
  - Production model (ASPIC and JABBA)
  - Age structured production model (ASPM)
- Management decision making tool (Kobe I+II)

(3) Summary

# Summary (1)



# Summary (2)

Different types of menu-drive software (simple & intermediate) are available to suite different objectives

If users want to utilize our software, we will offer free on-site training including theory and explanation of Input/Output.



After we will make sure that users can use software properly & understand theory & Input/Output, then software & manuals will be provided.



This is our responsibility.



Our ultimate goal

Stock assessments (SA) for ALL 😊

(no more struggling nor only for SA experts)

for a happy & better life

Questions,  
Comments,  
?



## Part II Future collaborative works

### (1) Training

### (2) Joint works using real data (important species)

No cost are needed as we are funded  
by Japanese government, ODA (Official Development  
Assistance) and other funding agencies.



# What is “Compute Pr (Risk) (%)” ?

To compute “Risk Probability” violating  
MSY levels (TB: Total Biomass and F)

in each catch level using Uncertainties  
(Bootstrap, MCMC)

For example

If +20% catch from the current catch level continued  
next 10 years (2033), and if risk probability (F) is 30%,  
→ It means that risk violating the MSY level(F) is 30%.

### Step 3: How to compute Pr (Risk) (%) by catch level (future year)?

Re-sample the data

(e.g. TB at +20% from the current catch in 2028)

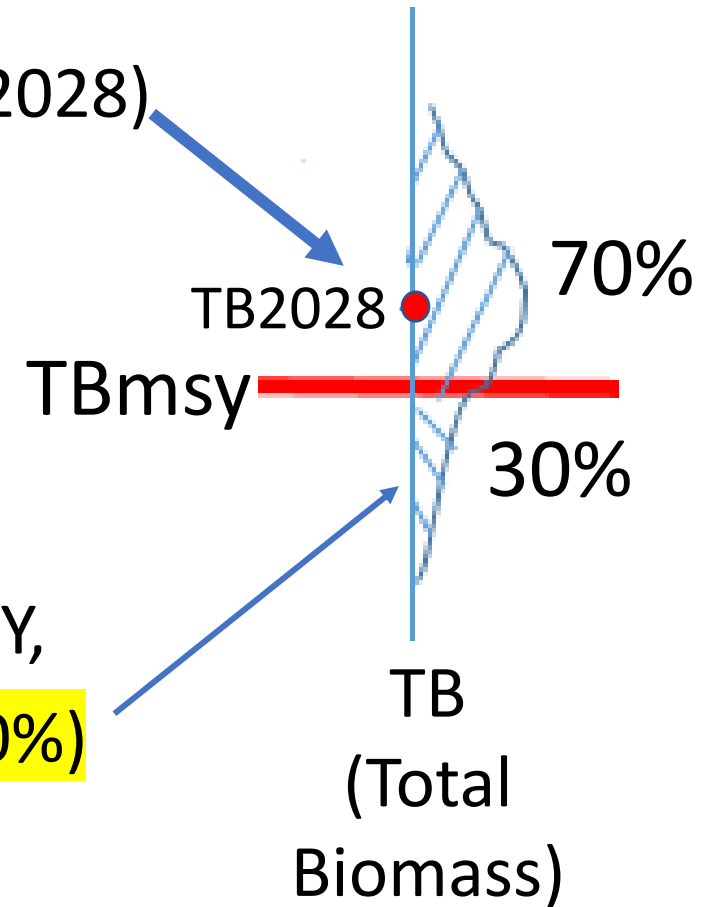
→ estimate “error distribution”



In 1,000 times re-sampling trials

if 300 points out of 1,000 are below MSY,

→ Pr (violating MSY for TB2033) = 0.3 (30%)



If N.G., then you need to change other models → 2 step GLM (available in our soft)

But still NG → try other models

GAM, Regression tree, VAST, Negative binomial...

But they are not available in our soft

→ You need to use SAS, R etc.

But no worry from past 15 years experiences,

There were No NG case ! So our soft & your data should be OK !

## 5 RFMO meeting (2007 and 2009) recommended

Need to compare & evaluate results among a few SA models<sup>(\*)</sup>  
as each model has pros & cons

(\*) **'Simple', 'intermediate'** & 'advanced(integrated)' model  
(different structures & data sets)

If results are similar → certain & confident (e.g. IOTC)

So we can contribute for 'Simple', and 'intermediate',  
,while SA expert by SS3 (Advanced).

**Win-win situation**

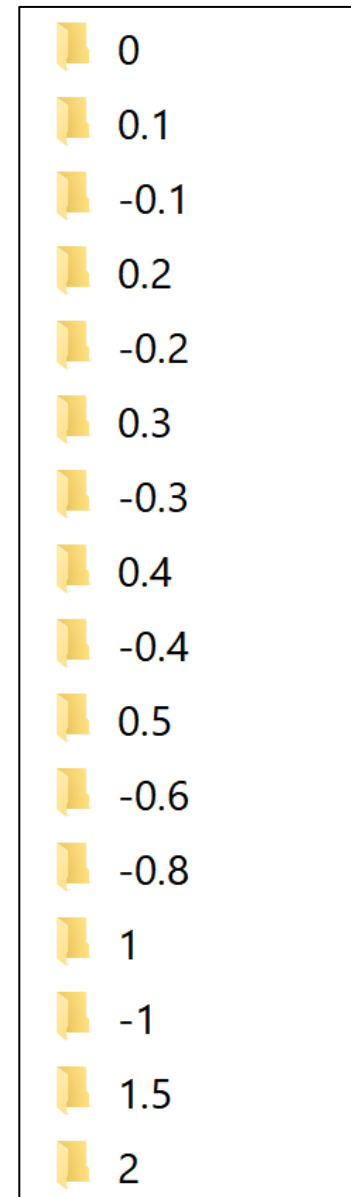




# Note : we don't recommend SRA (data poor : catch only method)

- Many assumptions
- Simple but need complex computation due to many assumptions
  - actually not quick & dirty (simple) method
- Relative assessment affected by assumptions
- If catch is regulated (e.g. TAC)
  - will not work (violation of assumption)
- It is much better to use stock assessment models with CPUE

Risk Prob (%) are computed  
in each catch level (ASPIC folder)  
for all projected years .  
(TB and F)



## Kobe I+II

Reference Point:  $F_{MSY}$ ,  $SSB_{MSY}$ ,  $TB_{MSY}$ ...

→ important for management decision making

**(population size)**

SSB: Spawning Stock Biomass

TB: Total Biomass

**(fishing pressure)**

F: Fishing mortality

## Another Quiz

Kobe Bryant famous  
US NBA passed away  
(Jan 27, 2020)

Why his 1<sup>st</sup>  
name is Kobe?



# Answer

His father (NBA player)

Loves Kobe beef

Kobe Steak House (USA)

That is why his father makes his first name Kobe !!